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# Linguistic Frequent Pattern Mining using a Compressed Structure

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Abstract Traditional association-rule mining (ARM) considers only the frequency of items in a binary database, which provides insufficient knowledge
 for making efficient decisions and strategies. The mining of useful information

<sup>11</sup> from quantitative databases is not a trivial task compared to conventional al-

<sup>12</sup> gorithms in ARM. Fuzzy-set theory was invented to represent a more valuable

- $_{13}$   $\,$  form of knowledge for human reasoning, which can also be applied and utilized
- $_{14}$   $\,$  for quantitative databases. Many approaches have adopted fuzzy-set theory to

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transform the quantitative value into linguistic terms with its corresponding 1 degree based on defined membership functions for the discovery of FFIs, also 2 known as fuzzy frequent itemsets. Only linguistic terms with maximal scalar 3 cardinality are considered in traditional fuzzy frequent itemset mining, but 4 the uncertainty factor is not involved in past approaches. In this paper, an ef-5 ficient fuzzy mining (EFM) algorithm is presented to quickly discover multiple 6 FFIs from quantitative databases under type-2 fuzzy-set theory. A compressed 7 fuzzy-list (CFL)-structure is developed to maintain complete information for 8 rule generation. Two pruning techniques are developed for reducing the search 9 space and speeding up the mining process. Several experiments are carried out 10 to verify the efficiency and effectiveness of the designed approach in terms of 11 runtime, the number of examined nodes, memory usage, and scalability under 12 different minimum support thresholds and different linguistic terms used in 13 the membership functions. 14

 $_{15}$  Keywords fuzzy-set theory  $\cdot$  fuzzy data mining  $\cdot$  fuzzy-list structure  $\cdot$ 

16 pruning strategies

#### 17 1 Introduction

Knowledge Discovery in Databases (KDD) [1,2,4,39,40,42] has been an impor-18 tant issue in many tasks since it can discover potential and implicit information 19 from datasets. The first fundamental algorithm is known as Apriori [1], which is 20 used to find associations of item(sets) in databases. Apriori uses the minimum 21 support threshold to first identify the set of frequent itemsets (FIs), then apply 22 the minimum confidence threshold to reveal the set of association rules (ARs) 23 from the discovered FIs. An AR can thus be represented as  $X \to Y$ , where 24 support through XY and confidence  $X \to Y$  will be considered as no less than 25 the pre-defined two thresholds. Here, both X and Y are the item(sets) rep-26 resented in databases that are binary. Since Apriori is a level-wise approach, 27 which needs higher computational costs to first generate the candidates then 28 evaluates them level-by-level, an improved algorithm known as FP-growth [13] 29 was implemented to improve mining efficiency by compressing relevant trans-30 actions into a tree structure (called FP-tree). Based on recursive FP-growth 31 and compressed FP-tree structure, the k-itemsets can be recursively discov-32 ered. 33 Motivation and application: In a real-world application (complex en-34

vironmental system, e.g. industrial sensor data), a wide variety of sensors are 35 available that produce a massive amount of data. The produced dataset can 36 make information mining and patterns analysis a more convenient task. The 37 individual data sources have different uncertainty (data quantity) depending 38 on the processing environment of different sensors. The information extraction, 39 retrieval, and mining mostly used traditional mining based techniques to mine 40 distinct patterns. The uncertainty factor assesses the reliability of patterns in 41 terms of probability. Because of uncertainty associated with sensors resources 42

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(e.g. wireless sensor network, Wifi system, and RFID), it is not trivial to dis-1 cover the meaningful and implicit information from databases. Also, analysis 2 instead is based on the scanning of complete datasets (multiple scans) that 3 requires a lot of computational resources for associated similarity and dissim-4 ilarity among data points. Since the size of sensor datasets grows with time, 5 thus the computational cost to mine the required information increases as well 6 with time. The mining procedures associated similar issues with fuzzy type sys-7 tems (e.g., type-1 fuzzy sets) is used for solving uncertainty with probability 8 interpretations of a point [45]. The fuzzy type-1 membership function handles 9 the values within the range [0,1] for uncertainty measures. However, fuzzy 10 type-1 still has interpretability issues as a membership function remains un-11 certain under different conditions [9]. The interpretability issues are resolved 12 with the usage of a fuzzy type-2 membership function. The fuzzy type-2 sec-13 ond membership value makes it computationally less expensive throughout 14 the domain. The fuzzy type-2 membership function has the uncertainty fac-15 tor to produce an interval for the fuzzy degrees (upper and lower values) by 16 the utilization of the pre-defined membership functions. The utilization of the 17 fuzzy type-2 membership function with a comprehensive list structure helps to 18 encompass all the data-points and accommodate different data generated by 19 sensors. It also helps to incorporate missing or uncertain points if results suffer 20 from any type of hardware failure. It can encompass the missing information 21 within a particular proximity. Thus, the uncertain factor can be involved and 22 considered. Furthermore, with the help of a compressed data structure, a less 23 number of scans is then required to handle the mining progress in big datasets, 24 including the uncertainty factor associated with the data and its exponential 25 growth. 26

For most works regarding ARM, the focus is mostly centered around the 27 mining of FIs or ARs from binary databases, which only considers whether an 28 item(set) appears in the databases. The other important factors such as inter-29 estingness, weight, importantness, and quantity are not considered as major 30 factors in ARM. Thus, the discovered information such as FIs or ARs can thus 31 be used for making inefficient or wrong decisions since the discovered knowl-32 edge may be insufficient and incomplete. In real-life domains and applications, 33 an item can be purchased with several amounts in shopping behaviours, for 34 instance, as an example, suppose a patron buys **five** bottles of beer or **two** car-35 tons of milk. It is thus not a trivial task to discover knowledge and information 36 from the quantitative databases. Fuzzy-set theory [10,23,45] was thus designed 37 and used in many intelligent systems such as in engineering fields, manufac-38 turing, and/or medical diagnosis since the represented knowledge based on 39 fuzzy-sets is more interpretable for human reasoning. Furthermore, it can be 40 used for the conversion of quantitative values of items to linguistic terms in 41 nature with corresponding degrees, which is easier for managers and retails 42 to make efficient decisions. Hong et al. [12] designed an algorithm that uses 43 the Apriori-like approach to level-wisely discover the set of fuzzy association 44 rules (FARs). It considers terms that are linguistic with cardinality (maxi-45

46 mal scalar) of items able to clearly show its linguistic variable. Based on the

maximal scalar cardinality, the computational cost can be reduced, and the 1 # of derived linguistic terms remains the same number as the # of original 2 database items. To speed up computations, Lin et al. next implemented a 3 fuzzy frequent pattern tree (FFPT) [21], compressed FFPT (CFFPT) [22], 4 and an upper-bound FFP tree (UBFFPT) [24] which is used to improve the 5 performance for mining of FFIs. Many methods were respectively developed to 6 mine FFIs based on different structures and pruning strategies to reduce com-7 putational cost. However, the above approaches only consider one linguistic 8 term with the maximal scalar cardinality of an item, thus for decision-making 9 purposes, the information which is discovered may only be partial. Several al-10 gorithms considered multiple fuzzy frequent itemsets (MFFIs) [15,16,25,26] to 11 derive more complete and sufficient knowledge. Therefore, suppose the fuzzy 12 value of a term that is linguistic of an item is great than the support threshold 13 considered as a minimum, it will be treated as a frequent itemset. Based on 14 this mechanism, more complete rules can be mined, and useful decisions can 15 thus be produced. 16

The above methods mostly consider the fuzzy set theory (type-1) to dis-17 cover required information and knowledge, i.e., ARs or FIs. However, the algo-18 rithms use the conventional type-1 fuzzy-sets currently as well as a linguistic 19 term with a discrete value. Mendel then designed type-2 fuzzy-set theory [34] 20 by involving the uncertain factor to mine required information for decision-21 making. Chen et al. [7] integrated the type-2 fuzzy-sets model and considered 22 the pattern mining problem to handle quantitative databases based on the 23 level-wise approach. However, this approach still holds the single-linguistic 24 term of each item for knowledge presentation, thus derived information may 25 still be incomplete. Lin et al. [28] was able to create a list method for efficiently 26 mining type-2 fuzzy frequent patterns, which can increase mining performance 27 when the directly side-by-side comparison is shown with the level-wise ap-28 proach. It does not, however, have successful pruning methods to prune the 29 search space for pattern discovery. The authors, however, still explore many 30 unpromising candidates. 31

In this paper, we present a compressed fuzzy-list (CFL)-structure to keep more information for subsequent mining processes. Two effective pruning strategies and an efficient mining (EFM) algorithm have been developed to mine the multiple fuzzy frequent patterns (MFFPs). Major contributions of this paper are summarized below:

- An efficient fuzzy mining (EFM) method is presented to discover multiple
   fuzzy frequent patterns (MFFPs) efficiently considering the uncertainty
   based on fuzzy-sets (type-2).
- A compressed (CFL)-structure (fuzzy) is shown to keep the condensed
   upper-bound value on the potential candidates for subsequent mining pro cesses.
- 3. Two effective CFL-based pruning strategies are then built, to deduct the
   size of the search space, thus dramatically decreases the computational
- 45 cost.

 4. Experiments are conducted to show that the designed approach outperforms the level-wise-like and conventional list-based approaches in terms

<sup>3</sup> of runtime and number of examined candidates.

<sup>4</sup> The remainder of this paper is structured in the following sequence. In

<sup>5</sup> Section 2, the literature is briefly discussed and reviewed. Through work in

- <sup>6</sup> Section 3, the preliminary and problem statement of FFPM (fuzzy) are given.
- $_{7}$  Section 4 describes the structure, algorithm, and pruning strategies that have
- <sup>8</sup> been developed. Experiments in Section 5 are carried out and presented. The
  <sup>9</sup> conclusion and future work will finally be drawn in Section 6.

## <sup>10</sup> 2 Literature Review

As the rapid growth of information techniques [32,33], it is an interesting topic 11 to reveal the relationship of the itemsets in the databases. ARM, known in 12 long-hand as Association-Rule Mining [1, 2, 4] is a basic methodology used in 13 knowledge discovery, which shows the relationships among itemsets in binary 14 databases. The first algorithm is known as Apriori [2], which uses a "level-wise 15 approach" to discover numerous association rules (ARs). It uses the minimum 16 support threshold to first mine the set of frequent itemsets (FIs), then applies 17 the minimum confidence threshold to explore the ARs from the discovered 18 FIs. This approach is continued by a level-wise approach. Thus, the compu-19 tational cost is very high to produce ARs. To solve the limitation of Apri-20 ori, FP-growth [13] was presented to speed up mining performance. It uses 21 the FP-tree structure to keep the frequent 1-itemsets then mines the set of 22 FIs from the conditional FP-tree structure level-by-level. Several extensions 23 of frequent itemsets mining (FIM) are then further studied and developed in 24 many different applications and domains [20,29,30]. Most of the methodologies 25 focus on mining the required information from binary databases. In realistic 26 situations, an item may, however, be purchased with several quantities in a 27 transaction [8, 31, 42]. It is thus a non-trivial task to retrieve the information 28 from the quantitative databases since DC, short for downward closure, which 29 is required for maintenance of ensuring the correctness and completeness of 30 the discovered knowledge. 31 In the last 20 years, fuzzy-set theory [10, 45] is effective in many areas 32 since it is interpretable for human reasoning. Fuzzy-set theory is an extension 33 of the conventional crisp set by identifying linguistic membership functions 34 and their corresponding membership degrees (range from 0 to 1) based on the 35 membership functions themselves. The fuzzy-set theory considers quantifying 36 and reasoning using linguistic terms with the corresponding membership de-37 grees (fuzzy values). Several algorithms (both fuzzy and/or mining) have been 38

shown to produce interesting rules which have been extensively discussed and
developed. Srikant *et al.* [36] introduced the approach for defining ARs by par-

titioning and transforming the problem with a binary database. Au *et al.* [3] designed F-APACS which is used to mine ARs that are fuzzy (FARs) by us-

<sup>43</sup> ing linguistic terms to find both exceptions as well as regularities, which can

be more meaningful for human experts to understand the mined knowledge. 1 Kuok et al. [18] developed an algorithm to process the quantitative attributes 2 and showed that the fuzzy-sets have a stronger capability to deal with values 3 when compared to other methods. Hong et al. [12] implemented a fuzzy mining 4 algorithm that mines rules based on the "generate-and-test" approach for han-5 dling quantitative databases then proposed a GDF approach [15] to efficiently 6 discover the set of multiple fuzzy frequent itemsets (MFFIs). The GDF uses 7 the gradual concept to mine the MFFIs that also reduces the size of the pro-8 cessed database gradually; the computational cost can thus be reduced since 9 some unpromising linguistic terms can also be deducted together in the min-10 ing progress. Chen et al. [6] developed a novel model that fused other models, 11 which is used to improve mining procedures. The rules are multi-level as well 12 as fuzzy built on cumulative information. Watanabe et al. [41] has established 13 the redundancy equivalence and theorems for FARs. The Apriori-like method 14 was applied to use the redundancy equivalence of items (fuzzy) through the use 15 of the principles of redundancy in the discovery of FARs. Mishra et al. [35] also 16 implemented a frequent pattern mining method for handling a fuzzified gene 17 expression and showed that the vertical fuzzy dataset format could produce 18 more fuzzy FIs than the original one. Gupta and Muhuri used Tsukamoto's 19 inference method to analyze student academic performance [9]. The method 20 used multi-objective linguistic optimization problems (MOLOPs) based on the 21 2-tuple fuzzy linguistic approach for monotonic and non-monotonic functions. 22 The authors show the proposed method with student performance evaluation. 23 Shukla and Muhuri also addressed the uncertainty factor in big datasets using 24 fuzzy type-2 sets [37]. The proposed method is used to handle the veracity 25 issues in the big dataset. The methods use the concept of the footprint of 26 uncertainty in interval type-2 fuzzy sets [37]. The method is then evaluated 27 regarding consistency and efficacy with different aspects, which handles verac-28 ity issues and is efficient in reducing instances. Several algorithms based on the 29 fuzzy-set theory for mining the required information in different applications 30 and domains were then studied and developed in progress [5, 11, 19, 27, 38, 43]. 31 To speed up the generate and test methodology for mining the FFIs, 32 Lin et al. then developed the fuzzy frequent pattern tree (FFP)-tree algo-33 rithm [21] to compress the fuzzy 1-itemsets into a tree structure for later 34 mining process. The transformed terms (fuzzy linguistic 1-itemsets) with their 35 values are ordered (ascending) for every transaction. However, the given ap-36 proach has produced a loose tree structure. Thus a compressed CFFP-tree 37 algorithm [22] was proposed in an attempt to reduce the size of all the nodes 38 in the tree. An array is used to keep more information about each node. Thus, 39 the fuzzy values are preserved consequently. This process can greatly reduce 40 the computational cost of mining performance. However, this approach still 41 needs extra memory usage for the attached array. Consequently, it sometimes 42 has the dreaded memory leakage problem. As a solution, the upper-bounded 43 FFP tree (UBFFP)-tree algorithm [24] was created to ensure a higher con-44 denses structure of the tree, thus reducing the memory leakage problem for 45

<sup>46</sup> handling big datasets.

The above works only work on the type-1 fuzzy-set theory, where uncer-1 tainty is not considered as a factor. The functions for membership of set theory 2 (fuzzy type-1) are entirely sharp, which is inadequate in realistic applications 3 to manage uncertainty models. For instance, sensed information from various 4 sensors could be affected by environmental factors. (i.e., snow, storms, or rain). 5 To better present discovered knowledge with uncertainty, set theory (type-2 6 fuzzy) [14, 17, 34] was invented and established concurrently. To incorporate 7 type-2 fuzzy-sets with pattern mining, Chen et al. [7] first developed a con-8 ventional level-wise (or Apriori-like) approach to mine fuzzy type-2 frequent 9 patterns level-wisely. This approach requires to generate many unpromising 10 candidates with highly computational cost, which is not efficient for any sort 11 of mining tasks. Moreover, it uses the maximal scalar cardinality approach to 12 retrieve only a term (single linguistic) of a given item, which for all intents 13 and purposes should create a lack of actual knowledge for decision-making. Lin 14 et al. [28] then gave a list-based approach to maintain complete information 15 for subsequent mining processes. However, without efficient pruning strategies 16 and the tighter upper-bound value on unpromising patterns, this approach still 17

<sup>18</sup> has to examine many candidates for deriving actual fuzzy frequent patterns.

#### <sup>19</sup> 3 Preliminaries and Problem Statement

To better understand the paper's notation that is used, a notion table is given in Table 1.

Sybmol	Description
D	the database in which $D = \{T_1, T_2, \dots, T_n\}.$
Ι	the items in the database in which $I = \{i_1, i_2, \ldots, i_m\}$ .
$v_{i_T}$	the quantity of the item $i$ in transaction $T$ .
X	the set of the items in which $X = \{i_1, i_2, \dots, i_k\}$ .
δ	the minimum support threshold.
$\mu$	the defined membership function.
$f_{i_T}$	the fuzzy linguistic terms of item $i$ in transaction $T$ .
$fv_{i_T l}^{lower}$	the lower membership degree of $v_{i_T}$ for an item $i$ in the $l$ -th fuzzy terms.
$fv_{i_Tl}^{upper}$	the upper membership degree of $v_{i_T}$ for an item $i$ in the $l$ -th fuzzy terms.
$R_{il}$	the <i>l</i> -th fuzzy term of $i$ in $\mu$ .
$fv^c_{i_Tl}$	the degree of fuzzy term $R_{il}$ .
$Sup(\hat{R}_{jl})$	the scalar cardinality of $R_{il}$ .
fv(X)	the fuzzy membership value of $X$ in $T$ .
mrfv(X,T)	the maximum remaining fuzzy value of $X$ in $T$ .
rmrfv(X,T)	the relative maximum remaining fuzzy value of $X$ in $T$ .
Sup(X)	the sum up value of $mrfv$ of $X$ .
rSup(X)	the sum up value of $rmrfv$ of X.

Table 1: A notation table

We can assume I is given as a set finite in nature with m distinct items in the database D. To better present the following content, i is then used to  $_{1}$  represent each item in the database D. The database with quantitative values

 $_{2}$  of the items is considered as D, in which D has n transactions. Each item i in

 $_{3}$  T has its purchase amount, which is denoted as  $v_{i_{T}}$ . A k-itemset is denoted as

4 X, in which each  $X \subseteq I$ . Without the quantitative value of i in a transaction T,

 $_{\scriptscriptstyle 5}$   $\,$  X must appear in any of the combinations of i in T. A membership functions

6 used in type-2 fuzzy-set theory is denoted as  $\mu$ . A threshold  $\delta$  is used as the

7 minimum support to verify whether an itemset is considered as the fuzzy

<sup>8</sup> frequent pattern. A simple example is illustrated in Table 2, which consists of

 $_{9}$  ten transactions and six distinct items, denoted from a to f.

Table 2: An illustrated quantitative database.

TID	Items with the purchase amounts
$T_1$	a:5, c:4, e:1
$T_2$	a:3, e:1
$T_3$	a:1, e:2, f:2
$T_4$	b:2, c:1, e:3
$T_5$	a:4, b:5, c:5, d:3, e:3
$T_6$	b:4, d:1, e:4
$T_7$	c:4, e:2
$T_8$	b:4, e:4, f:3
$T_9$	b:3, c:4, e:2, f:1
$T_{10}$	$e{:}5, f{:}5$

<sup>10</sup> Suppose that the minimum support threshold in Table 2 is set as  $\delta$  (= <sup>11</sup> 20%), and the type-2 fuzzy-sets used in the example are illustrated in Fig. 1.

<sup>12</sup> Here, 3 terms called L - Low, M - Middle, and H - High which are given as

<sup>13</sup> part of  $\mu$ . We address here that a user can specify the number of terms based

<sup>14</sup> on a variety of different requirements.

<sup>15</sup> **Definition 1** The  $v_{i_T}$  is represented as the quantitative value of *i*, which <sup>16</sup> shows the quantitative of the item (linguistic variable) *i* in a transaction *T*.

For instance, the quantitative values of the items (a), (c), and (e) in transaction 1 respectively are and  $v_{a_{T_1}}(=5), v_{c_{T_1}}(=4)$ , and  $v_{e_{T_1}}(=1)$ .

**Definition 2** The  $f_{i_T}$  is considered as the set of fuzzy linguistic terms with their membership degrees (fuzzy values) that was transformed from the quantitative value  $v_{i_T}$  of the linguistic variable *i* by  $\mu$  as:

$$f_{i_T} = \mu_i(v_{i_T}) \left(= \frac{(fv_{i_T1}^{lower}, fv_{i_T1}^{upper})}{R_{i_1}} + \frac{(fv_{i_T2}^{lower}, fv_{i_T2}^{upper})}{R_{i_2}} + \dots + \frac{(fv_{i_Th}^{lower}, fv_{i_Th}^{upper})}{R_{i_h}}\right),$$
(1)

<sup>19</sup> in which h represents the number of fuzzy terms of i transformed by  $\mu$ ,  $R_{il}$ 

shows the *l*-th fuzzy terms of *i*,  $v_{i_T l}^{lower}$  indicates the lower membership degree

(fuzzy value) of  $v_{i_T}$  for *i* in the *l*-th fuzzy terms  $R_{il}$ ,  $fv_{i_Tl}^{upper}$  states the upper membership degree (fuzzy value) of  $v_{i_T}$  for *i* in the *l*-th fuzzy terms  $R_{il}$ ,  $fv_{i_Tl}^{lower} \leq fv_{i_Tl}^{upper}$ , and  $fv_{i_Tl}^{lower}$ ,  $fv_{i_Tl}^{upper} \subseteq [0, 1]$ .



Fig. 1: An illustrated membership functions with (L), (M), and (H) linguistic terms.

Note that the  $fv_{i_{T}l}^{lower}$  and  $fv_{i_{T}l}^{upper}$  are respectively two membership degrees for the fuzzy term  $R_{il}$ . For instance, the item (c) with its quantitative value 1 2 4 in  $T_1$  is transformed by the membership functions in Fig. 1 as  $\left(\frac{(0.5, 0.63)}{c.M} + \right)$ 3  $\frac{(0.5,0.63)}{cH}$ ), where only two fuzzy terms (c.M) and (c.H) are considered here; 4 (c.M) is the fuzzy term for the membership degree as (0.5, 0.63). The lower 5 value is 0.5 and upper value is 0.63 for (c.M); (c.H) is the fuzzy term for the 6 membership degree as (0.5, 0.63). The lower value is 0.5 and upper value is 7 0.63 for (c.H). We can also observe that the lower membership degree (0.5) is 8 less than the upper membership degree (0.63) such that 0.5 < 0.63. 9

<sup>10</sup> **Definition 3** The *i* is an attribute (item) in the database such that  $i \in I$ , <sup>11</sup> which is also treated as the linguistic variable, and its value is the set of fuzzy <sup>12</sup> terms represented as the natural language such that  $R_{i1}, R_{i2}, \ldots, R_{ih}$ . These <sup>13</sup> fuzzy terms can be transformed by the pre-defined  $\mu$  (membership functions).

For instance, six linguistic variables (attributes) such as (a), (b), (c), (d), 14 (e), and (f) are denoted in Table 2 and three linguistic terms of L, M and 15 H are defined in Fig. 1. In this membership function, suppose an item is set 16 as X, and if the quantitative value is set as 1, the it is then converted as 17  $(\frac{(1,1)}{XL}) + \frac{(0,0.25)}{XM}$ ; if the quantitative value is set as 2, it is then converted as  $\frac{(0.5,0.63)}{XL} + \frac{(0.5,0.63)}{XM}$ ; if the quantitative value is set as 3, it is then converted 18  $\frac{(0.5,0.63)}{X.L} + \frac{(0.5,0.63)}{X.M} + \frac{(0.5,0.63)}{X.M}; \text{ if the quantitative value is set as 0, note that it is then converted as <math>\frac{(0,0.25)}{X.L} + \frac{(1,1)}{X.M} + \frac{(0,0.25)}{X.H}; \text{ if the quantitative value is set as 4, it is then converted as <math>\frac{(0.5,0.63)}{X.M} + \frac{(0.5,0.63)}{X.H}; \text{ and if the quantitative value is set as 5, it is then converted as <math>\frac{(0,0.25)}{X.M} + \frac{(1,1)}{X.H}.$  Note that the membership functions can is the defined by users' preference and the specific domains and applications, it 19 20 21 22 23 is appropriate to present it by a figure. 24

For the given example in Table 2, each transaction in the database is then transformed by the membership functions of Fig. 1. The final results after

<sup>3</sup> transformation are shown in Table 3.

TID	Linguistic fuzzy transformed terms
$T_1$	$\frac{(0,0.25)}{a,M} + \frac{(1,1)}{a,H}, \ \frac{(0.5,0.63)}{c,M} + \frac{(0.5,0.63)}{c,H}, \ \frac{(1,1)}{e,L} + \frac{(0,0.25)}{e,M}$
$T_2$	$rac{(0,0.25)}{a.L} + rac{(1,1)}{a.M} + rac{(0,0.25)}{a.H}, \; rac{(1,1)}{e.L} + rac{(0,0.25)}{e.M}$
$T_3$	$\frac{(1,1)}{a.L} + \frac{(0,0.25)}{a.M}, \ \frac{(0.5,0.63)}{e.L} + \frac{(0.5,0.63)}{e.M}, \ \frac{(0.5,0.63)}{f.L} + \frac{(0.5,0.63)}{f.M}$
$T_4$	$\frac{(0.5, 0.63)}{b.L} + \frac{(0.5, 0.63)}{b.M}, \ \frac{(1, 1)}{c.L} + \frac{(0, 0.25)}{c.M}, \ \frac{(0, 0.25)}{e.L} + \frac{(1, 1)}{e.M} + \frac{(0, 0.25)}{e.H}$
$T_5$	$\frac{(0.5,0.63)}{a,M} + \frac{(0.5,0.63)}{a,H}, \frac{(0,0.25)}{b,M} + \frac{(1,1)}{b,H}, \frac{(0,0.25)}{c,M} + \frac{(1,1)}{c,H}, \frac{(0,0.25)}{d,L} + \frac{(1,1)}{d,M} + \frac{(0,0.25)}{d,H}, \frac{(0,0.25)}{e,L} + \frac{(1,1)}{e,M} + \frac{(0,0.25)}{e,H} + (0$
$T_6$	$\frac{(0.5, 0.63)}{b, M} + \frac{(0.5, 0.63)}{b, H}, \ \frac{(1, 1)}{d, L} + \frac{(0, 0.25)}{d, M}, \ \frac{(0.5, 0.63)}{e, M} + \frac{(0.5, 0.63)}{e, H}$
$T_7$	$rac{(0.5, 0.63)}{c.M} + rac{(0.5, 0.63)}{c.H}, \ rac{(0.5, 0.63)}{e.L} + rac{(0.5, 0.63)}{e.M}$
$T_8$	$\frac{(0.5,0.63)}{b.M} + \frac{(0.5,0.63)}{b.H}, \ \frac{(0.5,0.63)}{e.M} + \frac{(0.5,0.63)}{e.H}, \ \frac{(0,0.25)}{f.L} + \frac{(1,1)}{f.M} + \frac{(0,0.25)}{f.H}$
$T_9$	$\frac{(0,0.25)}{b.L} + \frac{(1,1)}{b.M} + \frac{(0,0.25)}{b.H}, \ \frac{(0.5,0.63)}{c.M} + \frac{(0.5,0.63)}{c.H}, \ \frac{(0.5,0.63)}{e.L} + \frac{(0.5,0.63)}{e.M}, \ \frac{(1,1)}{f.L} + \frac{(0,0.25)}{f.M}$
$T_{10}$	$\frac{(0,0.25)}{e.M} + \frac{(1,1)}{e.H}, \ \frac{(0,0.25)}{f.M} + \frac{(1,1)}{f.H}$

Table 3: Table 2 as a transformed database

4 Lin et al. [28] developed a list-based structure to mine multiple fuzzy fre-

<sup>5</sup> quent patterns based on type-2 fuzzy sets. However, this methodology does

6 not provide efficient pruning strategies to reduce the size of the search space.

<sup>7</sup> Consequently, many unpromising candidates are still examined. Moreover, the
 <sup>8</sup> upper-bound values on the candidates are over-estimated. Thus, the problem

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statement of this paper is describe
 <sup>10</sup>

Problem Statement: The problem statement is formally defined as follows:

<sup>13</sup> Input: The quantitative database D, the type-2 membership functions  $\mu$ , and <sup>14</sup> the minimum support threshold  $\delta$ .

<sup>15</sup> *Output*: The set of the discovered fuzzy frequent itemsets.

<sup>16</sup> Objectives: Design a compressed data structure to keep the complete infor-

mation from D; several pruning strategies to reduce the search space and the

<sup>18</sup> computational cost in the mining progress.

#### <sup>19</sup> 4 Proposed efficient fuzzy mining (EFM) algorithm

<sup>20</sup> The purchase amount is considered as the quantitative value that will be <sup>21</sup> transformed into the linguistic terms (variables) with the relevant fuzzy val-

<sup>22</sup> ues (degrees for the linguistic terms) based on the pre-defined membership

<sup>23</sup> functions. Different linguistic terms will be pre-defined based on users' pref-

<sup>24</sup> erences in the membership functions. For instance, the database is shown in

<sup>25</sup> Table 2 was then taken through a transformation process using the member-

<sup>26</sup> ship functions of the type-2 fuzzy-set shown in Fig. 1. After that, results are

<sup>27</sup> stated in Table 3. Since it is not a trivial task to elaborate the interval fuzzy

10

<sup>1</sup> value in the mining progress, the centroid type-reduction method [7] is then

<sup>2</sup> applied to reduce the complexity for mining MFFPs of the interval values. The

<sup>3</sup> definition is stated as follows.

**Definition 4** We can define the degree of membership of a given linguistic term  $R_{il}$  in a database (transformed) D' is clearly noted as  $fv_{i_Tl}^c$ , and defines as:

$$fv_{i_{T}l}^{c} = \frac{fv_{i_{T}l}^{lower} + fv_{i_{T}l}^{upper}}{2}.$$
 (2)

For example in transaction  $T_1$  of the very first given Table 2, item (c)with its own quantity 4 which then goes through a transformation process as  $\frac{(0.5, 0.63)}{c.M} + \frac{(0.5, 0.63)}{c.H}$ . After that, the interval (0.5, 0.63) including c.M and c.H goes through a reduction process as  $\frac{0.5+0.63}{2} = 0.56$  using centroid type reduction methodology. The linguistic term's value that is fuzzy as given in Table 3 is further processed which leads to the results as shown in Table 4.

Table 4: A revised database.

TID	Transformed linguistic terms
$T_1$	$\frac{0.13}{a.M} + \frac{1}{a.H}, \frac{0.56}{c.M} + \frac{0.56}{c.H}, \frac{1}{e.L} + \frac{0.13}{e.M}$
$T_2$	$\frac{0.13}{a,L} + \frac{1}{a,M} + \frac{0.13}{a,H}, \frac{1}{e,L} + \frac{0.13}{e,M}$
$T_3$	$rac{1}{a.L} + rac{0.13}{a.M}, \ rac{0.56}{e.L} + rac{0.56}{e.M}, \ rac{0.56}{f.L} + rac{0.56}{f.M}$
$T_4$	$\frac{0.56}{b.L} + \frac{0.56}{b.M}, \ \frac{1}{c.L} + \frac{0.13}{c.M}, \ \frac{0.13}{e.L} + \frac{1}{e.M} + \frac{0.13}{e.H}$
$T_5$	$\frac{0.56}{a.M} + \frac{0.56}{a.H}, \ \frac{0.13}{b.M} + \frac{1}{b.H}, \ \frac{0.13}{c.M} + \frac{1}{c.H}, \ \frac{0.13}{d.L} + \frac{1}{d.M} + \frac{0.13}{d.H}, \ \frac{0.13}{e.L} + \frac{1}{e.M} + \frac{0.13}{e.H}$
$T_6$	$\frac{0.56}{b.M} + \frac{0.56}{b.H}, \frac{1}{d.L} + \frac{0.13}{d.M}, \frac{0.56}{e.M} + \frac{0.56}{e.H}$
$T_7$	$rac{0.56}{c.M} + rac{0.56}{c.H}, \ rac{0.56}{e.L} + rac{0.56}{e.M}$
$T_8$	$rac{0.56}{b.M} + rac{0.56}{b.H}, \; rac{0.56}{e.M} + rac{0.56}{e.H}, \; rac{0.13}{f.L} + rac{1}{f.M} + rac{0.13}{f.H}$
$T_9$	$\frac{0.42}{b.L} + \frac{0.71}{b.M}, \ \frac{0.56}{c.M} + \frac{0.56}{c.H}, \ \frac{0.56}{e.L} + \frac{0.56}{e.M}, \ \frac{(1}{f.L} + \frac{0.13}{f.M}$
$T_{10}$	$rac{0.13}{e.M} + rac{1}{e.H}, \ rac{0.13}{f.M} + rac{1}{f.H}$

To evaluate whether a pattern is an MFFP, the cardinality which is scalar for every term (linguistic) is next summed up for evaluation. We give useful definitions next.

**Definition 5** The scalar cardinality of each linguistic term is the summed up value of the transformed membership degrees and can be represented as the support value of a linguistic term as:

$$Sup(R_{jl}) = \sum_{R_{jl} \subseteq T_r \wedge T_r \in D'} fv_{iql}^c, \tag{3}$$

To discover the complete information of MFFPs, the multiple linguistic terms of an item(set) is considered in the derived knowledge. The strategy called *MultiTerm* is then adopted here to keep the complete information for later mining progress of F2FPs, which is described next. <sup>1</sup> Strategy 1 (Multiple terms with scalar cardinality, MultiTerm) To mine

<sup>2</sup> more and complete information, each linguistic term  $R_{in}$  of an item i, whose

<sup>3</sup> scalar cardinality (Sup) is no less the predefined minimum support count

 $(minSup \times |D|)$  is considered to be represented of the item. Thus, each linguis-

5 tic may have at least one represented fuzzy term with its membership degree

6 (fuzzy value).

For example in Table 4, the minimum support threshold is set as 20%. 7 Thus, the minimum support value is calculated as  $0.2 \times 10 (= 2)$ . For instance, 8 the Sup(c.H) (= 2.68 > 2), Sup(e.L)(= 3.94 > 2), Sup(e.M)(= 5.19 > 2), q and Sup(e.H) (= 2.94 > 2) satisfy the condition and are considered as MFFPs. 10 Based on this strategy, the multiple fuzzy frequent itemsets can thus be dis-11 covered and used to provide more complete information for decision-making. 12 To maintain the downward closure property for building the compressed 13 fuzzy-list (CFL)-structure, the linguistic terms in the transactions are sorted 14 in order (ascending) by ASCorder strategy, which is described next. 15

<sup>16</sup> Strategy 2 (Sort in ascending order, ASCorder) Each linguistic term of <sup>17</sup> transactions in the transformed database D' is then sorted in ascending order <sup>18</sup> of their support value, and denoted as  $\prec$  which can be used for later processing <sup>19</sup> of CFL-structure construction phase.

For example, the terms that are remaining of the entire transaction set as shown in Table 4 next go through a sorting procedure (ascending) of their given support values. The revised and sorted transactions are indicated in

23 Table 5.

Table 5: The sorted database.

TID	Linguistic terms
$T_1$	$\frac{0.56}{c.H}, \frac{1}{e.L}, \frac{0.13}{e.M}$
$T_2$	$\frac{1}{e,L}, \frac{0.13}{e,M}$
$T_3$	$\frac{0.56}{e.L}, \frac{0.56}{e.M}$
$T_4$	$\frac{0.13}{b.M}, \frac{0.13}{e.H}, \frac{0.13}{e.L}, \frac{1}{e.M}$
$T_5$	$\frac{0.13}{b.M}, \frac{0.13}{e.H}, \frac{1}{c.H}, \frac{0.13}{e.L}, \frac{1}{e.M}$
$T_6$	$\frac{0.71}{b.M}, \frac{0.56}{e.H}, \frac{0.56}{e.M}$
$T_7$	$\frac{0.56}{c.H}, \frac{0.56}{e.L}, \frac{0.56}{e.M}$
$T_8$	$\frac{0.71}{b.M}, \frac{0.56}{e.H}, \frac{0.56}{e.M}$
$T_9$	$\frac{0.71}{b.M}, \frac{0.56}{c.H}, \frac{0.56}{e.L}, \frac{0.56}{e.M}$
$T_{10}$	$\frac{1}{e.H}, \frac{0.13}{e.M}$

<sup>24</sup> After the original database is revised and sorted, the algorithm is processed

to construct the CFL-structure. Each remaining 1-itemset is used to construct
 its relevant CFL-structure for maintaining the complete information. Proper-

<sup>27</sup> ties of the CFL-structure are given next.

28 **Definition 6** Assume that X is considered as the set of the linguistic terms

<sup>29</sup> and T is set as a transaction such that  $X \subseteq T$ . Thus, the remaining set for all <sup>30</sup> linguistic terms in T after X is denoted as T/X. For instance in Table 5,  $T_1/(c.H) = (e.L, e.M)$  and  $T_1/(e.L) = (e.M)$ .

<sup>2</sup> Definition 7 The maximum remaining fuzzy value of X in T, denoted as

mrfv(X,T), is the maximum fuzzy membership value of all terms in T/X as

 $_{4} \quad mrfv(X,T) = max(fv(i,T/X)).$ 

**Definition 8** The relative maximum remaining fuzzy value of X in T, denoted as rmrfv(X,T), is the minimum fuzzy membership value between mrfv(X,T)and fv(X,T).

The definition of the developed CFL-structure is then described in Defini tion 9.

<sup>10</sup> **Definition 9** Each element in the CFL-structure of X has three attributes <sup>11</sup> (ordered) as: tid, fv, and rmrfv.

- $_{12}$  *tid* shows that the term X is in a transaction T.
- $_{13}$  fv shows the fuzzy membership value of X in a transaction T.
- -rmrfv shows the relative maximum remaining fuzzy membership value after X in a transaction T, which is the minimum value between mrfv(X,T) and

16 fv(X,T).

Here, Sup is defined as the sum up value of fv in the CFL-list structure, and 17 rSup is the sum up value of rmrfv in the CFL-list structure. From Definition 18 9, the new developed CFL-structure is given in Fig. 2. For instance as we show 19 clearly through Fig. 2, the fuzzy term (b.M) appears in transactions  $T_4, T_5$ , 20  $T_6$ ,  $T_8$ , and  $T_9$ , and its elements are (4, 0.13, 0.13), (5, 0.13, 0.13), (6, 0.71, 0.13), (6, 0.13), (6, 0.13), (6, 0.13), (6, 0.13), (6, 0.13), (6, 0.13), (6, 0.13), (6, 0.121 (0.56), (8, 0.71, 0.56) and (9, 0.71, 0.56), respectively. The Sup and rSup are 22 0.239 and 0.194. In this example, the Sup is greater than the minSup (= 0.2)23 that means the (b.M) is considered as the MFFP. However, since its rSup is 24

that means the (b.M) is considered as the MFFF. However, since its FSup is less than 0.2, it is not necessary to explore the extensions of (b.M); the size of

 $_{25}$  less than 0.2, it is not necessary to explore the extensions of (b.M); the size of the search space can thus be greatly deducted. The construction algorithm of

<sup>27</sup> the CFL-structure is then stated in Algorithm 1.

Algorithm 1: Construction of the 1-pattern in the CFL-structure.

**Input:** D', a revised and sorted dataset.

**Output:** the CFLs-structures and large 1-patterns L'.

1 for each linguistic term  $t_{jn}$  of item j do

- 2 **if**  $Sup(t_{jn}) \ge minSup$  **then** 3 **put**  $t_{jn}$  into L', and keep L' as Sup-ascending order;
- 4 for each linguistic term  $t_{jn}$  of L' in each T of D' do
- 5 add element (*tid*, fv of  $t_{jn}$  in T, rmrfv of  $t_{jn}$  in T) to  $t_{jn}$ -CFL-structure;
- $\mathbf{6} \quad \begin{bmatrix} CFLs = CFLs \bigcup t_{jn} \text{-} CFL \text{-} \text{structure}; \end{bmatrix}$

 $\mathbf{7}$  return L', constructed CFLs;

After CFL-structures are generated, a pruning strategy will be taken to reduce the space searching, which uses the Supt and rSup of such a list X

												-		
b.M				e.H		c.H			e.L			e.M		
4	0.13	0.13	4	0.13	0	1	0.56	0.56	1	1	0	1	0.13	0
5	0.13	0.13	5	0.13	0.13	5	1	1	2	1	0	2	0.13	0
6	0.71	0.56	6	0.56	0	7	0.56	0.56	3	0.56	0	3	0.56	0
8	0.71	0.56	8	0.56	0	9	0.56	0.56	4	0.13	0	4	1	0
9	0.71	0.56	10	1	0				5	0.13	0	5	1	0
	-		/						7	0.56	0	6	0.56	0
			/			$\backslash$			9	0.56	0	7	0.56	0
			,									8	0.56	0
		tids		• fv		rmrfv						9	0.56	0
												10	0.13	0

Fig. 2: A built CFL-structure.

to decide whether to search the extension of X. The strategy is described as 1 Lemma 1. 2

Definition 10 A termset is considered as the combinations of the linguistic 3 terms (variables), forming as k-itemsets  $(k \ge 1)$  in the database. 4

**Lemma 1** For an termset X, if Sup(X) or rSup(X) is less than the minimum 5

support threshold, then any supersets (extension) of X is not multiple fuzzy 6

frequent pattern and should be pruned. 7

From the given example, the search space for mining the required MFFPs 8 is based on the enumeration tree, which is shown in Fig. 3. 9

To perform and generate the k-itemsets  $(k \ge 2)$ , the terms of  $P_x$  and  $P_y$ 10 are used to generate the CFL-structure, forming as  $P_{xy}$ . The fuzzy terms are 11 first examined to determine whether the valid  ${\cal P}_{xy}.CFL$  is generated. If  ${\cal P}_x$ 12 and  $P_y$  appear in the same transactions (TIDs), the simple join operation is 13 then performed to calculate the fv of each transaction T. Furthermore, the 14 minimum operation is also adopted to find the remaining rmrfv of the  $P_{xy}$  in 15 T. This process is then described next. 16

 $- E_{xy}.tid = E_x.tid$  (or  $E_y.tid$ ). 17

18

 $- E_{xy}.fv = min(E_x.tid, E_y.tid).$ -  $E_{xy}.rmrfv = min(E_x.rmrfv, E_y.rmrfv).$ 19

Here, we can note that if the sum of fv is no larger than the predefined 20 minimum support count, it is not considered as the MFFP and the supersets 21 will be discarded and ignored, directly without any further exploration. This 22 progress is then executed recursively until no candidates can be generated. 23 The details are stated in Algorithm 2. 24



Fig. 3: The size of search space in the running example.

<b>Algorithm 2:</b> Construct( $P_x.CFL, P_y.CFL$ ) for k-itemset algorithm.
<b>Input:</b> CFL-structures of $P_x.CFL$ and $P_y.CFL$ .
<b>Output:</b> CFL-structure of $P_{xy}.CFL$ .
<b>1</b> if $x, y$ is generated from the same item then
<b>2</b> return <i>null</i> .
<b>3</b> for each element in $P_x.CFL$ do
4 <b>if</b> $\exists E_y \in P_y.iFL$ and $E_x.tid == E_y.tid$ then
5 $E_{xy} \leftarrow (E_x.tid, min(E_x.fv, E_y.fv), min(E_x.rmrfv, E_y.rmrfv));$
$6 \qquad \qquad$
$7$ return $P_{xy}.CFL$ .

An example is given below to show the process for how to construct the CFL-structure. For example, the CFL-structure of (b.M, e.H) is constructed having four elements (4, 0.13, 0), (5, 0.13, 0.13), (6, 0.56, 0) and (8, 0.56, 0), which is shown in Fig. 4. The element (4, 0.13, 0) is constructed from elements (4, 0.13, 0.13)

s and (4, 0.13, 0) as: (4, min(0.13, 0.13), min(0.13, 0)) = (4, 0.13, 0.13).

After the CFL-structure is generated, we then present another pruning strategy to reduce the size of the search space by using the Sup and rSup of such a list X to decide whether to search the extension of X. The strategy is described as Lemma 2.

<sup>10</sup> Lemma 2 For a terms X, if Sup(X) or relative remaining support rSup(X)

- $_{11}$   $\,$  is less than the minimum support threshold, then any supersets (extension) of
- $_{12}$  X is not a MFFP and should be discarded.

b.M, e.H		b.M, c.H				b	. <i>М</i> , е.	L	b.M, e.M			
4	0.13	0	5	0.13	0.13		4	0.13	0	4	0.13	0
5	0.13	0.13	9	0.56	0.56		5	0.13	0	5	0.13	0
6	0.56	0					9	0.56	0	6	0.56	0
8	0.56	0				-				8	0.56	0
										9	0.56	0

Fig. 4: CFL-structures for the 2-itemsets.

<b>Algorithm 3:</b> Developed EFM algorithm.						
Input: CFLs, the built CFL-structure.						
<b>Output:</b> <i>MFFPs</i> , the set of multiple fuzzyfrequent patterns.						
1 for each list X in CFLs do						
2   if $Sup(X) \ge minSup$ then						
<b>a</b> add items of X into $MFFPs$ ;						
4 <b>if</b> $rSup(X) \ge minSup$ then						
5 $exCFLs \leftarrow null;$						
6 for each iFL-structure Y after Xin CFLs do						
7 $exCFLs \leftarrow exCFLs + Construct(X,Y);$						
$\mathbf{s}$ EFM( $exCFLs$ ):						
9 return $F2FPs$ .						

The developed EFM algorithm is then shown in Algorithm 3. First, the 1 algorithm begins with the initially constructed CFL-structures, and for each 2 terms t (such as X), the Sup(X) is firstly compared with the minSup to 3 examine whether X is frequent. After that, the relative remaining support 4 value of X, called rSup(X), is then utilized to decide whether the extensions 5 of X should be explored. Here, a construction function in Algorithm 2 is then 6 performed to build the extensions of the terms X. After that, the algorithm 7 is processed again for the next k-itemsets until all the required MFFPs are 8 determined. 9

### <sup>10</sup> 5 Experimental Evaluation

<sup>11</sup> In this section, the performance of the developed EFM is then compared to the <sup>12</sup> level-wise algorithm [7] and list-based approach [44] in several known datasets.

level-wise algorithm [7] and list-based approach [44] in several known datasets.
 The algorithms were implemented using the popular JAVA language, perform-

<sup>13</sup> The algorithms were implemented using the popular JAVA language, perform-<sup>14</sup> ing on a PC with Intel Core i7-3470@3.40GHz and 8GB main RAM. All of

<sup>15</sup> the algorithms as implemented are programmed and administered on a 64-

bit Microsoft Windows 10 OS (Operating System). We use six real-world <sup>1</sup> chess, retail, foodmart, mushroom, and BIBLE datasets, and one synthetic

<sup>3</sup> T10I4D100K dataset were conducted for all experiments. The parameters are

 $_{4}$  stated as follows.  $\#|\mathbf{D}|$  is the size of transactions in the database;  $\#|\mathbf{I}|$  repre-

<sup>5</sup> sents the number of items, and each item is a distinct item to others; **AvgLen** 

<sup>6</sup> is the average value of transaction length, and MaxLen shows maximal length

 $_{7}\,$  value of the transactions. Furthermore, the characteristics of the conducted

<sup>8</sup> datasets are shown in Table 6.

1

Table 6: Characteristics of used datasets.

Dataset	$\# \mathbf{D} $	$\# \mathbf{I} $	AvgLen	MaxLen	Type
Chess	3196	75	37	37	dense
Mushroom	8,124	119	23	23	dense
Foodmart	21,556	1559	4	11	sparse
Retail	88,162	16470	10.3	76	sparse
BIBLE	36369	13,904	17	77	sparse
T10I4D100K	100,000	942	10.1	29	sparse

<sup>9</sup> The purchase amount of each item in the quantitative database is first <sup>10</sup> transformed according to the defined type-2 membership functions. In the <sup>11</sup> experiments, the linguistic 2-terms and 4-terms respectively shown in Fig. 5 <sup>12</sup> and Fig. 6 are used to show the performance of the designed model. Linguistic

<sup>13</sup> terms are given a user's preference.

#### <sup>14</sup> 5.1 Execution time

The execution time of the compared algorithms for 2-terms membership func-15 tions under different minimum support thresholds is first illustrated in Fig. 7. 16 It can be seen from the above results that the developed EFM algorithm 17 has better execution time than the conventional level-wise and the state-of-18 the-art list-based algorithm for mining MFFPs with fuzzy linguistic 2-terms 19 in all experimental datasets. From the above observation, it can be seen that 20 the execution time decreases along with the increase of the minimum sup-21 port threshold. This is acceptable since as the increasing of minimum support 22 threshold, the number of MFFPs decreases since fewer patterns satisfy the con-23 dition with a higher threshold. For instance in Fig. 7(e), the execution times 24 of the level-wise, list-based, and the designed EFM are respectively 389.1, 25 201.68, and 103.94 seconds while the minimum support threshold is set as 26 0.75%. When the support threshold increases to 1.05%, the execution times of 27 the compared algorithms are 226.71, 181.45, and 95.23 seconds. 28

The execution times decrease with the increase of minimum support for the chess dataset mentioned in Fig. 7(a), mushroom dataset Fig. 7(b), and

 $_{\rm 31}$  foodmart Fig. 7(c). The results are increased greatly to a higher ratio when

<sup>&</sup>lt;sup>1</sup> https://www.philippe-fournier-viger.com/spmf/



Fig. 5: The membership function of linguistic 2-terms.



Fig. 6: The membership function of linguistic 4-terms.

- <sup>1</sup> compared with level-wise and list-based structure, respectively. The proposed
- <sup>2</sup> EFM structure improvement is rational as the number of rules is decreased
- <sup>3</sup> when the minimum threshold value is set higher. The proposed efficient com-
- <sup>4</sup> pressed structure helps to reduce the runtime by ignoring certain transactions.
- 5 Therefore, we can observe that the designed EFM needs fewer computations



Fig. 7: Execution time comparisons with 2-terms membership functions.

<sup>1</sup> than the compared approaches. Furthermore, experiments under the member-

 $_{\rm 2}$   $\,$  ship functions with linguistic 4-terms are compared and shown in Fig. 8.



Fig. 8: Execution time comparisons with 4-terms membership functions.

In Fig. 8(a), Fig. 8(b), and Fig. 8(c) when the support values are set to low, the proposed model performed  $3\times$  better than both the list-based and

 $_{\scriptscriptstyle 5}\,$  level-wise algorithm. The reason is that the proposed model limits the scan

for multiple transactions whereas list-based and level-wise algorithms are re-1 quired to scans more transactions to extract rules. For the dense datasets, 2 the developed EFM still performs better than compared algorithms while the 3 threshold is set relatively low. Furthermore, the execution times of the level-4 wise dramatically decrease while the threshold value is set higher which can 5 be observed in Fig. 8(a), Fig. 8(b), Fig. 8(c), Fig. 8(e), and Fig. 8(f). Thus, 6 more execution times of the level-wise approach are required especially in the 7 dense datasets. This is reasonable since, for every transaction in the dense 8 datasets, it contains more items than that of the sparse ones. Thus, the de-9 veloped CFL-structure can keep complete and relevant information for later 10 progress. Furthermore, the proposed two pruning strategies are effective to re-11 duce the size of the search space; less unpromising candidates are determined 12 and examined compared to the level-wise and the list-based structure. Results 13 regarding # of nodes that are examined in space (search) for the compared 14 algorithms are then shown next. 15

#### <sup>16</sup> 5.2 Number of examined nodes

<sup>17</sup> In this section, the number of examined nodes in the search space of the enu-

meration tree for the three compared algorithms are then determined. Results
under linguistic 2-terms membership functions are then stated in Fig. 9.



Fig. 9: Comparisons for the number of nodes under linguistic 2-terms membership functions.

In Fig. 9(a) to Fig. 9(c), it can be easily observed that the designed EFM has generated fewer nodes for examination in the search space compared to

the other two approaches. The reason is that the proposed structure can effi-1 ciently reduce the number of database scans by keeping relevant information. 2 Therefore, the proposed list-based structure reduces the search space size by 3 limiting the extraction rules. For instance in Fig. 9(f), the level-wise and the 4 list-based approaches respectively need to examine 872, 334 and 870, 801 can-5 didates but the developed EFM only examines 869, 203 for the actual 2,837 6 MFFPs while the minimum support threshold is set as 0.2%. When the thresh-7 old increases, for example, 0.50%, the level-wise and the list-based methods 8 required to examine 396,025 and 396,017 nodes respectively but the EFM 9 approach determines 333, 853 candidates when the threshold is set as 0.45%. 10 We can also observe that the difference between the compared algorithms is 11 not huge from Fig. 9(f). The reason is that this dataset belongs to the sparse 12 dataset; the relevant relationship of the items in the database is thus low. 13 Besides, the examined nodes in the search space are not considered as the 14 MFFPs; many candidates are determined but fewer patterns are considered as 15 the MFFPs. Thanks to the advantage of the designed two pruning strategies, 16 they are effective to reduce some unpromising candidates for examination in 17 the search space of the MFFPs. Experiments for the linguistic 4-terms mem-18 bership functions are then conducted and shown in Fig. 10. 19



Fig. 10: Comparisons for the number of nodes under linguistic 4-terms membership functions.

Generally, the designed EFM performs better than the compared level-wise and list-based approaches, especially in Fig. 10(e), the number of examined nodes for the level-wise approach is almost more than twice of the developed EFM approach. The reason is in this dataset, the produced linguistic terms are highly relevant; the designed pruning strategies are effective to reduce

the size of the unpromising patterns in the search space, and the list-based 1 approach keeps a little bit more nodes for an examination compared to the 2 designed EFL-structure. Also, it still can be found that the designed EFL-3 structure is better than the past list-based approach, which can be seen in 4 Fig. 10(c) while the minimum support threshold is set higher (from 0.13% to 5 6 0.18%). Furthermore, in Fig. 10(f), it can be observed that the three compared algorithms showed almost the same size as the determined nodes. The reason 7 is that for this sparse dataset, since it is hard to find the relevant information 8 of the determined linguistic terms, thus the pruning strategies do not well 9 perform to early reduce the number of examined candidates; the compared 10 algorithms almost produce the same size as the determined nodes in the search 11 space. 12

#### <sup>13</sup> 5.3 Memory usage

<sup>14</sup> In this section, the Java API is used to measure the memory usage for the <sup>15</sup> compared algorithms under six databases. Results are then shown in Fig. 11





Fig. 11: Comparisons for the memory under linguistic 2-terms membership functions.

From the results, it can be seen that the designed EFM algorithm requires less memory usage compared to the level-wise and the list-based models. As the increasing of the threshold value, the designed EFM remains stable for the memory usage, as well as the list-based algorithm except in the foodmart database with 2-terms membership functions shown in Fig. 11(c). Moreover,



Fig. 12: Comparisons for the memory under linguistic 4-terms membership functions.

the level-wise algorithm requires the most memory usage since it needs to 1 perform the multiple database scans for the generate-and-test mechanism. In 2 general, the designed pruning strategies used in the EFM model can efficiently 3 reduce memory usage than the state-of-the-art approaches. For both member-4 ship terms, the proposed algorithm performed  $3 \times$  better. The memory usage is 5 reduced due to the filtration of a large number of unpromising patterns. When 6 the support threshold value is set to low, the level-wise and list-based algo-7 rithms required more time to search the required information, which makes it 8

<sup>9</sup> harder to return extracted rules.

#### 10 5.4 Scalability

In this subsection, the proposed algorithm is compared to the state-of-the-art 11 algorithms in terms of memory usage under 2-terms and 4-terms member-12 ship functions. Experiments are then performed under synthetic T10I4N4KDXK 13 dataset. The dataset with various number of transactions X (from 100k to 14 500k, increments 100k each time) was generated using the simulated IBM 15 Quest synthetic data generator<sup>2</sup>. During experiments, we set the utility thresh-16 old as 20%. The results are compared in terms of runtime, memory consump-17 tion and the number of visited nodes shown in Fig. 13(a) to Fig. 13(d), re-18 spectively. 19

From the scalability analysis, it is observed that the proposed algorithm always performs better in terms of runtime, memory usage, and the visited

 $<sup>^2\,</sup>$  http://www.Almaden.ibm.com/cs/768 quest/syndata.html



Fig. 13: Scalability results.

number of nodes compared to the other given approaches. The level-wise 1 algorithm has the most runtime, memory consumption, and the number of 2 visited candidates for mining the MFFIs. The list-based algorithm has bet-3 ter results than that of the level-wise approach. This is reasonable since the 4 list-based algorithm can reduce the computational cost for multiple database 5 scans. However, the designed model utilized an improved list structure and 6 efficient pruning strategies, thus reducing the memory usage and the num-7 ber of visited candidates. Moreover, the proposed algorithm follows the linear 8

trend when the transaction size is increased from 100k to 500k. The observed 9

linear trend suggests that the proposed algorithm has excellent performance 10

and scalable for handling large datasets. From the results, it can be concluded 11 that the proposed algorithm has good robustness and more scalable to handle

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the big data issue compared to the state-of-the-art approaches. 13

#### 6 Conclusions and Future Works 14

In this paper, an efficient fuzzy mining (EFM) algorithm is presented to dis-15 cover the set of multiple fuzzy frequent patterns (MFFPs) based on the type-2 16 fuzzy-set theory. A compressed fuzzy-list (CFL) is also maintained for storing 17 the satisfied fuzzy frequent itemsets that reduce the conventional limitation 18 of multiple database scans. Two effective pruning strategies are also designed 19 to reduce the unpromising candidates early, thus reduces the search space to 20 find the required MFFPs. Experiments were performed on six datasets varying 21 minimum thresholds to verify the performance of the designed EFM method 22 compared to the previous two works in terms of execution time and the num-23 ber of examined nodes in the search space. In the future, a more condensed 24 structure and tighter upper-bound values should be explored on patterns to 25

<sup>1</sup> speed up the mining processes' efficiency. Moreover, it is also a big challenge to

- <sup>2</sup> maintain sufficient information for incremental mining in dynamic databases
- <sup>3</sup> or efficiently synthesizing the discovered knowledge (i.e., MFFPs) from differ-
- <sup>4</sup> ent branches which should be considered in further studies.

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**Lemma 1:** For an termset X, if Sup(X) or rSup(X) is less than the minimum support threshold, then any supersets (extension) of X is not multiple fuzzy frequent pattern and should be pruned. Proof  $\forall$  transaction  $T \supseteq X'$ ,  $\therefore X'$  is an extension of X, (X'-X) = (X'/X), we can obtain that  $X \subseteq X' \subseteq X'$  $T \Rightarrow (X'/X) \subseteq (T/X),$  $\therefore fv(X',T) = fv(X,T) \cup fv((X'-X),T) = \min(fv(X,T), fv(X'/X,T)) \le fv(X'/X,T)$ fv(X,T) and  $min(fv(X,T), fv(X'/X,T)) \leq fv(X'/X,T) = rmrfv(X,T).$ Suppose that X.tids denotes the set of tids of X,  $\therefore X \subseteq X' \Rightarrow X'.tids \subseteq X.tids, \\ \therefore \frac{\sum_{id(T) \in X'.tids} fv(X',T)}{N} \leq \frac{\sum_{id(T) \in X.tids} fv(X,T)}{N} \Rightarrow Sup(X) < minSup.$ Furthermore, we can obtain that  $\frac{\sum_{id(T) \in X'.tids} rmrfv(X',T)}{N} \leq \frac{\sum_{id(T) \in X.tids} rmrfv(X,T)}{N} \Rightarrow$ rSup(X) < minSup.**Lemma 2:** For a termset X, if Sup(X) or relative remaining support rSup(X) is less than the minimum support threshold, then any supersets (extension) of X is not a MFFP and should be discarded.  $\begin{array}{l} Proof \because X \subseteq X' \Rightarrow X'.tids \subseteq X.tids, \\ \therefore Sup(X') = \frac{\sum_{id(T) \in X.tids} fv(X',T)}{N} = \frac{\sum_{id(T) \in X'.tids} min(fv(X,T),fv(X'/X,T))}{N} \\ \leq \frac{\sum_{id(T) \in X'.tids} min(fv(X,T),rmrfv(X,T))}{N} = \frac{\sum_{id(T) \in Q'} fv(X,T) + \sum_{id(T) \in Q''} rmrfv(X,T)}{N} \\ rSup(X) \leq minSup. \end{array}$ Note that suppose  $Q' \cup Q'' = X'$  tids and  $Q' \cap Q'' = T \in Q'$ , fv(X,T) < C'

<sup>26</sup> rmrfv(X,T), and  $T \in Q', fv(X,T) \ge rmrfv(X,T)$ .

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**Appendix:**