



Associations between brain imaging and polygenic scores of mental health and educational attainment in children aged 9–11

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ABSTRACT

Psychiatric disorders are highly heritable and polygenic, and many have their peak onset in late childhood and adolescence, a period of tremendous changes. Although the neurodevelopmental antecedents of mental illness are widely acknowledged, research in youth population cohorts is still scarce, preventing our progress towards the early characterization of these disorders. We included 7,124 children (9–11 years old) from the Adolescent Brain and Cognitive Development Study to map the associations of structural and diffusion brain imaging with common genetic variants and polygenic scores for psychiatric disorders and educational attainment. We used principal component analysis to derive imaging components, and calculated their heritability. We then assessed the relationship of imaging components with genetic and clinical psychiatric risk with univariate models and Canonical correlation analysis (CCA). Most imaging components had moderate heritability. Univariate models showed limited evidence and small associations of polygenic scores with brain structure at this age. CCA revealed two significant modes of covariation. The first mode linked higher polygenic scores for educational attainment with less externalizing problems and larger surface area. The second mode related higher polygenic scores for schizophrenia, bipolar disorder, and autism spectrum disorder to higher global cortical thickness, smaller white matter volumes of the fornix and cingulum, larger medial occipital surface area and smaller surface area of lateral and medial temporal regions. While cross-validation suggested limited generalizability, our results highlight the potential of multivariate models to better understand the transdiagnostic and distributed relationships between mental health and brain structure in late childhood.

1. Introduction

Under the influence of genetic and environmental factors, human brain development covers a protracted period of time from prenatal life to early adulthood. Departures from brain developmental trajectories have been suggested to be fundamental to the emergence of psychiatric disorders and may be observed long before symptoms begin (Birbaum and Weinberger, 2017; Insel, 2010; Thapar and Riglin, 2020; Weinberger, 1987). Many psychiatric disorders have their peak onset during late childhood and adolescence (Solmi et al., 2021), a period that coincides with tremendous biological, cognitive and behavioral changes

and exposures (Dahl et al., 2018; Larsen and Luna, 2018; Marco et al., 2011). Psychiatric disorders are highly heritable and polygenic. With the growing availability of summary statistics from genome-wide association studies (GWAS) and genotyping data, polygenic scores (PRS) can be used to study inter-individual differences in the genetic disposition to psychiatric disorders and other traits. Understanding the impact of the genetic determinants of disease on the brain during important developmental periods may foster our knowledge of disease pathophysiology and progression. Thus, the central aim of this study is to provide a more complete picture of the genetic effects on multimodal brain imaging metrics in a large population sample of children and adolescents.

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Available samples with thousands of individuals deeply genotyped and phenotyped have recently offered new opportunities to investigate the impact of polygenic risk for psychiatric disorders in the general population. If psychiatric disorders are not distinct entities but represent the ends of developmental continuums (Cuthbert, 2014; Weinberger, 2017), then studying the full range of variation of risk in the general population may provide major insights into disease processes (Cuthbert, 2014; Weinberger, 2017, 1987). Prior studies have examined the relationship of PRS of psychiatric disorders and the brain in adult population samples. For example, individuals with high PRS of schizophrenia show similar anatomical patterns as those observed in patients (Alnæs et al., 2019; van Erp et al., 2018), suggesting that PRS in asymptomatic individuals may convey sensitive information to disease-related processes (Westlye et al., 2019). Whether brain differences are already observable at earlier stages of development is an essential question that remains to be fully examined. Research in younger population samples is at present scarce and current small sample sizes may hinder the power to detect small effects. Nonetheless, recent studies point to cortical differences in individuals with high PRS already observed in youth (Khundrakpam et al., 2020; Kirschner et al., 2021).

Psychiatric disorders are highly polygenic and are thus likely to have distributed effects on the brain (Birnbaum and Weinberger, 2017; Insel, 2010; Ripke et al., 2020), possibly observed in multiple neuroimaging modalities that are sensitive to different tissue properties (Lazari et al., 2021; Natu et al., 2019; Ripke et al., 2020; Rokicki et al., 2021). Besides, brain maturation during late childhood and adolescence is highly spatially heterogeneous and dynamic, covering increases in surface area, apparent cortical thinning or white matter microstructural changes that possibly reflect synaptic remodeling and myelination (Norbom et al., 2021; Ritchie et al., 2019; Westlye et al., 2010; Zhou et al., 2015). Multimodal neuroimaging can aid the mechanistic interpretation of neuroimaging results (Natu et al., 2019) and increase certainty and sensitivity to detect associations between brain imaging and other traits. Furthermore, there is growing recognition of the distributed nature of the brain's organizational principles. Rather than independent and discrete, brain regions and pathways seem to follow organizational axes likely reflecting functional hierarchies (Colby et al., 2011; Du and Buckner, 2021; Huntenburg et al., 2018; Sotiras et al., 2017; Sydnor et al., 2021; Valk et al., 2020). Compared to treating all brain regions or tracts independently in mass-univariate analyses, multivariate modeling techniques that capture the covariance patterns of multimodal brain imaging and other variables appear more biologically meaningful. Benefits notwithstanding, multivariate models are prone to overfitting, and statistical significance does not guarantee generalizability even in large samples (McIntosh, 2021; Smith and Nichols, 2018). Both careful statistical significance testing and generalizability should be addressed.

Taken together, with the main aim of investigating the associations between brain imaging and genetic risk for psychiatric disorders in late childhood we here relied on 1) Youth population samples, 2) inter-individual differences in polygenic scores for mental disorders and educational attainment, 3) multimodal brain imaging measures and 4) multivariate models with careful cross-validation. We capitalized on the multi-site US-based Adolescent Brain and Cognitive Development (ABCD) Study, that has recruited ~11,800 children aged 9–11 years at baseline (Casey et al., 2018). Multiple macro and microstructural imaging data were generated using standardized pipelines and decomposed into their axes of maximal variation (“imaging components”) spanning global and regional components. Using single nucleotide polymorphism (SNP) data from all individuals, we investigated the heritability – the proportion of the brain imaging components variance explained by differences in SNPs – through Genomic Complex Trait Analysis (GCTA). We then tested for associations between polygenic scores for various psychiatric disorders and educational attainment and the imaging components as well as clinical symptomatology scores of externalizing and internalizing behaviors. Finally, we investigated the modes of variation

between brain imaging components and polygenic scores and clinical risk with canonical correlation analysis (CCA), addressing both statistical significance and generalizability.

Following past reports, we hypothesized that all imaging measures would show moderate to high heritability (L. T. Elliott et al., 2018; Grasby et al., 2020; Li et al., 2018; Schmitt et al., 2020; Strike et al., 2019) and expected small and widespread associations between PRS of psychiatric disorders and brain imaging components (Alnæs et al., 2019; Kirschner et al., 2021; Westlye et al., 2019). As a result, we here attempt to provide a more complete picture of the genetic effects on brain structure during this important developmental period.

2. Methods and materials

2.1. Sample

We used data from the ABCD 3.0 release (DOI: <http://dx.doi.org/10.15154/1519007>). The final sample of the present study after quality control (QC; see below) included 7124 individuals (3388 female/3736 male) with a mean age of 9.92 years (standard deviation=0.62).

2.2. Ethical approval

All procedures were approved by a central Institutional Review Board (IRB) at the University of California, San Diego, and in some cases by individual site IRBs (e.g. Washington University in St. Louis). Parents or guardians provided written informed consent after the procedures had been fully explained and children assented before participation in the study.

2.3. MRI data

Structural T1-weighted and diffusion-weighted measures from bilateral regions of interest (ROIs) were included from the tabulated 3.0 imaging release baseline data. The imaging processing pipelines are explained in detail elsewhere (Hagler et al., 2019). Briefly, structural imaging data were corrected for gradient nonlinearity distortions and intensity inhomogeneities and were rigidly registered to an in-house average adult template (Hagler et al., 2019). The images were then submitted to FreeSurfer v5.3 to obtain averages of cortical thickness and surface area within 68 bilateral cortical ROIs (n total cortical ROIs = 136) from the Desikan-Killiany parcellation (Desikan et al., 2006). Diffusion-weighted data were corrected for eddy currents, signal dropouts, head motion, gradient nonlinearities and B0 distortions and were registered to the T1w images and resampled to a standard orientation. 37 major white matter tracts were labeled using a probabilistic atlas – AtlasTrack; Hagler et al., 2009 – containing prior information of the tracts excluding voxels containing primarily gray matter or cerebrospinal fluid (see Hagler et al., 2019 supplementary Table 6 for a complete list of the tracts). Average values within the tracts of several microstructural metrics were obtained after estimating diffusion tensor imaging (DTI) and restriction spectrum imaging (RSI; White et al., 2013) models. From the DTI models, metrics that quantify different aspects of water diffusivity included fractional anisotropy (DTI-FA; magnitude of directional (spherical) diffusion), mean diffusivity (DTI-MD; total amount of diffusion), longitudinal diffusivity (DTI-LD; diffusion parallel to tracts) and transverse diffusivity (DTI-TD; diffusion perpendicular to tracts). From the RSI models, averaged measures within the tracts included normalized directional restricted diffusion (RSI-Res) and normalized directional hindered diffusion (RSI-Hind), presumably reflecting oriented diffusion of the intracellular and extracellular components respectively. In addition, the volume of the white matter tracts in mm³ was included (DTI-Vol; (n total white matter ROIs = 259). See Supplementary Table 1. The QC of the tabulated magnetic resonance imaging (MRI) data included: 1) exclusion of participants that did not pass the ABCD recommended

QC (`imgincl_t1w_include==0 & imgincl_dmri_include==0`). The recommended QC was based on the quality of the raw data (e.g., discard images with severe artifacts or poor quality) and postprocessing quality (e.g., manual QC of surface reconstruction or accuracy of fiber tract segmentation, automated QC measures); 2) set extreme values (robust median-based z-scores > 3) to missing values; 4) exclusion of participants with more than 10% of missing values in each imaging modality (structural and diffusion MRI) and 5) imputation of the remaining missing values with k-nearest neighbors algorithm ($k = 3$). 8806 participants remained after these preprocessing steps. Visual assessments of the imputation quality are provided in the Supplementary information and show similar distributions between the observed and imputed data. However, these descriptive checks do not rule out the possibility that the distributions are significantly different. So in order to ensure that the imputation method did not affect the main results, the multivariate CCA analysis was repeated without excluding and imputing extreme observations ($n = 9071$).

2.4. Genetic data

The genotyped data were obtained using an Affymetrix NIDA Smoke-Screen array containing 733,293 variants (Baurley et al., 2016). QC was performed based on calling signals and variant rate calls, following previous recommendations (Lam et al., 2019). See NIMH experiment #1194 for more information. Imputation was performed with the multi-ethnic TOPMed reference panel. Post-imputation QC was performed using plink

(Purcell et al., 2007); <http://www.pngu.mgh.harvard.edu/purcell/plink/>) included the following steps: exclusion of low imputation scores and duplicates, genotype and individual missingness (`-geno 0.1 -mind 0.1`), filter out low minor allele frequencies (`-maf 0.05`), and deviation from Hardy-Weinberg equilibrium (`-hwe 1e-6`). After these steps, 4,606,001 variants and 11,101 individuals remained. Data from the genotyping plate 461 were excluded ($n = 82$) following the recommendations. Regions in high linkage-disequilibrium including the major-histocompatibility complex were excluded ([https://genome.sph.umich.edu/wiki/Regions_of_high_linkage_disequilibrium_\(LD\)](https://genome.sph.umich.edu/wiki/Regions_of_high_linkage_disequilibrium_(LD))). The ABCD cohort includes both unrelated and related individuals (siblings, twins, etc.). Due to possible confounds of the family structure, we calculated pairwise family relationships from the genotyped data (ABCD_release_3.0_QCed) using King v. 2.24 (<http://people.virginia.edu/~wc9c/KING/manual.html>), and only one individual from a family of twins and first siblings was kept for further analyses, with the reasoning that this step will exclude most family relations likely to share close environments without substantially reducing the sample size. After merging these data with the valid MRI data after QC, 7124 individuals remained. As population structure can be a confound due to the presence of different alleles in different populations, in addition to accounting for population ancestry components (see below), we repeated the main analyses in participants with European genetic ancestry (`genetic_af_european > 0.90`; $n = 3841$).

2.5. Polygenic scores

Polygenic scores are weighted sums of risk alleles weighted by their effect sizes as found through previous GWAS. To study the relationships between PRS of psychiatric disorders and brain imaging phenotypes, we constructed PRS for various disorders spanning neurodevelopmental and later onset psychiatric disorders, making use of the latest and largest publicly available GWAS summary statistics: Attention-Deficit/Hyperactivity Disorder (PRS-ADHD, Demontis et al., 2019), Autism Spectrum Disorder (PRS-ASD, The Autism Spectrum Disorders Working Group of The Psychiatric Genomics Consortium, 2017), Bipolar Disorder (PRS-BIP, Stahl et al., 2019), Major Depressive Disorder (PRS-MDD, Wray et al., 2018), Obsessive-Compulsive Disorder (PRS-OCD, International Obsessive Compulsive Disorder Foundation Genetics

Collaborative (IOCDF-GC) and OCD Collaborative Genetics Association Studies (OCGAS), 2018), Schizophrenia (PRS-SCZ, Trubetsky et al., 2022) and a Cross-Disorder PRS (PRS-Multiple, Lee et al., 2019) capturing pleiotropy between multiple diagnoses including anorexia nervosa, ADHD, ASD, BIP, MDD, OCD SCZ, and Tourette syndrome. In addition, we included a PRS of Educational attainment (PRS-Edu, Lee et al., 2018) given its possible implication in brain development and higher prediction accuracy. All PRS was created using PRSice-2 (Choi and O'Reilly, 2019). Because SNPs are in linkage disequilibrium, a clumping procedure to retain independent SNPs was used with default settings that included clumping any SNP within a 250 kb window and a correlation of 0.1. PRS scores for each individual were calculated over a range of p-value thresholds (`min=5e-8, max=0.5, interval=5e-05`).

2.6. Dimensional symptoms and height

In addition to exploring the relationship between PRS and brain imaging, we further included dimensional clinical scores to compare their relationship with imaging measures. We included dimensional symptomatology scores from the parent-reported Child-Behavior checklist questionnaire (CBCL), which assesses a wide range of behavioral and emotional problems in the past six months. These problems can be broadly categorized as internalizing, covering a range of emotional problems (mood, anxiety and depression, etc.) or externalizing, indicating overt behavioral conflicts and violation of social norms. Internalizing and externalizing problems were derived from the following syndromic scales: anxious/depressed, withdrawn/depressed and somatic complaints, social problems, thought problems, rule-breaking behavior and aggressive behavior. We obtained the raw scores for externalizing and internalizing behaviors. We computed robust z-scores based on each score's deviation from the median absolute deviation (MAD). Scores with a robust-z >4 (4 x MAD) were considered extreme and were imputed with the R package *mice*. Less than 10% of values were imputed in each variable. In addition, we included a measure of anthropometric height to compare the genetic effects on brain imaging measures and height. The same QC steps were performed as for the CBCL variables. The results were reassessed without imputing the extreme values. All variables were inverse-ranked normally transformed and z-scored before analyses.

2.7. Principal component analysis (PCA)

PCA seeks to reduce the dimensionality of a dataset by transforming the initial variables into a smaller set of orthogonal axes. We used PCA on both the MRI ROI measures and the PRS scores to downscale the data into the axes that captured the largest amount of variation in the data. The PRS scores were calculated over a range of thresholds. Since the optimal p-value threshold for the PRS is unknown, using PCA to reduce the PRS scores provides unbiased estimates for association tests while maximizing the variance retained from the different scores (Alnæs et al., 2019; Coombes et al., 2020). Before PCA, all regional MRI ROI measures were residualized for age, sex, scanner and the first 20 ancestry components (see below) using linear models. Similarly, all individual PRS scores were residualized by the first 20 ancestry components. All residuals were then inverse-ranked normally transformed and z-normalized to avoid higher variance variables being overrepresented in the principal components and then submitted to PCA. For each MRI modality, a PCA was performed with the R function *prcomp*, one for cortical thickness, one for surface area, etc. and the first 3 PCs were retained for further analyses. This number of components was chosen arbitrarily to ease interpretability, since the first principal component showed a global measure with all variables loading into it, and the second and third components showed orthogonal regional components. It is important to note that the cumulative variance explained of the first 3 PCs of some modalities was low (<50%, e.g., cortical thickness or RSI-Hind), and therefore the imaging information of some modalities used further

explained less than half of the total variance. For that reason, we performed additional tests to ensure the validity of our results. First, we calculated the SNP heritability of all the ROI imaging measures included, as well as the heritability of an extended 20 PC solution per imaging modality as shown in Supplementary materials. Second, we re-assessed the multivariate CCA analysis with 100 PCs of all the MRI data as the imaging input. For the PRS scores, only the first PC was retained because in all cases the variance explained by this component exceeded $> 90\%$, denoted simply as PRS from here on. Component signs were flipped to reflect a positive load on PC1. The individual subject scores for each PC (27 imaging components and 8 PRS) were used for subsequent analyses.

2.8. SNP heritability

We used GCTA to quantify the proportion of imaging components and univariate ROI measures variance explained by all the imputed and QCed autosomal SNPs (Yang et al., 2011). Instead of fitting individual SNPs, GCTA fits all common SNPs simultaneously as random effects in a linear mixed model framework. From the QCed and imputed SNP data, pairwise genetic relationships were estimated to generate a Genetic Relationship Matrix (GRM), where each off-diagonal element represents the additive genetic relatedness between pairs of individuals. The GRM from the SNPs was then fitted in a linear mixed model and Restricted Maximum Likelihood was used to estimate the variance explained by all SNPs.

Estimates were tested for significance with a likelihood ratio test, comparing the likelihood of the alternative to that of the null hypothesis. A PCA of the GRM was performed and the eigenvectors of the first 20 PCs (“ancestry PCs”) were used to residualize the imaging and PRS variables. Heritability confidence intervals (CIs) at 95% were estimated using FIESTA (Fast Confidence Intervals using Stochastic Approximation; (Schweiger et al., 2018, 2017) that uses parametric bootstrapping to calculate accurate heritability CIs based on the eigenvectors and eigenvalues of the GRM, and the same covariates that were used to residualize the MRI data. As a sensitivity step, we repeated the analyses restricting the sample to participants with European genetic ancestry ($n = 3841$).

2.9. Univariate models between imaging components and PRS and CBCL

Linear relationships between imaging components and PRS and CBCL scores were tested with Bayesian models with all standardized brain imaging components as dependent variables and PRS and CBCL scores as independent variables. Bayes factors to quantify the degree of evidence of the alternative (slopes are non-zero) versus the null hypothesis (slopes are 0, intercept only model) were obtained with the *lmFB* R function and were classified in different categories from providing evidence for the null ($bf \leq 0.33$), anecdotal evidence suggestive of limited sample size ($bf > 0.33 \leq 3$), to moderate ($bf > 3 \leq 10$), strong ($bf > 10 \leq 100$) and extreme ($bf > 100$) evidence for the alternative hypothesis (45,46). Uncertainty estimates and posterior means were obtained running Bayesian models with *brms* with weakly informative priors (normal priors with mean 0 and standard deviation 0.5), 2000 iterations, 1000 warm-ups and 4 chains. All model parameters were tested for convergence based on the Rhat value (< 1.1).

2.10. Canonical correlation analysis (CCA)

CCA is a multivariate technique suitable for evaluating associations between two sets of variables. It seeks the latent dimensions that best characterize the shared variance across the original variables. CCA is ideal to decompose the multivariate shared space of complex and high-dimensional brain imaging and non-imaging phenotypes (Miller et al., 2016; Taquet et al., 2021). We used CCA to interrogate the simultaneous associations between brain imaging components on the one hand, and PRS and externalizing and internalizing problems on the other hand. To

assess the significance of the canonical modes, we used permutation testing (1000 permutations) by randomly shuffling the rows (participants) of the PRS matrix, thereby breaking the associations between the two variable sets. In each permutation, CCA was performed and the maximum canonical correlation was obtained. The null distribution from this procedure effectively controls for multiple comparisons across all possible modes. The p-values were calculated as the number of canonical correlations from the null distribution that exceeded the observed canonical correlation over the total number of permutations. While the permutation test provides stringent control for family-wise errors, the resulting p-values are ignorant to the generalization performance of the model. To evaluate the generalizability of the modes, we performed a 10-fold cross-validation scheme 100 times as in (Alnæs et al., 2020). For each iteration of the cross-validation loop, each fold was kept out once and 90% of the data was used to calculate the PCA and CCA weights and applied on the kept-out 10% of the sample. An out-of-sample distribution of canonical correlations was calculated by averaging out the correlations across loops. An alternative MRI-PCA solution with 100 PCs derived from all the neuroimaging ROI data (instead of obtaining 3 PCs per imaging modality) was used as input for CCA to test the stability of the CCA modes. Finally, to investigate whether the brain scores from the CCA were useful in predicting other unseen variables from the same individuals, we ran Bayesian linear models with *brms* predicting fluid and crystallized cognition at baseline and follow-up (n observations = 11,051, mean interval = 2.01 years). The models included fluid or crystallized uncorrected scores from the NIH toolbox as dependent variables, fixed effects for brain scores, age at baseline, sex, brain scores*time from baseline and random effects for subjects as predictors.

3. Results

3.1. Imaging components

We used PCA to obtain the first principal components that captured the largest amount of variance of each of the neuroimaging modalities. The first PC of all imaging modalities was a global component with contributions from all ROI measures, and the subsequent PCs showed regionally specific patterns. See Table 1. Full loadings and scree plots are shown in Supplementary Table 2 and Supplementary Figure 1. Figure 1A shows the spatial patterns of the first three components for the cortical measures. The first three components of cortical thickness explained a cumulative 40% of the variance (PC1:32%, PC2:4.5%, PC3:3.5%). PC1 represented a global component, while PC2 and PC3 showed regional components largely following an anterior-posterior and superior-inferior axis, respectively. Similar global to regional decompositions were observed for all imaging modalities. See Supplementary Figures 1–3.

3.2. Heritability of imaging components and ROI measures

We first calculated the SNP heritability of the imaging components and found that most components had moderate heritability estimates (between 0.20 and 0.50). Fig. 1B and Supplementary Table S3. 23/27 components remained significant after FDR correction, excluding cortical thickness PC3, DTI-MD PC3, DTI-LD PC2 and RSI-Hind PC1. Similar ranges but moderately higher and more variable estimates were found when restricting the sample to participants of European genetic ancestry (Supplementary Figure 4). For an extended 20 PC solution see Supplementary Figure 5. The top 5 components with the highest point estimates were: RSI-Res PC3 ($h^2_{SNP} = 0.34$; 95% CI = 0.25–0.44), height ($h^2_{SNP} = 0.30$; 95% CI = 0.20–0.38), DTI-FA PC3 ($h^2_{SNP} = 0.30$, 95% CI = 0.21–0.39), DTI-TD PC2 ($h^2_{SNP} = 0.28$, 95% CI = 0.20–0.37) and surface area PC2 ($h^2_{SNP} = 0.28$; 95% CI = 0.20–0.37). The heritability of the global components was also moderate. Notably, the global RSI-Hind (normalized directional hindered diffusion of the “extracellular” space)

Table 1

MRI PCA results. A PCA was performed with the ROI data of each imaging modality and the first three principal components were retained. The table shows the proportion of the total variance and the cumulative proportion of the variance explained by the first three principal components of each modality. For the full loadings please see Supplementary Table 2.

Modality	PC	Variance explained	Cummulative variance explained
Cortical Thickness	PC1	0.318	0.318
Cortical Thickness	PC2	0.045	0.363
Cortical Thickness	PC3	0.035	0.398
Surface Area	PC1	0.350	0.350
Surface Area	PC2	0.042	0.391
Surface Area	PC3	0.032	0.423
DTI Vol	PC1	0.609	0.609
DTI Vol	PC2	0.049	0.658
DTI Vol	PC3	0.043	0.701
DTI FA	PC1	0.358	0.358
DTI FA	PC2	0.099	0.457
DTI FA	PC3	0.069	0.526
DTI MD	PC1	0.571	0.571
DTI MD	PC2	0.087	0.658
DTI MD	PC3	0.047	0.705
DTI LD	PC1	0.352	0.352
DTI LD	PC2	0.093	0.445
DTI LD	PC3	0.068	0.513
DTI TD	PC1	0.501	0.501
DTI TD	PC2	0.088	0.590
DTI TD	PC3	0.057	0.646
RSI-Res	PC1	0.455	0.455
RSI-Res	PC2	0.084	0.539
RSI-Res	PC3	0.070	0.609
RSI-Hind	PC1	0.319	0.319
RSI-Hind	PC2	0.096	0.415
RSI-Hind	PC3	0.075	0.490

PC1 had a low heritability and the regional components higher (corticostriatal tracts and fornix). We then calculated the SNP heritability of all univariate ROIs (Fig. 2). These results confirmed the imaging component results shown in Fig. 1B, and showed that RSI-Res (normalized directional restricted diffusion of the “intracellular” space) and DTI-FA of the superior longitudinal fasciculus and the fornix were the modalities and regions with the highest heritability. The average of the non-zero univariate heritability estimates showed that RSI-Res was the modality with the highest heritability and RSI-Hind with the lowest, that all white matter modalities (excluding RSI-Hind) were more heritable than cortical measures and that cortical thickness was marginally less heritable than surface area. Overall, the SNP heritability estimates reported here are comparable albeit lower to some extent to other recent reports in adults (L. T. Elliott et al., 2018; Grasby et al., 2020).

3.3. Univariate associations of imaging components, genetic and clinical risk

We then assessed whether imaging components were related to genetic and clinical risk for psychopathology and PRS for educational attainment. These models revealed that the strongest relationships were of imaging components with PRS-Edu and externalizing and internalizing behaviors, further confirmed with Bayes factors suggesting extreme and strong evidence (Fig. 3A–B). Higher PRS-Edu were associated with greater surface area PC1, DTI-Vol PC1 and DTI-LD PC1 (Fig. 3A), and more externalizing problems with smaller surface area PC1 and DTI-Vol PC1. In contrast, PRS of psychiatric disorders showed overall weaker effects and null to anecdotal evidence. Anecdotal evidence can be indicative of data insensitivity (Dienes, 2014) and suggestive of limited sample size, so it is likely that the ability to detect expected small effects will increase with larger sample sizes. Anecdotal results pointed for example to higher PRS of bipolar disorder associated with greater thickness PC2-PC3, larger surface area PC1 and smaller surface area PC3. Uncertainty intervals are shown in Supplementary Figure 6. When restricting the sample to participants with European genetic ancestry, we found similar relative results with the strongest associations between PRS-Edu and

CBCL externalizing problems and global surface area and DTI volumes (see Supplementary Figure 7).

3.4. Multivariate patterns of brain imaging, genetic and clinical risk

Finally, we used CCA to evaluate the multivariate patterns of covariation between brain imaging components on the one hand, and PRS of psychiatric disorders and educational attainment and clinical symptomatology on the other. If PRS of psychiatric disorders have distributed effects observed across multiple neuroimaging modalities, then we expect to find significant modes of covariation between PRS and imaging components. We found two significant modes of covariation after permutation testing (Mode1: $r = 0.153$, $p_{\text{perm}} < 0.001$; Mode2: $r = 0.108$, $p_{\text{perm}} = 0.004$). The first mode related higher PRS-Edu and fewer externalizing problems to larger global surface area PC1 and DTI-Vol PC1 (Fig. 4). The second orthogonal mode showed that higher PRS of schizophrenia, bipolar disorder and to a lesser extent autism spectrum disorder were associated with higher global cortical thickness PC1, particularly in the occipital cortex, larger surface area of medial occipital regions (PC2), smaller surface area of lateral temporal regions and inferior parietal cortex (PC3) and smaller tract volumes of the fornix, parahippocampal cingulum and forceps major. Although significant, these modes had limited generalizability to unseen data, with canonical correlations in the test sets substantially lower than the empirical correlation observed in the full sample ($\text{mean}_{\text{test}} = 0.02$, $\text{mean}_{\text{training}} = 0.13$ Fig. 4). Brain scores were comparable between white Europeans and other ethnicities (mode1/mode2: posterior mean difference $-0.01/0.02$; 95% credible interval $-0.04-0.05/-0.02-0.07$). CCA modes were comparable 1) repeating the analysis without imputing extreme MRI observations ($n = 7334$; see Supplementary Figure 8) and 2) using 100 principal components from all the MRI data as input to CCA instead of 3 components per modality (correlation brain scores mode1/mode2: 0.67/0.54; correlation PRS scores mode1/mode: 0.94/0.93).

Finally, we tested whether brain scores predicted fluid and crystallized cognitive scores at baseline and their change over a two years inter-

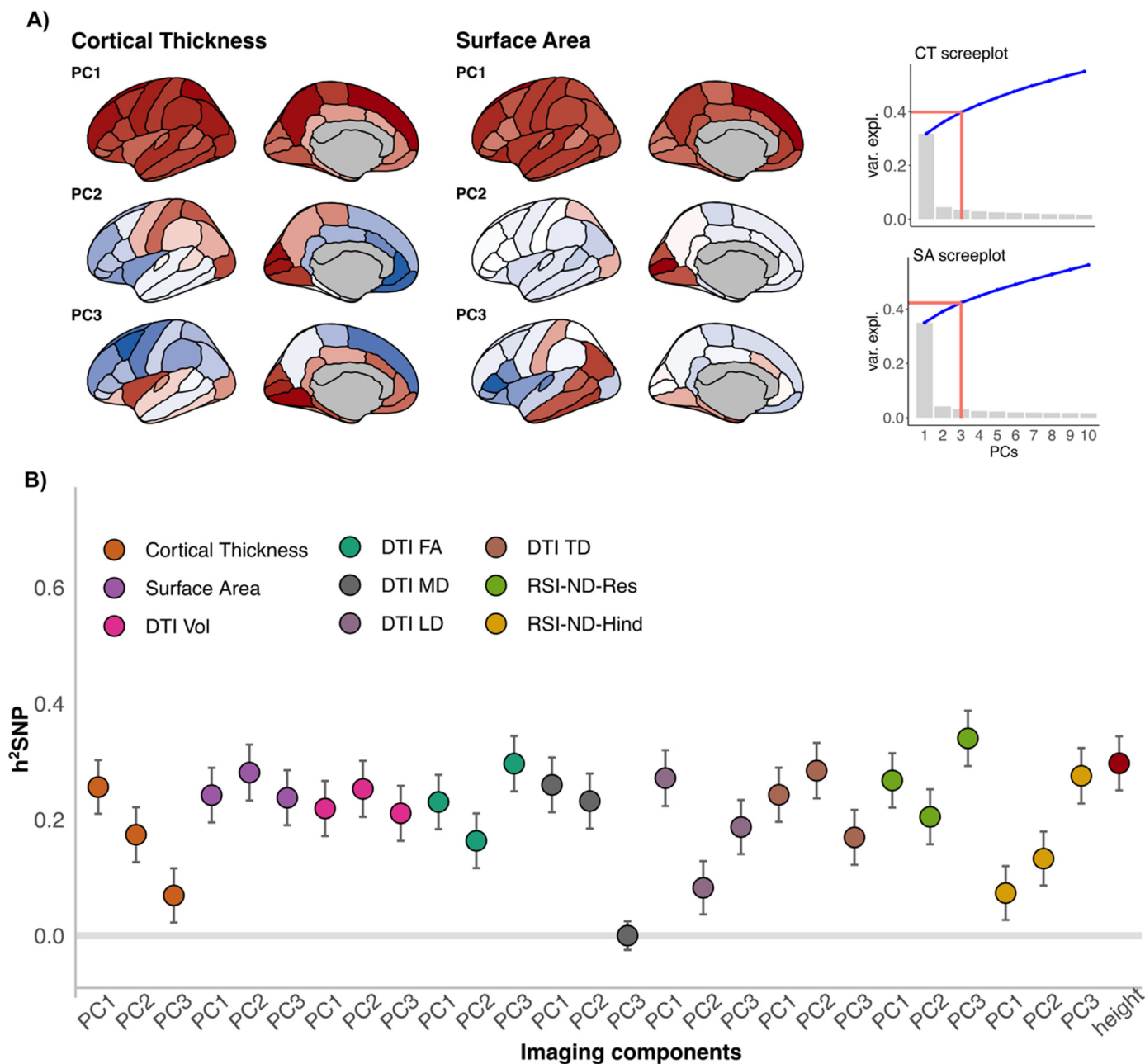


Fig. 1. SNP heritability of the first three imaging components of structural neuroimaging data and height. A) Cortical thickness and surface area first three principal components. Brain plots display the loadings of the imaging variables on each of the components. Brain plots were created with *ggseg* (Mowinckel and Vidal-Piñeiro, 2020) and show the left hemisphere for simplicity as loadings were comparable across hemispheres. On the right-hand side, scree plots display the variance (gray bars) and cumulative variance explained (blue line) of the first 10 components. The red line shows the cumulative variance explained by the first 3 components. B) SNP heritability (V_g/V_p) point estimates and standard errors (SE) of the imaging components and height. The Y-axis shows the SNP heritability (h^2_{SNP}). Cortical imaging modalities included cortical thickness and surface area. White matter macro and microstructure components included DTI-Vol (volume of white matter tracts in mm³), DTI-FA (magnitude of directional (spherical) diffusion), DTI-MD (total amount of diffusion), DTI-LD (diffusion parallel to tracts), DTI-TD (diffusion perpendicular to tracts), RSI-Res (normalized directional restricted diffusion, “intracellular”) and RSI-Hind (normalized directional hindered diffusion; “extracellular”). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

val. We found that Mode 1 brain scores were associated with higher fluid ($\beta=1.04$, CI=0.82–1.27) and crystallized scores ($\beta=1.12$, CI=0.97–1.28) at baseline, whereas brain scores of Mode 2 two were only marginally associated with lower crystallized scores at baseline ($\beta=-0.19$, CI=-0.35–0.04). Brain scores did not predict changes in cognition over time in the subsample examined. See Supplementary Figure 9. These results show that although the multivariate patterns did not generalize well, they were able to capture meaningful variation associated with other unmod-
 eled variables of the same individuals.

4. Discussion

Recent evidence from genome-wide and functional genomic studies has put brain development at the foreground of psychiatric disorder’s pathophysiology (Ripke et al., 2020). Here, we combined human neuroimaging with individual SNP data to understand the links between genetic differences and polygenic scores for psychiatric disorders, and the adolescent brain. First, we decomposed each morphological and microstructural imaging modality into their first axes of variation (“imag-

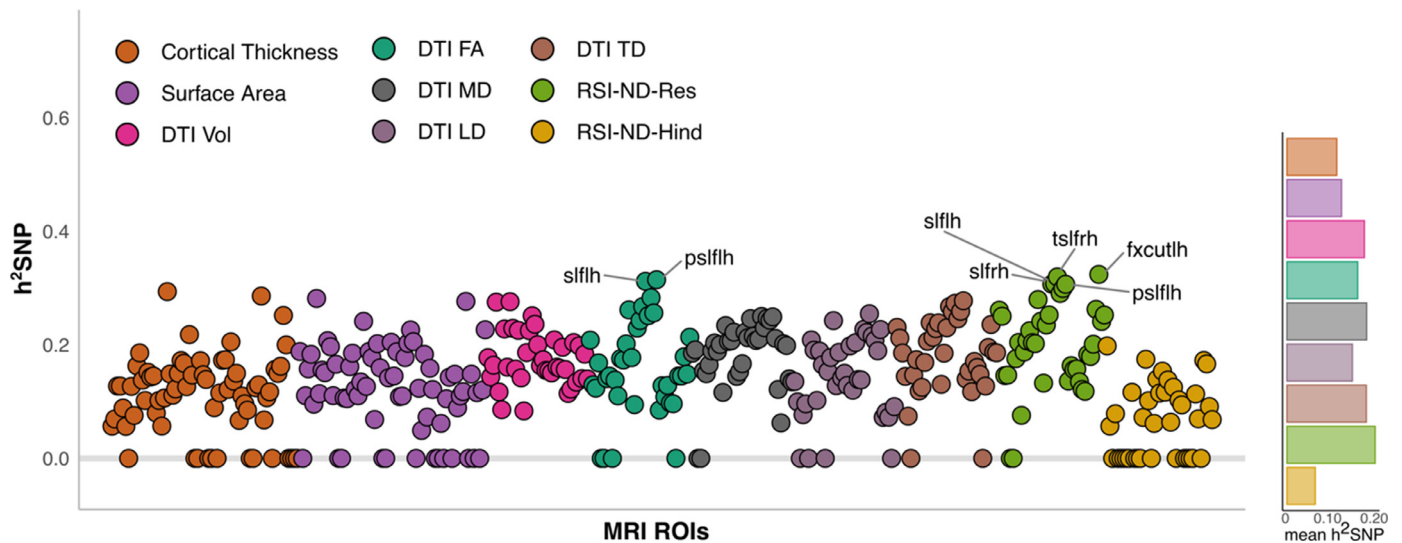


Fig. 2. SNP heritability of all bilateral MRI ROI measures. ROIs with the highest heritability point estimates ($h^2_{SNP} > 0.30$) are highlighted in the figure. MRI=magnetic resonance imaging; ROIs=regions of interest; h^2_{SNP} = SNP heritability; slflh= left superior longitudinal fasciculus; slfrh= right superior longitudinal fasciculus; psllh=left posterior part of the superior longitudinal fasciculus; tsflrh = right temporal part of the superior longitudinal fasciculus; fxcutlh=left fornix.

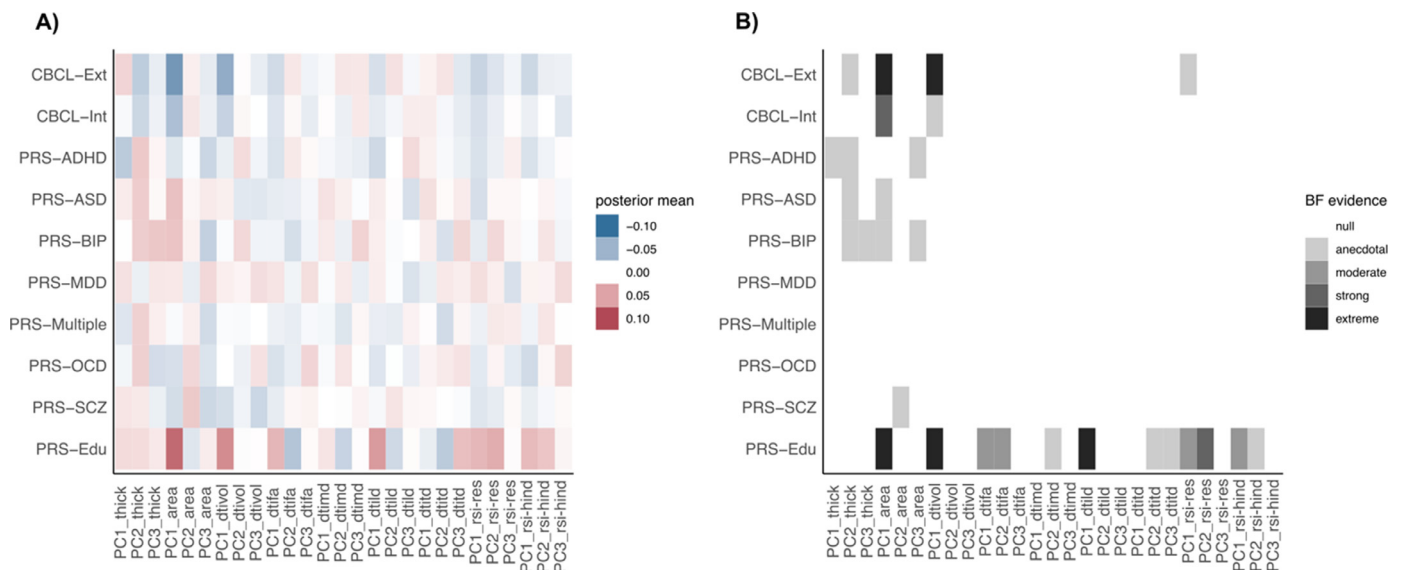


Fig. 3. Univariate associations between imaging components and PRS of psychiatric disorders, educational attainment and clinical symptomatology. A) Posterior means of the univariate Bayesian linear regression models between brain imaging (x-axis) and PRS of psychiatric disorders and PRS-Edu and CBCL behavioral scores (y-axis). B) Bayes factors (BF evidence) showed largely null and anecdotal associations between psychiatric PRS and brain imaging components. The strongest evidence showed associations between PRS-Edu, CBCL externalizing problems and global surface area and DTI volumes.

ing components”) and found moderate SNP heritability of most of the components examined. Second, we found small and mostly null associations of imaging components with PRS of psychiatric disorders. The strongest effects revealed higher PRS-Edu with larger surface area PC1 (global). Finally, capitalizing on the interrelated nature of the brain and genetic and clinical risk, we combined brain imaging, genetic and clinical data in a single multivariate model and found two significant modes of covariation after permutation testing. The first mode recapitulated an association between higher PRS-Edu, less externalizing problems and larger surface area PC1. The second orthogonal mode showed that higher PRS of schizophrenia and bipolar disorder were related to higher cortical thickness PC1-PC2, smaller surface area of the lateral temporal and inferior parietal cortex and smaller white matter volumes of the fornix and cingulate tracts. While the stringent cross-validation

procedures revealed limited generalizability of the significant multivariate patterns, which could be due to the limited sample size, they agree with current reports showing that the associations between psychiatric polygenic risk and the brain are dominated by small and distributed effects.

We first examined the SNP heritability of imaging components spanning multiple macro and microstructural neuroimaging modalities. We observed modest heritability estimates of most imaging components, conceivably lower than in previous reports with older samples (L. T. Elliott et al., 2018; Zhao et al., 2021). Besides methodological differences, the genetic effects on brain imaging measures are likely to vary across the lifespan, and the heritability of cortical thickness of association areas has been shown to increase throughout adolescence (Lenroot et al., 2009; Schmitt et al., 2014; Teeuw et al., 2019). We also found lower

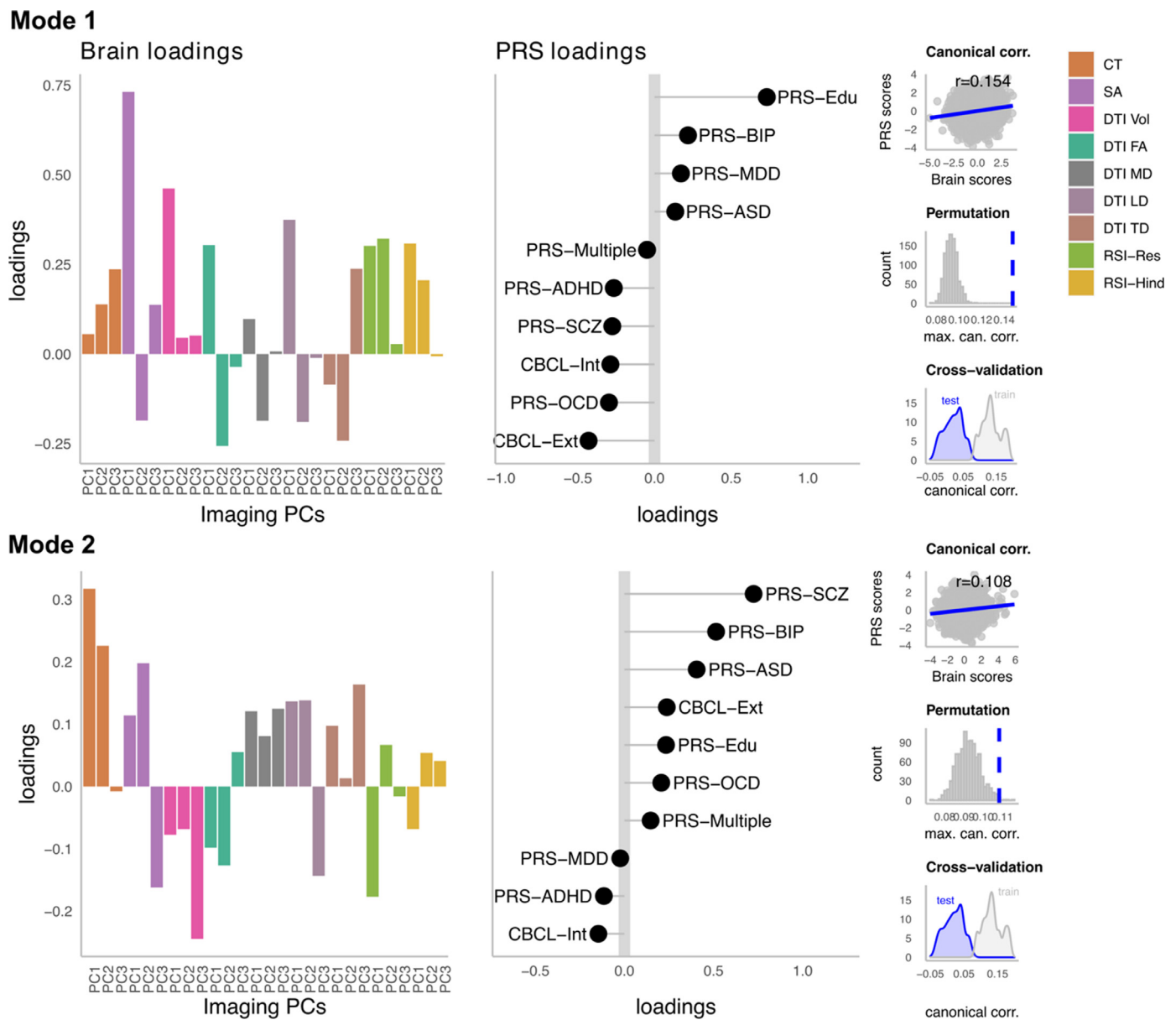


Fig. 4. Multivariate modes of covariation between brain imaging and genetic and clinical psychiatric risk. Multivariate canonical modes between brain imaging components and PRS and symptoms were assessed with CCA. The figure shows the loadings (correlation of original variables with the canonical variates) of two significant modes of variation. The first mode (upper row) linked greater global surface area with higher PRS-Edu and fewer externalizing problems. The second mode (bottom row) related greater global cortical thickness and smaller white matter volumes of the fornix and parahippocampal cingulum (PC3) to higher PRS of schizophrenia and bipolar disorder. The right-hand side of both rows shows the canonical correlations, the permuted null distributions and the observed canonical correlations (blue line) and the cross-validation distributions of the average canonical correlations in the test (blue) and training (gray) sets. Canonical correlation values in the unseen data (test) were substantially lower than the empirical correlation of the full sample, indicating limited generalizability of the results. The distribution of canonical scores in the training subsets showed comparable canonical correlations to that observed in the full sample. Permutation tests were performed with 1000 permutations to assess the significance of the modes. 10-K cross-validation performed 100 times provided averaged canonical correlation in (training) and out-of-sample (test).

heritability estimates for height than in other adult studies (Yang et al., 2010). Increased heritability with increasing age may be a general phenomenon that applies to many traits (e.g. IQ, (Bouchard, 2013) and could be explained by multiple factors including the decline in environmental variance or gene-environment correlations (Bergen et al., 2007; Schmitt et al., 2014). Imaging components of cortical sensory regions such as the surface area of the pericalcarine, lingual and cuneus ROIs (PC2) were more heritable than associative components, in agreement with prior adolescent and adult studies (Anderson et al., 2021; Schmitt et al., 2019). The regional RSI component reflecting the neu-

rite density of the superior longitudinal fasciculus (RSI-PC3) showed the highest heritability point estimate ($h^2_{\text{SNP}}=0.34$), further confirmed through univariate analyses (Fig. 2). The superior longitudinal fasciculus is an association fiber bundle that connects the occipital, parietal and temporal lobes with the frontal lobes, and matures later than sensory-motor tracts (Bürgel et al., 2006; Westlye et al., 2010). These patterns are in line with recent studies reporting the highest heritability for white matter intracellular volume fraction and diffusivity phenotypes, with the superior longitudinal fasciculus among the highest (Smith et al., 2020; Zhao et al., 2021). In sum, our results highlight the importance for imag-

ing genetics studies to consider the maturational timing of the brain phenotypes examined as well as heterogeneity between imaging modalities and regions.

We then investigated whether PRS of several psychiatric disorders, PRS-Edu and clinical symptomatology were associated with brain imaging components at this age. Using univariate Bayesian linear models, we tested many one-to-one associations between imaging components and PRS and behavioral scores. The strongest effects were found for PRS-Edu, which was related to both global and regional imaging components. Higher PRS-Edu was related to greater surface area PC1 (global) and DTI tract volumes PC1 (global). The relationship between higher PRS-Edu and global surface area in adolescence has been previously shown (Judd et al., 2020), and is consistent with positive genetic correlations between educational attainment and total surface area (Grasby et al., 2020). PRS-Edu was also related to greater regional white matter diffusion of the cortico-striate tracts that connect the frontal and parietal cortices to the striatum (e.g. RSI-Res PC2). Cortico-striatal connectivity appears to greatly develop during adolescence (Palmer et al., 2021), enabling integration between the striatum and the cortex, and possibly subserving cognitive control and value-guided action (Davidow et al., 2018). The relationship of PRS of psychiatric disorders and imaging components were weaker and mostly characterized by null and anecdotal evidence when examined separately for each imaging phenotype. The stronger associations found for PRS-Edu may relate to differences in the original studies that translate into varying predictability. The PRS-Edu currently explains up to 13% of the variance of the phenotype, whereas the PRS-SCZ explains up to 7% (Lee et al., 2018; Ripke et al., 2020).

Our multivariate approach revealed two significant modes of covariation between brain imaging and genetic and clinical risk. The results of this analysis should be interpreted with caution in light of the limited generalizability observed with our cross-validation scheme, and future replication attempts are crucial to determine the implications of these results. Further, small effects may be the norm in larger samples when testing for associations between different complex phenotypes and human traits (Dick et al., 2021; Paulus and Thompson, 2019; Westlye et al., 2019). Even larger sample sizes than the one used here may be needed to be able to detect the expected small effects of psychiatric PRS on the brain (Westlye et al., 2019). The canonical correlations we found were small ($r < 0.2$). Nonetheless, this analysis highlights the added value of using multimodal imaging in a multivariate framework to help understand the associations with the psychiatric risk that would have been missed otherwise. This is more clearly seen in Mode 2. This mode related higher polygenic scores of schizophrenia, bipolar disorder and autism spectrum disorder to higher cortical thickness, smaller surface area in lateral and medial temporal regions (surface area PC3), lower global white matter restricted neurite density (RSI-Res PC1) and smaller white matter volumes in the fornix and cingulum (DTI-Vol PC3). In other words, the PRS for these disorders were not solely related to a single modality or brain area but rather to a combination of morphology and microstructural brain phenotypes. Importantly, these psychiatric PRS were associated with a multimodal brain imaging pattern above and beyond the dimensional clinical symptoms modeled here. In addition to showing higher thickness globally, which is consistent with recent reports in youth samples (Kirschner et al., 2021), it revealed regional shape differences in the lateral and medial temporal cortex, and white matter tracts connecting the hippocampus, a region previously associated with schizophrenia (Alnæs et al., 2019; Harrison, 2004; van der Meer, Dennis et al., 2020). Many biological processes may underlie the observed signals as imaging modalities are sensitive but not specific to single biological processes. It is conceivable that multiple developmental processes (myelination, pruning, morphological changes, etc.) are happening in parallel and account for the neuroimaging patterns reported here. Previous animal work points to aberrant pruning in schizophrenia that eventually results in an excess loss of synapses (Sekar, Aswin et al., 2016; Yilmaz et al., 2021). Combining quantitative MRI with diffusion-weighted imaging shows promise to help clarify different processes *in-*

vivo in humans (Lazari et al., 2021; Natu et al., 2019). Finally, that the risk for several psychiatric disorders manifests in shared brain correlates supports their transdiagnostic nature (Anttila et al., 2018; M. L. Elliott et al., 2018; Sprooten et al., 2021; Taquet et al., 2021).

Although late childhood and early adolescence represent important developmental periods, prenatal genetic influences continue to be observed in the brain throughout development and aging (Ball et al., 2020; Fjell et al., 2015). Moreover, genes associated with psychiatric disorders show preferential expression during prenatal development (Birnbaum et al., 2014; Jaffe et al., 2018). It is conceivable that at least part of the effects of psychiatric risk on the brain would be observed even earlier in life. Future longitudinal and experimental studies would be beneficial to disentangle developmental and causal relationships. In this study, we included ROI data from multiple modalities, yet high heterogeneity within ROIs has been reported, particularly within tracts and regions with the strongest age effects (Palmer et al., 2021). Multimodal voxel-wise imaging data can be more precise when studying typical and atypical brain development. Although our main PCA results are consistent with the univariate heritability (Fig. 2) and multivariate heritability analyses increasing the number of components (Supplementary Figure 5), our PCA approach only captured gross patterns of variation in the imaging data, and it is plausible that more regionally-specific genetic effects may have been missed. Despite the efforts to minimize sample bias, the ABCD cohort differs from general population estimates (e.g., higher family income, Heeringa and Berglund, 2020) and it is uncertain how this impacts the distributions of the PRS of mental disorders. In addition, more recently developed methods for calculating PRS show higher prediction accuracy than the one employed here and may be more advantageous in psychiatric applications (Ge et al., 2019.; Ni et al., 2021). Finally, heritability is a sample-specific concept, and other sources of variation than genetics e.g. environmental variance and measurement error impact its estimation. Family designs, repeated measures and cross-cultural studies can give a broader view of the factors accompanying brain development.

5. Conclusions

To conclude, we found that higher PRS for educational attainment were associated with larger surface area and white matter volumes, confirming previous reports. In contrast, PRS for psychiatric disorders had small and distributed effects on multiple brain imaging measures in late childhood. Our multivariate approach revealed multimodal associations between psychiatric risk and the brain, demonstrating potential pathways for future research and supporting the transdiagnostic nature of psychiatric disorders. Yet, our cross-validation findings act as a reminder of the general knowledge that statistical significance should not be confused with generalization performance, and future studies should test the replicability and generalizability in other populations. Other unmodeled factors such as rare genetic variation and environmental factors may contribute to deepening our understanding of brain development at this age.

Data and code availability

The ABCD data repository grows and changes over time. The ABCD data used in this report came from NIMH Data Archive Release 3.0 (DOI: <http://dx.doi.org/10.15154/1519007>). DOIs can be found at <https://nda.nih.gov/abcd>. The tools used for analyzing the data are publicly available. Genetic data analysis [plink v.1.9: <http://pngu.mgh.harvard.edu/purcell/plink/>]; PRSice-2: <https://www.prsice.info/>; GCTA v.1.93: <https://yanglab.westlake.edu.cn/software/gcta/>], statistical analysis and data preprocessing [R v.3.6.3: <https://www.r-project.org/>]. Scripts for data handling will be made available upon publication at Open Science Framework: https://osf.io/z83mw/?view_only=1fc11f1d2b8449b6ba0d36f589a34b6b

Declarations of competing interests

None.

Data Availability

The ABCD data used in this report came from NIMH Data Archive Release 3.0 (DOI: <http://dx.doi.org/10.15154/1519007>)

Credit authorship contribution statement

Sara Fernandez-Cabello: Conceptualization, Methodology, Data curation, Formal analysis, Writing – original draft, Visualization. **Dag Alnæs:** Conceptualization, Methodology, Data curation, Writing – review & editing. **Dennis van der Meer:** Data curation, Writing – review & editing. **Andreas Dahl:** Writing – review & editing. **Madelene Holm:** Writing – review & editing. **Rikka Kjelkenes:** Writing – review & editing. **Ivan I. Maximov:** Writing – review & editing. **Linn B. Norbom:** Writing – review & editing. **Mads L. Pedersen:** Writing – review & editing. **Irene Voldsbekk:** Writing – review & editing. **Ole A. Andreassen:** Writing – review & editing, Funding acquisition. **Lars T. Westlye:** Conceptualization, Writing – review & editing, Supervision, Funding acquisition.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.neuroimage.2022.119611](https://doi.org/10.1016/j.neuroimage.2022.119611).

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