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polymorphs†

In-depth first-principle study on novel MoS₂

Molybdenum disulphide (MoS₂) is a rising star among transition-metal dichalcogenides in photovoltaics, diodes, electronic circuits, transistors and as a photocatalyst for hydrogen evolution. A wide range of MoS₂ polymorphs with varying electrical, optical and catalytic properties is of interest. However, in-depth studies on the structural stability of the various MoS₂ polymorphs are still lacking. For the very first time, 14 different MoS₂ polymorphs are proposed in this study and in-depth analysis of these polymorphs are carried out by employing first-principle calculations based on density functional theory (DFT). In order to investigate the feasibility of these polymorphs for practical applications, we employ wide range of analytical methods including band structure, phonon and elastic constant calculations. Three of the polymorphs were shown to be unstable based on the energy volume calculations. Among the remaining eleven polymorphs (1 T_1 , 1 T_2 , 1H, 2T, 2H, 2 R_1 , 2 R_2 , 3 H_a , 3 H_b , 3R and 4T), we confirm that the 1 T_1 , 1 T_2 , 2 R_2 and 3R polymorphs are not dynamically stable based on phonon calculations. Recent research suggests that stabilising dopants (e.g. Li) are needed if 1T polymorphs to be synthesised. Our study further shows that the remaining seven polymorphs are both dynamically and mechanically stable, which make them interesting candidates for optoelectronics applications. Due to high electron mobility and a bandgap of 1.95 eV, one of the MoS₂ polymorphs (3H_b-MoS₂) is proposed to be the most promising candidate for these applications

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Introduction

Recent research has established transition-metal dichalcogenides (TMDs) as a promising material within several fields.1 This is due to their unique optical, electronic and structural properties, which are dependent on the layered structure of the TMDs.²⁻⁴ Molybdenum disulphide (MoS₂) is perhaps the most well-known TMD with an indirect electronic bandgap of 1.2 eV (experimental value for bulk MoS₂),5 which is surprising as it has a graphene-like polymorph. This is mainly because the electronic properties for TMDs are based on filling the d orbitals, in contrast to graphene and silicon where it is the hybridization of s and p orbitals that lays the foundation for the electronic properties. In addition to the low bandgap, MoS2 is a low-cost material; it has a high surface-to-volume ratio and an abundance of active sites making it attractive in several fields.⁷ Currently, MoS₂ is known for its properties as a lubricant⁸ and lately in photovoltaic (PV) cells,9 as a photocatalyst for hydrogen evolution, 10 as gas or biosensors 11,12 and as a transistor that can

operate at room temperature.⁴ Especially within photocatalytic water splitting MoS₂ is seen as the potential successor to TiO₂ photocatalysts due to the tuneable bandgaps, its high charge carrier mobility, high transparency and excellent chemical stability.⁷

The MoS₂ polymorphs consists of a layer of Mo (transition metal) sandwiched between two layers of S (chalcogens) and strong covalent bonds are present within the sandwich, while the interlayer bonds between two layers are van der Waals bonds. Depending on the coordinate configuration MoS₂ can exist in different phases including 2H, 3R, 1T, 1T', 1T'', etc. Amongst these, two phases stand out in terms of favourable structural properties: 2H MoS₂ is a thermodynamically stable phase with A–B–A sandwich layers that occurs at ambient pressure conditions, this is also the most commonly used phase. 1T is a metastable phase, A–B–C layers, and has not been strictly determined due to a lack of a strict structural refinement. Another important distinction between the two phases is that 1T is metallic while 2H is a semiconductor/insulator.

An alternative to adding dopants to transform materials from insulators to metals is utilising high pressure during the synthesis. It is well known that bilayer sheets of MoS₂ go through a semiconductor-metal transition upon vertical compressive pressure. Early research suggests that bulk MoS₂ could metallize under pressure as they found that the bandgap

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shrinks due to a negative pressure coefficient of resistivity, ${\rm d}E_{\rm G}/{\rm d}P < 0.16$ Unfortunately, the structural transition is unknown, and it requires further research.

Most of the work done on MoS2 by the research community so far is experimental with focus on synthesis, characterization and application of the material as a photocatalyst.7,11,12,17-20 However, over the last years, we have seen a rise in computational work,21 including a pioneering work by Byskov et al.22 As the MoS₂ structure can easily be modified by changing the stacking sequence and/or the layer distance, a variety of MoS₂ polymorphs could be synthesised. However, a fundamental understanding of how these modifications will affect the structural stability of the material is still lacking. This knowledge is of utmost importance as different configurations have different properties, making them viable for a diverse range of applications. So far, the challenges have been to synthesise MoS₂ polymorphs and to identify the stacking sequences. In this study, we propose as many as 14 different MoS₂ polymorphs and carry out in-depth theoretical analysis on their properties based on DFT calculations. We verify analytically how the different layers and coordinate configuration of MoS2 affect the stability and electronic properties of the bulk material. For the very first-time, direct comparison between calculated Raman and IR spectra for pure 1T-MoS2 and 2H-MoS2. The main objective of this study is to explore the possible metastable phases of MoS2 and their relative stability.

Method of calculations

All the calculations were performed within the periodic density functional theory framework, as it is implemented in the VASP code.23-27 The interaction between the core (Mo: [Kr] 4d5 5s1, and S: [Ne] 3s² 3p⁴) and the valence electrons were described using the projector-augmented wave (PAW) method.26,28 In order to speed up our structural optimisation process, the initial structures were optimised with the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional.27 The obtained PBE level optimised structures were further optimised with the DFT/vdW-DF2 method and based on this, the energy volume curves were generated.29-31 Our previous calculations suggested32 that structural parameters in oxides could be reliably predicted only by using large energy-cut off to guarantee basisset completeness. Hence, we have used a cut-off of 600 eV. The atoms were deemed to be relaxed when all atomic forces were less than 0.02 eV \mathring{A}^{-1} and the geometries were assumed to get optimized when the total energy converged to less than 1 meV between two consecutive geometric optimization steps. The electronic properties were computed by using the screened hybrid functional as proposed by Heyd, Scuseria and Ernzerhof (HSE06) for the polymorphs optimized at the PBE level.³³ If not specified differently, we used a Monkhorst-Pack $9 \times 9 \times 9$ kmesh for the structural optimization and the electronic polymorph studies. Band polymorphs were computed by solving the periodic Kohn-Sham equation on ten k-points along each direction of high symmetry of the irreducible part of the first Brillouin zone.

The supercell method is used for phonon calculations.³⁴ The VASP code is used to calculate the real space force constants of supercells, and the PHONOPY35 code is used to calculate the phonon frequencies from the force constants on a supercell consisting of at least 32 atoms in all systems. In order to get the force-constant matrices for each binary system, every atom is displaced by a finite displacement of 0.01 Å in x-, y- and zdirection. Strict energy convergence criteria of (10^{-8} eV) and 4 \times 4×4 k-points were used for the force constant calculations. After getting the force-constant matrices, the dynamical matrix is built for different q vectors in the Brillouin zone. The eigenvalues of phonon frequencies and eigenvectors of phonon modes are found by solving the dynamical matrix. The thermodynamic properties require summations over the phonon eigenvectors which is implemented in the PHONOPY code. We have checked the dynamical stability of all systems, and no imaginary modes are observed in the polymorphs. The thermal properties, including heat capacity, free energy and entropy, were obtained from the calculated PhDOS. The phonon band polymorphs figures for all the studied systems have also been added to the ESI under SI3 and SI4.† Our study is then completed by evaluating the mechanical stability by computing the single-crystal elastic constants. A set of strains (-0.015) $-0.010 -0.005 \ 0.000 \ 0.005 \ 0.010 \ 0.015$) is applied to the crystal cell, and the stress tensor is calculated. The elastic constants are then evaluated by linear fitting of the stress-strain curve using VASPKIT.36

Results and discussion

Structural stability and optimization

Structurally, MoS2 can be regarded as strongly bonded twodimensional S-Mo-S layers or sandwiches which are loosely coupled to one another by relatively weak van der Waals-type forces. Within a single S-Mo-S sandwich, the Mo and S atoms create two-dimensional hexagonal arrays. Depending on the relative alignment of the two S-atom sheets within a single S-Mo-S sandwich, two distinct two-dimensional crystal polymorphs are obtained. In one, the metal atoms are octahedrally coordinated by six neighbouring S atoms, whereas in the other, the coordination of the metal atoms is trigonal prismatic. Variations in the stacking sequence and registry of successive S-Mo-S sandwiches along the hexagonal c axis lead to a large number of crystal polymorphs or polytypes in three dimensions. These are referred to as the 1T, 2H, 3R, 4H_a, 4H_b and 6R phases. In this abbreviated notation, the integer indicates the number of S-Mo-S sandwiches per unit cell along the hexagonal c axis and T, H, and R denote trigonal, hexagonal, and rhombohedral symmetries, respectively. Variations in the stacking sequence like A, A', B, B', C, C", etc. (for more details see Fig. 3) and variation in the layer-layer distances means we can tune these compounds into several modifications. In order to understand the relative stability of these modifications, we have considered the following 14 polymorphs and they have been used as starting inputs in the structural optimization calculations (number of formula units; and Materials Project ID are given in parenthesis; low energy polymorph identified this work is

Paper

2R, - MoS 1T, - MoS. 2H - MoS, 1T, - MoS, 3H, - MoS, 3T - MoS -20.5 4T - MoS 2R, - MoS. 3H - MoS, Energy (eV/f.u.) 2T - MoS. Energy (eV/f.u.) -20.6 1H - MoS -20.7 -20.8 -21.8 -20.9

Fig. 1 Calculated total energy as a function of the volume of the unit cell for the different phases and polymorphs of MoS₂. The total energy vs. volume curve for the group A (a) and group B (b). All the energy volumes are normalized to one formula unit (f.u.).

highlighted as bold letters): R3m (1, 1434, 2 \mathbf{R}_1), $P\bar{3}m1$ (4, 1027525, 4 \mathbf{T}), $P6_3/mmc$ (2, 2815, 2 \mathbf{H}); $P\bar{6}m2$ (3, 1025874, 3 \mathbf{H}_a), $P\bar{3}m1$ (3, 1023939, 2 \mathbf{T}), $P6_3/mmc$ (6, 1018809, 3 \mathbf{H}_b), $P\bar{6}m2$ (1, 1023924, 1 \mathbf{H}), Pmmn (18, 990083), $F\bar{4}3m$ (4, 11780), $R\bar{3}m$ (1, 558544, 3 \mathbf{T}), $P\bar{3}m1$ (1, 1238797, 2 \mathbf{R}_2), $I\bar{4}2d$ (2, 1042086), $P\bar{3}$ (1, 1 \mathbf{T}_2) and $P\bar{3}m1$ (1, 1 \mathbf{T}_1). However, the following polymorphs Pmmn (18, 990083), $F\bar{4}3m$ (4, 11780) and $I\bar{4}2d$ (2, 1042086) are omitted from the rest of the analysis. This is because their energy-volume data are far away from the others as presented in Fig. 1, they are also unstable compared to the other polymorphs.

Volume (Å3/f.u.)

(a)

The structural stability of the several different phases of MoS_2 has been studied to find the most stable phase and polymorph for further investigation and research. Our first step was to perform a total energy calculation as a function of volume for all the phases. Based on this calculation we divided the phases into two different groups (according to the energetics), group A and group B. The polymorphs in group A, shown in Fig. 1a, are (space group and space group number are given in the parenthesis): $2R_1$ - MoS_2 (P3m1; 156), 2H- MoS_2 ($P6_3$ /mmc; 194), 4T- MoS_2 ($P\bar{3}m1$; 164), $3H_a$ - MoS_2 ($P\bar{6}m2$; 187), 2T- MoS_2 ($P\bar{3}m1$; 164), and 1H- MoS_2 ($P\bar{6}m2$; 187). In group B, as shown in Fig. 1b, we have placed the following polymorph models: $1T_1$ - MoS_2 ($P\bar{3}$; 164), $1T_2$ - MoS_2 ($P\bar{3}m1$; 164), 3T- MoS_2 ($R\bar{3}m$; 166) and $2R_2$ - MoS_2 ($P\bar{3}m1$; 164). It

should be noted that the 2H and 3R variants the Mo–S coordination is trigonal prismatic and the layers stacking sequence are significantly different (see Fig. 2).³⁷ On the other hand, the 1T variants consist of MoS₂ layers with almost perfectly ordered MoS₆ octahedra.³⁷

Volume (Å³/ſ.u.)

(b)

As shown in Fig. 1, the total energy curves clearly show that group A is energetically favoured over group B with an energy difference of 0.8 eV. In general, we see that our first principle calculations coincide well with experimental results.³⁸⁻⁴¹ Interestingly, we observe in Fig. 1a that the various polymorphs in group A seem to have the same minimum energy, although with a varying range of volume. Which indicates that MoS₂ can easily be found in any of these variants. The calculated positional and lattice constants of different polymorphs are presented in Table 1. From the space group numbers and names, we see that all group B polymorphs and three of the group A polymorphs are trigonal, while the last four group A polymorphs are hexagonal. From Fig. 1 it is clear that the hexagonal polymorphs have a wider spread in volume than the trigonal polymorphs. However, the involved energy difference in group A is small, and it is hard to conclude whether trigonal or hexagonal polymorphs are more energetically favourable. Regarding the group

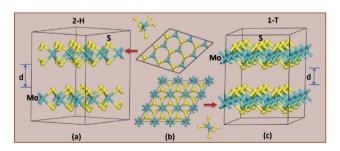


Fig. 2 The difference in crystal structure for 2H (a) and 1T (c) MoS_2 polymorphs. (b) Shows a top-down look on the hexagonal polymorph of 2H (top) and 1T (bottom).

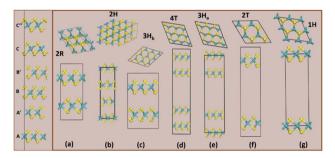


Fig. 3 The column on the left shows the various stacking sequences (A, A', B, B', C, C'') for MoS_2 . On the right side, we see how the group A polymorphs are stacked.

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B polymorphs, three of them are trigonal crystal systems and of them 3T-MoS₂ has the lowest energy. Another point of interest is how the volume affects the energy of the unit cell. For group A there is little difference between the energies and all the polymorphs could be synthesised (based on Fig. 1). However, for group B it appears that the two larger polymorphs (with regards to volume) are more energy favourable compared to the smaller

Nonetheless, Fig. 1 only gives an indication of which polymorphs MoS₂ prefers to be in, which is why we calculated the elasticity constants and phonon densities.

Band structure

In order to verify which of these polymorphs are viable for e.g. photocatalytic processes, photovoltaic cells or in transistors we carry out in depth electronic calculations. Materials with semiconducting properties could be used to absorb visible light, while metals could be used as conductors. Our HSE06 bandgap calculations, presented in Fig. 4, clearly states that the group B

polymorphs are metallic, which is in line with previous findings. 42 However, the polymorphs in group A are semiconductors with indirect bandgaps as the valence band is at the Γ point, while the conduction band minimum is although accurate in its band polymorph description, underestimates the bandgap value. GGA calculations are less accurate than HSE06 (ref. 43 and 44) but they are cheaper in computing time, making them excellent for first-time investigations and gives an idea about the bandgap configuration. This is confirmed when the GGA results are compared to the HSE06 calculations, which are also presented in Table 2. Our HSE06 results coincide well with the experimentally found bandgaps for MoS2 which are within the range of 1.2-1.9 eV.7 The valence bands and conduction bands for the polymorphs in both groups are derived from Mo-d and Sp states.²¹ This shows that the group A MoS₂ is well suited for photovoltaic solar cell and photocatalytic water splitting applications.

The electron effective mass is an indication of the mass of the structure/particle when it responds to forces. It can be used

Table 1 Polymorph and lattice parameters for the investigated polymorphs

| Polymorph | Cell constants (Å) | Coordinates | | |
|---|-------------------------------------|---|--|--|
| 2R ₁ -MoS ₂ (<i>P</i> 3 <i>m</i> 1; 156) | a = 3.1887, b = 3.1887, c = 21.3444 | Mo ₁ (1a): 0, 0, 0 Mo ₂ (1a): 2/3, 1/3, 1/3 Mo ₃ (1a): 1/3, 2/3, 2/3 S1 (1a): 0, 0, 0.5928 S2 (1a): 2/3, 1/3, 0.9271 S3 (1a): 1/3, 2/3, 0.2604 S4 (1a): 0, 0, 0.7400 S5 (1a): 2/3, 1/3, 0.0733 S6 (1a): 1/3, 2/3, 0.4067 | | |
| 2T-MoS ₂ ($P\bar{3}m1; 164$) | a = 3.1891, b = 3.1891, c = 24.8987 | Mo (2 <i>d</i>): 1/3, 2/3, 0.8505 S1 (2 <i>d</i>): 1/3, 2/3, 0.2122 S2 (2 <i>d</i>): 1/3, 2/3, 0.0868 | | |
| 4T-MoS ₂ ($P\bar{3}m1; 164$) | a = 3.1889, b = 3.1889, c = 39.7944 | Mo ₁ (2 <i>d</i>): 1/3, 2/3, 0.0936 Mo ₂ (2 <i>d</i>): 1/3, 2/3, 0.7193 S1 (2 <i>d</i>): 1/3, 2/3, 0.3199 S2 (2 <i>d</i>): 1/3, 2/3, 0.9457 S3 (2 <i>d</i>): 1/3, 2/3, 0.2414 S4 (2 <i>d</i>): 1/3, 2/3, 0.8672 | | |
| 1H-MoS ₂ ($P\bar{6}m2$; 187) | a = 3.1881, b = 3.1881, c = 17.4639 | Mo (1a): 0, 0, 0 S (2h): 1/3, 2/3, 0.0894 | | |
| $3H_a$ -MoS ₂ ($P\bar{6}m2; 187$) | a = 3.1890, b = 3.1890, c = 32.3461 | Mo ₁ (2h): 1/3, 2/3, 0.7698 Mo ₂ (1e): 2/3, 1/3, 0 S1 (2h): 1/3, 2/3, 0.0483 S2 (2i): 2/3, 1/3, 0.2785 S3 (2i): 2/3, 1/3, 0.8181 | | |
| $3H_b$ -MoS ₂ ($P6_3/mmc$; 194) | a = 3.1890, b = 3.1890, c = 14.8916 | Mo (2 <i>d</i>): 2/3, 1/3, 1/4 S (4 <i>f</i>): 2/3, 1/3, 0.8549 | | |
| 2H-MoS ₂ ($P6_3/mmc$; 194) | a = 3.1779, b = 3.1779, c = 14.1156 | Mo (2 <i>b</i>): 0, 0, 1/4 S (4 <i>f</i>): 2/3, 1/3, 0.3608 | | |
| $2R_2$ -MoS ₂ ($P\bar{3}m1$; 164) | a = 3.1798, b = 3.1798, c = 6.5738 | Mo (1b): 0, 0, 1/2 S (2d): 2/3, 1/3, 0.2575 | | |
| $3\text{T-MoS}_2(R\bar{3}m; 166)$ | a = 3.2060, b = 3.2060, c = 19.7232 | Mo (3a): 1/3, 2/3, 2/3 S (6c): 0, 0, 0.2534 | | |
| $1T_1$ -MoS ₂ ($P\bar{3}$; 164) | a = 3.1900, b = 3.1900, c = 5.9450 | Mo (1a): 0, 0, 0 S (2d): 1/3, 2/3, 0.2488 | | |
| $1T_2$ -MoS ₂ ($P\bar{3}m1$; 164) | a = 3.1900, b = 3.1900, c = 5.9450 | Mo (1a): 0, 0, 0 S (2d): 1/3, 2/3, 0.2488 | | |

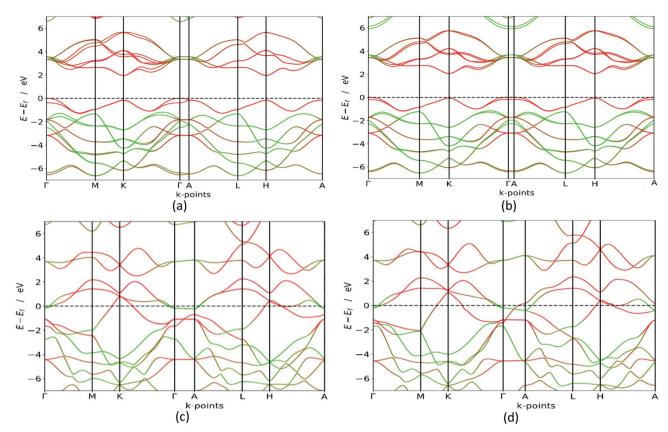


Fig. 4 HSE06 band structure (colour code: green line - S, red line - Mo) for $3H_b$ in (a), 1H in (b), $2R_2$ in (c) and $1T_1$ in (d). We see that the group A polymorphs are semiconductors with a bandgap between 1.8 and 2.1 eV, while the group B polymorphs are metallic. The other polymorphs are seen in the ESI.†

to calculate electron mobility and diffusion constants. We used Fonari and Sutton's effective mass calculator for our calculations. ⁴⁵ The higher curvature of the conduction band minimum compared to the valence band minimum indicates a higher hole effective mass than the electron effective mass. This indicates that MoS₂ has higher electron mobility, compared to the hole mobility, due to the lower electron effective mass.

We calculated the effective masses for the semiconductor (group A) polymorphs to confirm the findings in the band structures. In general, the effective masses of electrons and holes are

relevant for the mobility, electrical resistivity, quantum confinement, 46,47 and free-carrier optical response in semiconductor materials. For the first time, effective masses are presented for seven different polymorphs of MoS_2 and are shown in Table 2. We have compared them to a $2H-MoS_2$ polymorph from Rasmussen *et al.* to get an indication of how our polymorphs measure up against previously studied polymorphs, and we see that our values are lower for electrons. 48 This is due to the different approximations (G_0W_0) used in the calculations.

Table 2 Calculated GGA and HSE06 total bandgaps (E_g ; in eV), type of bandgap, the effective mass of electrons and effective mass of holes. The effective masses are calculated along the $K-\Gamma$ K-path

| Name | GGA band gap (eV) | HSE06 band gap (eV) | The effective mass of electrons $m_{\rm e}^*(m_{\rm e})$ in $K\!\!-\!\Gamma$ directions | Effective mass of holes $m_{ m h}^*(m_{ m e})$ in $K\!-\!\Gamma$ direction | Effective mass of hole $m_{\rm h}^*(m_{\rm e})$ in Γ - A and Γ - M directions | .) Type of bandgap |
|-----------------------------------|----------------------|------------------------|---|--|---|--------------------|
| 2R ₁ -MoS ₂ | 1.41 | 1.87 | 0.50 | 0.57 | 0.92 (Γ-A) | Indirect |
| 2H-MoS ₂ | 1.42 | 1.94 | 0.51 | 0.55 | 0.86 (<i>\Gamma-A</i>) | Indirect |
| 3H _b -MoS ₂ | 1.45 | 1.95 | 0.22 | 0.03 | 1.01 (<i>\Gamma-A</i>) | Indirect |
| $4T-MoS_2$ | 1.48 | 1.96 | 0.48 | 0.56 | 1.82 $(\Gamma - M)$ | Indirect |
| 3H _a -MoS ₂ | 1.50 | 1.98 | 0.47 | 0.56 | 1.89 (Γ-M) | Indirect |
| 2T-MoS ₂ | 1.54 | 2.04 | 0.47 | 0.56 | 2.32 (Γ-M) | Indirect |
| 1H-MoS ₂ | 1.64 | 2.12 | 0.47 | 0.56 | 2.96 (Γ-M) | Indirect |
| MoS ₂ (ref. 48) | . 1.58 (LDA) | 2.48 (G_0W_0) | 0.55 | 0.53 | NA | NA |

For photocatalytic processes, the transfer of carriers to the reactive sites is easier with smaller effective masses. ⁴⁹ Compared to 2H-TiO_2 ($1.4m_{\rm e}$ and $5m_{\rm h}$) ⁴⁸ and 1T-TiO_2 ($8.2m_{\rm e}$ and $1.1m_{\rm h}$) ⁴⁸ the electron mobility in MoS₂ is better than that of TiO₂. This combined with a much lower bandgap (3.2 eV for TiO₂ (ref. 50)) clearly show that MoS₂ is a better photocatalyst than TiO₂.

Further research on carrier transport characteristics is needed as the presence of valleys and defects in the polymorph, charge carrier scattering, reduced mean free path and elastic scattering time all influence the carrier mobility in the crystal.

Phonon calculations

In order to understand the dynamical stability of the studied polymorphs we carried out phonon calculations. In addition to the total phonon density of states (PDOS), we calculated the phonon dispersion curves, at the equilibrium volume, along the high symmetry direction of the Brillouin zone for all the polymorphs and these variations are presented in Fig. 5 with their corresponding PDOS. None of the group A polymorphs displays any soft/negative modes, which means that they should be dynamically stable. Whereas the group B polymorphs show the presence of negative modes, making them dynamically

unstable. This shows that going from 2H polymorphs to 1T polymorphs creates a less stable polymorph, which is supported by experimental findings.⁵¹

The total phonon density of states is calculated at the equilibrium volumes for the different polymorphs of MoS₂. From Fig. 5 we observe that the two group B polymorphs (all four can be found in SI 4a-d†) contains unstable (imaginary) phonon modes while for the two group A (SI 3a-g† for the remaining polymorphs) polymorphs we only have stable (real) modes. These findings indicate that the group A polymorphs are dynamically stable, while the group B polymorphs are dynamically unstable. All group A polymorphs have a similar PDOS, this combined with the low energy difference between phases indicates that one can easily modify one polymorph into another using temperature or pressure. This explains why depending on different synthesis routes it is possible to stabilise different MoS₂ polymorphs.⁷ Not surprisingly we find that 1T₂-MoS₂ and 1T₁-MoS₂ have very similar wave vectors, PDOS and partial PDOS, as they are both trigonal and share the same lattice parameters (see Table 1) although they are in different space groups. Comparing 3T-MoS₂ to 2R₂-MoS₂ there is a slight difference

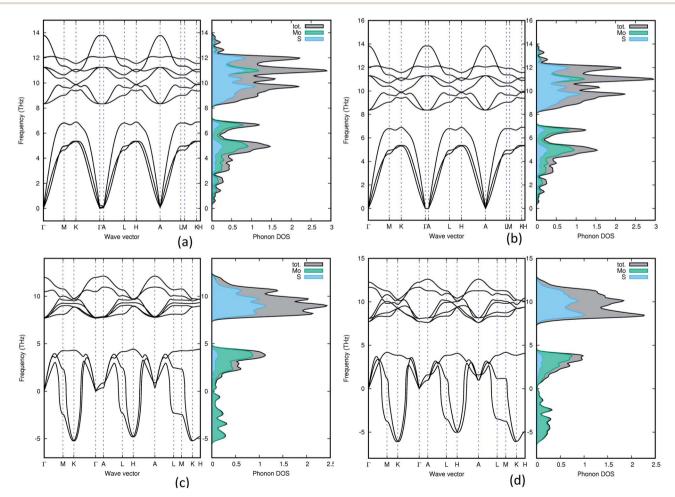


Fig. 5 Phonon density of states for $3H_b$ (a), 1H (b), $2R_2$ (c) and $1T_1$ (d). Both group B polymorphs ($2R_2$ and $1T_1$) contains negative frequencies, which means that they are dynamically unstable.

in where the maximum peaks are, this could be explained by the difference in the volume of the unit cell. For group A, they all seem quite similar, except for $2H\text{-MoS}_2$ which have a slightly different distribution in the higher frequency area compared to the others. Indicating that it has fewer occupied states in the 11 THz regions compared to the others.

The partial PDOS are included in Fig. 5 as well and it is clear that the smaller atom S dominates the higher frequencies (above 8 THz), while the heavier Mo atom dominates the lower frequencies. However, some S modes appear in the low-frequency region and for the 2H polymorphs, a few Mo modes appear above 10 THz.

Mechanical stability

We have computed the single-crystal elastic constants to help us understand the mechanical stability of the investigated MoS2 phases. The elastic constants of a material describe how the material responds to an applied force, as either applied strain or the required stress to maintain a certain deformation. Both stress and strain have three tensile and three shear components. Due to this, the elastic constants of a crystal can be described using a 6 × 6 symmetric matrix, having 27 components where 21 of those are independent. Naturally, we can reduce the number of components by utilising any existing symmetry in the polymorph. The 6 \times 6 matrix is known as C_{ii} , the stiffness matrix, and it can be used to calculate properties as the bulk modulus, Poisson coefficient and Lame constants. Previous studies show that the accuracy of the DFT elastic constant is within 10% of the experimental values.63 Hence, we can safely use our results to predict the elastic constant for our MoS₂ polymorphs.

For trigonal polymorphs the mechanical stability criteria of the elastic constants are:⁶⁴

$$B_{T1} = C_{11} - C_{12} > 0$$

$$B_{T2} = (C_{11} + C_{12})C_{33} > 2C_{13}^{2}$$

$$B_{T3} = (C_{11} - C_{12})C_{44} > 2C_{14}^{2}$$

$$B_{T4} = C_{44} > 0$$

For the hexagonal polymorphs the stability criteria are:64

$$B_{H1} = C_{11} > |C_{12}|$$

$$B_{H2} = (C_{11} + C_{12})C_{33} > 2C_{13}^{2}$$

$$B_{H3} = C_{44} > 0$$

$$B_{H4} = C_{66} > 0$$

As seen in Table 3, only 1T₂-MoS₂ is found to be mechanically unstable since it does not fulfil the Born criteria. Even though group B polymorphs fulfil the Born criteria this does not imply that these could be synthesised as they were found to be dynamically unstable based on the phonon analysis. In general, if a compound is found to be dynamically stable, it indicates that it has either a stable phase or a possible metastable phase. All A group materials are both dynamically and mechanically stable, so these polymorphs can be synthesised experimentally. Since the B group materials are dynamically unstable, but mechanically stable (except 1T₂-MoS₂) we could conclude that these polymorphs have metastable phases. This explains why monovalent elements/nanoparticles/nanoobjects have been added to stabilise group B polymorphs. ^{29,65-67}

Table 3 The calculated single-crystal elastic constants C_{ij} (in GPa), bulk modulus B (in GPa), shear modulus G (in GPa), Poisson's ratio ν , Young's modulus E (in GPa). Subscript V indicates the Voigt bound, R indicates the Reuss bound and H indicates the Hill bound

| Polymorph | $2R_1$ -MoS $_2$ | 2T-MoS ₂ | 4T-MoS ₂ | 1H-MoS ₂ | 3H _a -MoS ₂ | 3H _b -MoS ₂ | 2H-MoS ₂ | $2R_2$ -MoS $_2$ | 3T-MoS ₂ | 1T ₁ -MoS ₂ | 1T ₂ -MoS ₂ |
|------------------|------------------|---------------------|---------------------|---------------------|-----------------------------------|-----------------------------------|---------------------|------------------|---------------------|-----------------------------------|-----------------------------------|
| Crystal system | Trigonal | Trigonal | Trigonal | Hexagonal | Hexagonal | Hexagonal | Hexagonal | Trigonal | Trigonal | Trigonal | Trigonal |
| C_{11} | | 105 | 132 | 75 | 123 | 176 | 190 | 140 | 177 | 187 | 195 |
| C_{12} | | 27 | 34 | 19 | 31 | 45 | 48 | 8 | -4 | 37 | 44 |
| C_{13} | | 0.1 | 0.40 | 0.1 | 0.5 | 0.6 | 2 | 10 | 14 | 30 | 58 |
| C_{14} | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C_{33} | | 0.3 | 1 | 0.3 | 1 | 2 | 7 | 14 | 29 | 10 | 12 |
| C_{44} | | 39 | 49 | 28 | 0.4 | 66 | 71 | 66 | 90 | 75 | 75 |
| C_{66} | | 0.2 | 0.42 | 0.1 | 46 | 0.3 | 0.21 | 4 | 6 | 31 | -82 |
| Born | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No |
| $B_{ m V}$ | | 29 | 37 | 21 | 35 | 50 | 55 | 39 | 48 | 64 | 80 |
| $B_{ m R}$ | | 0.3 | 1 | 0.3 | 1 | 2 | 7 | 14 | 26 | 4 | -125 |
| $B_{ m H}$ | | 15 | 19 | 11 | 18 | 26 | 31 | 26 | 37 | 34 | -23 |
| $G_{\mathbf{V}}$ | | 20 | 72 | 14 | 24 | 34 | 37 | 32 | 44 | 104 | -2 |
| $G_{ m R}$ | | 0.4 | 1 | 0.2 | 1 | 0.8 | 1 | 4 | 12 | 5 | -41 |
| G_{H} | | 10 | 36 | 7 | 12 | 17 | 19 | 18 | 28 | 55 | -21 |
| $ u_{ m V}$ | | 0.22 | -0.09 | 0.22 | 0.22 | 0.22 | 0.23 | 0.18 | 0.15 | -0.03 | 0.51 |
| $\nu_{ m R}$ | | 0.08 | 0.19 | 0.25 | 0.23 | 0.35 | 0.46 | 0.36 | 0.30 | 0.01 | 0.35 |
| $ u_{ m H}$ | | 0.22 | -0.08 | 0.22 | 0.22 | 0.23 | 0.25 | 0.22 | 0.20 | -0.02 | 0.14 |
| $E_{ m V}$ | | 49 | 131 | 35 | 58 | 83 | 90 | 76 | 102 | 203 | -5 |
| $E_{ m R}$ | | 0.9 | 2 | 0.5 | 2 | 2 | 2 | 11 | 31 | 10 | -110 |
| $E_{ m H}$ | | 25 | 66 | 18 | 30 | 43 | 47 | 45 | 68 | 106 | -48 |

To investigate how the polymorphs would react to applied mechanical forces, we calculated the Voigt (V), Reuss (R) and Hill (H) modulus through the elastic stiffness moduli, C_{ij} . These were then used to calculate the bulk modulus B, shear modulus G, Young's modulus E and Poisson's ratio ν . The calculated values are found in Table 3.

The Hill average young modulus for $1T_2$ -MoS $_2$ (-48 GPa) is negative, which indicates that the atoms are stretched instead of being compressed. For 2T-MoS $_2$ (25 GPa), 4T-MoS $_2$ (66 GPa), 1H-MoS $_2$ (18 GPa), $3H_a$ -MoS $_2$ (30 GPa), $3H_b$ -MoS $_2$ (43 GPa), 2H-MoS $_2$ (47 GPa), $2R_2$ -MoS $_2$ (45 GPa), 3T-MoS $_2$ (68 GPa) and $1T_1$ -MoS $_2$ (106 GPa) the atoms are compressed due to the positive value. We see that there is spread in the stiffness of the polymorphs varying from 1H-MoS $_2$ with 18 GPa (like peptide nanotubes 68,69) up to $1T_1$ -MoS $_2$ at 106 GPa (like bronze, brass and some titanium alloys 70).

Looking at the Poisson's ratio, we see that 4T-MoS $_2$ and 1T $_1$ -MoS $_2$ have negative values, -0.08 and -0.02, which makes them auxetic materials. This means that when the materials are subjected to a positive strain along a longitudinal axis, the transverse strain would increase the cross-sectional area. MoS $_2$ is known for being among crystalline materials that have polymorphs with negative Poisson's ratio, 71 and 1T polymorphs are the more common auxetic polymorphs. 72 Auxetic materials are expected to have mechanical properties such as high energy absorption and fracture resistance.

The other materials vary from a Poisson's ratio of $0.14~(1T_2-MoS_2)$ up to $0.25~(2H-MoS_2)$, which is a range from foam-like compressibility to cast iron. The average of our polymorphs seems to be 0.2, which is around cast iron. In addition to Youngs' modulus and Poisson's ratio, we can also calculate shear modulus over bulk modulus (G/B), a value that will determine if the material is ductile or brittle. The critical value for high (low) G/B that separates ductile and brittle materials is 0.5.73~Our calculated G/B values are below 0.5, implying that all the polymorphs have brittle characteristics except $3H_a-MoS_2$ which has a G/B value of $0.97.~3H_a-MoS_2$ is thus expected to be a ductile material.

Raman and IR spectra

IR spectrum. The IR spectra of all the studied MoS₂ polymorphs are presented in Fig. 6, and the corresponding modes are presented in Table 4. From the calculated values, we clearly observe that the high frequency modes are caused by S-Mo-S rotation, whereas low frequency modes are caused by Mo-S vibrations. According to crystal symmetry, A2u and E1u IR modes refer to a bulk material, while A"2 plus E' correspond to single layer, and $A_{2\mathrm{u}}$ and E_{u} are active IR modes for double layer MoS2.52 Based on the calculated IR spectra for the group B polymorphs shown in Fig. 6b, we see that 3T-MoS₂ is a doublelayer polymorph (due to comparatively larger intermediate distance between the layers), while 1T₁-MoS₂ and 1T₂-MoS₂ contain the ²E_u from double-layer polymorphs in addition to much softer ²A₀ mode. Our results clearly show that the group B polymorphs are only metastable, and this may the reason for lack of other theoretical IR studies in the literature on these polymorphs. This makes it difficult to verify this result due to lack of literature data. Further theoretical and experimental studies are needed on this aspect.

Regarding the group A polymorphs, we clearly notice the presence of ²E_{1u} and ²A_{2u} active modes for 3H_b-MoS₂ and 2H-MoS₂ indicating that they are MoS₂ bulk polymorphs. 4T-MoS₂ has Eu and A2u as active modes, which is also an indication of a bulk polymorph. Due to the presences of the E' and ${}^5A''_2$ modes (due to comparatively larger intermediate distance between the layers) we find 3H_a-MoS₂ to be a single layer. The E_u modes seen for 2T-MoS₂ confirms that this a double layer polymorph, while the E' mode for 1H-MoS₂ makes it a single layer polymorph. 2R₁-MoS₂ on the other hand shows E modes and A1, neither of these modes have previously been reported as active IR modes for MoS2. This could be an artefact from the calculation method, although the historical known accuracy speaks against this. However, it could also be a result of the interlayer distance and van der Waals forces making it harder to differentiate between the MoS2 layers of the polymorph. Another possible explanation is that the polymorphs

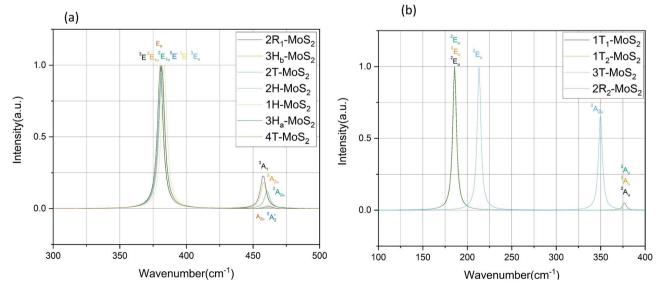


Fig. 6 IR spectra for the group A polymorphs (a) and the group B polymorphs (b).

Table 4 The calculated Raman and IR frequency (in cm $^{-1}$) for the modes at the Γ point of the Brillouin zone for MoS $_2$ polymorphs

| Polymorph | Raman active modes | IR active modes | | | |
|--|--|--|--|--|--|
| $2R_1$ -MoS $_2$ | ³ E: 286, 381. ³ A ₁ : 405 | ³ E: 380. ³ A ₁ : 457 | | | |
| $2H-MoS_2$ | ² E _{2g} : 30, 382. ¹ E _{1g} : 283. ¹ A _{1g} : 404 | $^{2}E_{1u}$: 380. $^{2}A_{2u}$: 460 | | | |
| $3H_b$ -MoS ₂ | ² E _{2g} : 36, 380. ¹ E _{1g} : 284. ¹ A _{1g} : 403 | ² E _{1u} : 380. ² A _{2u} : 458 | | | |
| $4T-MoS_2$ | E _g : 14, 33, 282, 283, 380. A _{1g} : 22, 53, 401, 403, 461, 463 | E _u : 26, 281, 283, 380. A _{2u} : 43, 399, 402, 463 | | | |
| $3H_a$ -MoS $_2$ | ⁴ E": 19. ⁵ E': 283, 381. ⁴ A' ₁ : 398, 461 | ⁵ E': 381. ⁵ A" ₂ : 461 | | | |
| 2T-MoS ₂ | ³ A _{1g} : 40, 400. ³ E _g : 284, 382 | ³ E _u : 382 | | | |
| 1H-MoS ₂ | ¹ E'': 284. ² E': 383 | ³ E': 384 | | | |
| $1T_1$ -MoS ₂ | ¹ E _g : 274. ¹ A _{1g} : 386 | ² E _u : 186. ² A _u : 377 | | | |
| $1T_2$ -MoS $_2$ | ¹ E _g : 275. ¹ A _{1g} : 386 | ² E _u : 186. ² A _u : 377 | | | |
| $3T-MoS_2$ | ¹ E _o : 258. ¹ A _{1o} : 398 | $^{2}E_{11}$: 213. $^{2}A_{211}$: 350 | | | |
| $2R_2$ -MoS $_2$ | ¹ E _g : 274. ¹ A _{1g} : 386 | ² E _u : 185. ² A _u : 376 | | | |
| Bulk 2H-MoS ₂ | E_{2g}^{1} : 384^{a} , 382^{b} , 384^{c} . A_{1g} : 408^{a} , 408^{b} , 408^{c} | E_{1u} : 382 ^e , 384 ^f , 384 ^g . A_{2u} : 468 ^f , 470 ^g | | | |
| Mono 2H-MoS ₂ | E': 384 ^d , 385 ^c . A' ₁ : 403 ^d , 404 ^c | | | | |
| ^a From ref. 58. ^b From ref. 59. ^c From ref. 60. ^d From ref. 49. ^e From ref. 61. ^f From ref. 62. ^g From ref. 54. | | | | | |

are tilted slightly, and therefore exist in a state between 2H and 1T. This would change the crystal symmetry enough to introduce previously unseen modes.

Raman spectra. All of our polymorphs exhibit the signature Raman active modes E_g^1 and A_{1g} , 53 as shown in Fig. 7 and Table 4. In group B polymorphs, out-of-plane $^1A_{1g}$ mode is dominant, which indicates single degenerate wave functions, except for 3T-MoS $_2$ which is dominated by the in-plane 1E_g mode. Compared to the modes of 3T-MoS $_2$ we see that the modes of the other polymorphs are redshifted. The observed redshift could be attributed to the larger interlayer distances (a factor of almost 4, see Table 1). This could lead to an increase in the dielectric screening of the long-range Coulomb forces and thus reduce the overall restoring force on the atoms. From Fig. 7, we observe that the group A polymorphs have a widespread in dominating modes compared to group B. The E_g^1 , E_{2g}^2 and A_{1g} modes around

 280 cm^{-1} , 380 cm^{-1} and 410 cm^{-1} are in agreement with experimental studies.54,55 The modes seen at the lower end of Fig. 7 (<100 cm⁻¹) arise from the vibration of an S-Mo-S layer against adjacent layers, while E_{2g} stems from opposite vibration of two S atoms with respect to the Mo atom. In general, the A₁₀ mode is associated with the out-of-plane vibrations of only S atoms in opposite directions. The additional ⁴A'₁ mode (~460 cm⁻¹) for 3H_a-MoS₂ are due to strong electron–phonon couplings and could come from a second-order process involving the longitudinal acoustic phonons at M point (LA(M)). We also note that the E_g^1 and A_{1g} are redshifted compared to the Raman modes of group B polymorphs. Raman spectra can be used to verify the crystallinity of a material. The Raman spectra for crystalline materials contain sharper peaks or long-range correlations, while amorphous materials only have short-range ordering.⁵⁷ Raman spectra indicates clearly

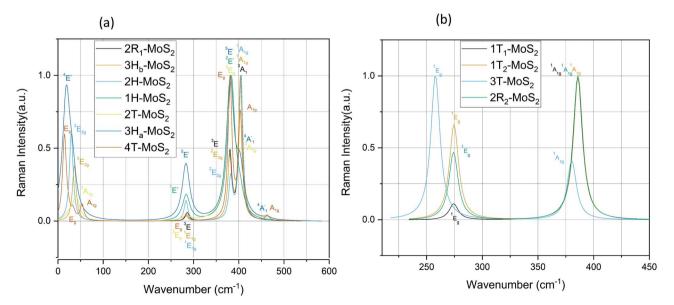


Fig. 7 Raman spectra for the group A polymorphs (a) and the group B polymorphs (b).

that the MoS₂ polymorphs considered in this study are shown to have crystalline characteristics.

For the sake of checking the validity of our approach, we have tabulated experimental as well as other theoretical findings on 2H-MoS_2 polymorph. Based on our knowledge, there are still no studies reported on 1T polymorphs due to the synthesis and stability challenges of these polymorphs. We see that in general, we have the same major peaks around $380~\text{cm}^{-1}$ and $405~\text{cm}^{-1}$ for group A polymorphs as reported in the literature. The same is observed with the IR modes, which are in good agreement with reported literature data.

Conclusion

For the very first time 14 different MoS₂ polymorphs are proposed and studied using DFT total-energy calculations, band structure analysis, phonon density of states and elastic constants calculations. The in-depth study shows.

- Three of the polymorphs were omitted from the study because their energy-volume data were far away from the data for other polymorphs, which indicates that these polymorphs are unstable.
- Polymorphs in group B (1T₁-MoS₂, 1T₂-MoS₂, 3T-MoS₂ and 2R₂-MoS₂) are all metallic and lacked dynamical stability. 1T₂-MoS₂ is neither dynamical stable nor mechanical stable.
- \bullet Group A (2R₁-MoS₂, 3H_b-MoS₂, 2H-MoS₂, 1H-MoS₂, 2T-MoS₂, 3H_a-MoS₂ and 4T-MoS₂) polymorphs are semiconductors with an indirect bandgap, the range for the seven polymorphs is 1.87 eV to 2.12 eV. They are all dynamically and mechanically stable.
 - 2R₁-MoS₂ has the lowest bandgap of 1.87 eV.
- 4T-MoS₂ stands out due to being auxetic, which means it has a high level of fracture resistance.
- $3H_b$ -MoS₂ has the lowest effective electron mass (0.22 m_e νs . for example 1.4 m_e for 2H-TiO₂, which is widely used in PV and photocatalytic applications).

Our theoretical analysis show that the candidates in group A can be readily synthesised. Here further experimental verification is needed. The bandgap range of 1.87 eV to 2.12 eV makes the group A polymorphs viable for photovoltaic and photocatalytic applications. Out of the seven polymorphs in group A, $3H_b\text{-MoS}_2$, with its high electron mobility and with the bandgap of 1.95 eV, is the most promising candidate for photovoltaic and photocatalytic applications. MoS_2 has recently shown promise as electron and/or hole-transport layer in perovskite solar cells, and the high carrier mobility of $3H_b\text{-MoS}_2$ makes it a promising candidate for this use.

The group B polymorphs were only found to be metastable phases (except 1T₂-MoS₂) and cannot be synthesised. Due to the transitions of metastable phases in 1T polymorphs, more research on these polymorphs is needed such that the synthesis of a pure 1T-MoS₂ single-layer polymorph is viable.

Conflicts of interest

There are no conflicts of interest to declare.

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