



Article

Hybrid Density Functional Study of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ for the Intermediate Band Solar Cells

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Abstract: We present a comprehensive investigation of the structural, electronic, mechanical, and optical properties of four promising candidates, namely Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄, for application in photovoltaic devices based on intermediate band (IB) cells. We perform accurate density functional theory calculations by employing the hybrid functional of Heyd, Scuseria, and Erhzerhof (HSE06). Calculations reveal that IBs are present in all proposed compounds at unoccupied states in the range of 0.34–2.19 eV from the Fermi level. The structural and mechanical stability of these four materials are also systematically investigated. Additional peaks are present in the optical spectra of these compounds, as characterised by a broadened energy range and high intensity for light absorption. Our findings, as reported in this work, may provide a substantial breakthrough on the understanding of these materials, and thus help the design of more efficient IB solar devices.

Keywords: HSE06; intermediate band; bulk IB solar cell materials; PV materials; hybrid density functional

1. Introduction

The efficiency of standard solar cells can be increased by using multi-bandgap materials [1]. This category includes intermediate band (IB) materials, which are identified by their energy bands, where the main bandgap is split into two or more sub-bandgaps [2,3]. These materials, when inserted between the *p*-type and *n*-type semiconductors, act as contacts between the conduction band (CB) and valence band (VB) [4]. Excited electrons transit from the VB to the IB, and later from the IB to the CB by the absorption of photons with energy lower than the bandgaps [1,4]. This will provide additional current to the standard one, which is conventionally obtained from the absorption of phonons through the VB to CB transition [2,3]. Based on Shockley and Queisser [5], Luque et al. [6] showed that IB solar cells can achieve a 63.2% balance-limiting efficiency, which was much larger than the theoretical limit of 41% for traditional single-gap solar cells [1].

The IB solar cell offers an independent absorption channel. The VB to IB and IB to CB transitions can be regarded as a series of two cells that is parallel to the standard VB to CB channel [1]. In the ideal case, the IB is not overlapping either VB or CB, so that fast transitions would not occur, and it is also partially filled, ensuring comparable rates for the absorption of photons with sub-bandgap energies [7]. Additionally, it is desirable for the IB solar cell to exhibit a high tolerance with respect to changes in the solar spectrum [8]. Further increasing the number of IBs can raise the efficiency to values as high as 80% [9,10]. This trend is verified in a number of theoretical and experimental works [11–14]. We discussed the current research works for quantum-dot IB and bulk IB solar cells in the

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previous work [1], and we reported the achievement of quantum-dot and bulk-based IB material in the previous work. Currently, researchers are taking up more challenges to attain the theoretical efficiencies of 63.2% for IB solar cells.

An IB can be obtained through the appropriate doping of bulk host semiconductors [15]. In a previous work [1], we presented a detailed study of the electronic band structures of a large number (2100) of novel bulk compounds to identify promising candidates for IB solar cells. For the initial screening of IB compounds, we employed general gradient approximation with Hubbard parameter (GGA + U), which demands less computing resource compared to other more accurate methods. Based on these calculations, we found only 17 compounds to have IBs among the 2100 bulk materials. These were characterised by calculating the band structure and the associated density of electronic states, as well as the effective masses of electrons [1].

In this work, we employ a more accurate method, namely, the screened-exchange hybrid density functional proposed by Heyd, Scuseria, and Ernzerhof (HSE06), for calculating the band structure and the density of states to identify the best candidates among the 17 candidates reported in [1] based on GGA + U approximation. The band structure of these materials showed a more substantial bandgap compared to the previous density functional theory (DFT) results within the GGA + U scheme. An optimal bandgap is of importance in selecting the materials for solar cell applications. Although higher bandgaps give a high open-circuit voltage, they give less short-circuit current, affecting the efficiency of the cell. Considering this, we analysed 17 indirect bandgap materials, and found out that only four materials, namely, Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ had a total bandgap less than 4 eV.

Here, we present an in-depth analysis of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ by employing a more accurate and computer resource-demanding HSE06 method. The calculated density of states for these four compounds helps to understand the origin of IB further, and identify the higher density of IB states. For one of the four materials, namely Ag₂ZnSnS₄, experimental results were reported by [16], and we carry out a comparison of numerical results based on HSE06 with these results. To our knowledge, there are still no experimental results reported for Au₂Cs₂I₆, Ag₂GeBaS₄, or AgCuPO₄. In this article, we provide theoretical evidence of the applicability of these candidates for application as photovoltaic materials by studying the optical properties, structural stability, and mechanical stability of the materials.

2. Computational Details

Total energies of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSn S₄, and AgCuPO₄ have been computed using the Vienna ab initio simulation package (VASP). The core states are described with potential generated through the projected augmented plane wave (PAW) method [17]. The exchange and correlation functional are treated within the GGA approach, using the approximation proposed by Perdew, Burke, and Ernzerhof (PBE) [18]. The Hubbard parameter correction (GGA + U), which included following the rotationally invariant form [19–21], is used for the d states of the transition metals. More details regarding the dependence of our calculations on the value of the Hubbard parameter can be found at the web page of the density functional theory based database (DFTBD) [22]. This DFT + U approach is employed for obtaining optimised structures of the considered compound, as calculations of this type within the HSE06 approach are extremely time-consuming, and would not provide significantly different structural parameters. We optimised the atomic positions as well as the cell size and volume, minimising both the stress tensor and the Hellman-Feynman forces with an overall force tolerance of 10⁻³ eV Å⁻¹. The HSE06 functional was used for computing the electronic structure and the associated optical properties. Our parametrisation included a screened parameter of 0.2 Å-1, and 30% mixing of the screened Hartree-Fock (HF) exchange with the PBE functional [23]. Fully converged results were obtained with a kinetic cut-off energy of 600 eV, and a 6 × 6 × 6 Γ-centered Monkhorst-Pack grid for integration over the Brillouin zone. This setting was used in both PBE and HSE06 calculations.

The PAW approach was employed for calculating the macroscopic dielectric matrix, including local field effects [24]. We used both GGA and HSE06 for calculating the static dielectric constant.

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Moreover, we used HSE06 for calculating the dynamic dielectric functions. The optical properties, such as the optical spectra and the absorption of these compounds, were evaluated using the calculated dynamic dielectric functions. The static dielectric constants were calculated using density functional perturbation theory with local field effects approaches in GGA [24] and HSE06 [25]. A 12 \times 12 \times 12 Γ -centered Monkhorst-Pack k-point mesh was used for these calculations of optical properties. The calculation of the optical properties was performed, accounting for the contribution of 400 electronic bands, as required to accurately obtain the peak position and the intensities in the optical spectrum [26].

The vibrational properties were computed with the frozen phonon approach, using suitably large supercell of the optimised structures. The Phonopy software was used to calculate the phonon dispersion curve and the associated density of states [27,28]. An atomic displacement of 0.0075 Å was used, and displacements in opposite directions were considered to improve the overall accuracy of the calculation of the force constants.

3. Results and Discussion

3.1. Structural Properties

Au₂Cs₂I₆: The Au₂Cs₂I₆ compound adopts the structure of a distorted perovskite (Figure 1c). Although charge counting would indicate a single valence state for gold (Au^{II}) and thus a metallic behaviour, this compound was found to be non-metallic, being comprised of two distinct Au sites with distinct formal valences, Au^I and Au^{III}, forming linear AuI₂⁻ and square AuI₄⁻ molecules. These two kinds of molecules aligned alternately in the tetragonal lattice. The ratios of short-to-long Au-I bonds (Au-I)/(Au-I₂) and (Au₂-I₂) were slightly closer to unity at 0.7631 and 0.8017, respectively. Notably, a first-order structural and valence transition could be induced via hydrostatic pressure with a critical value of 5.5 Gpa. This feature originated a considerable interest regarding the associated changes in the electronic properties [29,30].

Ag₂GeBaS₄: The Ag₂GeBaS₄ crystalised according to a tetragonal structure with space group I-42*m* (Figure 1b). Among the four compounds considered in this study, Ag₂GeBaS₄ is the less studied in the literature. Only one structure is reported [31], and the physical and chemical properties of this phase are unknown. Ag₂BaGeS₄ is one of the homologous tin compounds, with a corresponding tetrahedron construct-like construction type with Ba in anti-prismatic, Ge in tetrahedral, and Ag in strongly deformed tetrahedral coordination sphere. A particularly striking feature of the structure is the four equidistant distances of Ag–S in 2.60 Å in the much-flattened AgS₄ tetrahedra. This type of the structure feature is sporadic in sulphidic Ag compounds.

Ag2ZnSnS4: In the literature, two types of tetragonal structures, I-4 (kesterite-type; space group No. 82) and I-42m (stannite-type; space group No. 121) are described for Ag2ZnSnS4. Both modifications present a similar atomic arrangement [1]. Our total energy calculation predicted that the kesterite-type structure is energetically favourable for the Ag2ZnSnS4 compound [1] (Figure 1d). Both modifications consist of a cubic closed packed (ccp) array of anions, with cations occupying one-half of the tetrahedral voids. These two similar structures are assigned to different space groups due to their distinct cation distribution. The kesterite-type structure is characterised by alternating layers of AgSn and AgZn. Thus, one Ag occupied the 2a (0, 0, 0) position with zinc, and the remaining Ag was ordered at 2c (0, 1/2, 1/4) and 2d (0, 1/2, 3/4), resulting in the space group I-4. On the other hand, in the stannite-type structure, ZnSn layers alternated with Ag layers. The structure is consistent with the symmetry of the space group I-42m, with the divalent cation located at the origin (2a), and the monovalent cation located at the 4d position (0, 1/2, 1/4). Sn is located at the 2b site (0, 0, 1/2) in both structures. The anion lies on the (1, 1, 0) mirror plane at 8i (x, x, z) for the stannite-type structure, and 8g (x, y, z) for the kesterite-type structure.

AgCuPO₄: Two AgCuPO₄ modifications were experimentally observed. The α -AgCuPO₄ phase is stable at temperature as high as 848 K, at which an irreversible transition to the β -AgCuPO₄ form takes place [32]. The structure of the former consists of a stacking of equivalent (100) Cu₂P₂O₈ layers separated by double layers of silver atoms. Cu²⁺ is coordinated to five oxygen atoms, according to a

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distorted square pyramidal group. The interatomic distances between copper and the basal oxygen atoms (Oba) are comprised between 1.88–2.03 Å, while the Cu-O bond length in the case of the apical oxygen atom (Oap) is 2.58 Å (Figure 1a, Table 1). The average Cu-Oba bond length is 1.96 Å, which is compatible with the sum of the ionic radii 14. The Cu bond valence sum 15 was found to be 1.98, and thus compatible with the expected +2 oxidation state. Every pair of CuO5 pyramids shares one edge of their square bases, thus forming a Cu2O8 group (Figure 1a) with an interatomic distance of 3.05 Å between Cu atoms and a Cu-O-Cu bridging angle of 101.1°. These Cu2O8 groups share corners with the PO4 tetrahedra, thus forming Cu2P2O8 layers orthogonal to the (100) direction. Every pair of neighboring Cu atoms are connected either by two Cu-O-Cu bridges, as observed in the case of Cu2O8 dimers, or by one Cu-O-Cu bridge. The silver atom is coordinated by five oxygen atoms with Ag-O distances in the range of 2.32 Å to 2.66 Å, forming a distorted trigonal bipyramidal group. The latter was found to be compressed along the pseudo-threefold rotational axis. The average Ag to axial O interatomic distance is 2.38 Å, and an average Ag to equatorial O distance is 2.56 Å.

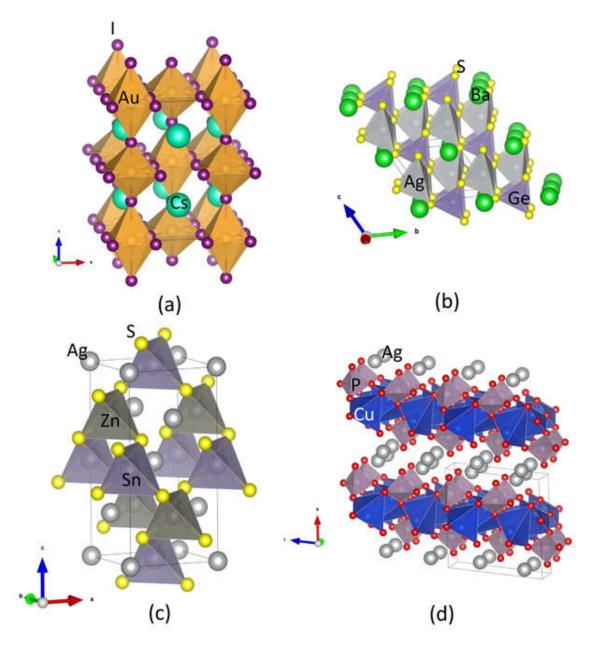


Figure 1. Crystal structures for (a) Au₂Cs₂I₆; (b) Ag₂GeBaS₄; (c) Ag₂ZnSnS₄ (in I-4; kesterite-type); and (d) AgCuPO₄. The legends for the different kinds of atoms shown in the illustration.

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Table 1. Calculated structural parameters and atomic positions of AgCuPO ₄ , Ag2GeBaS ₄ , Au2Cs2	2 I 6,,
and Ag ₂ ZnSnS ₄ .	

Phase	Lattice Parameter				Atomic Positions	
rnase	a	b	С	β(deg)	Atomic Positions	
					^c Cs(4d): 0, 1/2, 1/4	
Au ₂ Cs ₂ I ₆ -	8.4089		12.301		^c Au1(2a): 0, 0, 0	
I4/mmm; 139	(8.2847 °)		(12.0845 °)	90	^c Au2(2b):1/2, 1/2, 0	
14/11111111, 139	(0.2047)		(12.0643 °)		c I1(8h):0.2258, 0.2285, 0	
					c I2(4e):1/2, 1/2, 0.2131	
					^ь Ba(2a): 0, 0, 0	
Ag2BaGeS4-	Ag ₂ BaGeS ₄ - 6.9327 8.1705 I-42 <i>m</i> 121 (6.8280 b) (8.0170 b)	00	^b Ag(4d): 0, 1/2, 1/4			
I-42m 121			(8.0170 b)	90	^b Ge(2b): 0, 0, 1/2	
			,		^b S(8i): 0.1883, 0.1883, 0.3440	
Ag2ZnSnS4- I-4m; 121	5.703 (5.693 ^d)	11.350 (11.342 ^d)			^d Zn(2d): 1/2, 0, 1/4	
				90	^d Sn(2b): 1/2, 1/2, 0	
					^d Ag(2c): 0, 1/2, 1/4	
					^d Ag(2a): 0, 0, 0	
				^d S(8g):0.249, 0.240, 0.128		
					^e Zn(2a): 0, 0, 0	
Ag2ZnSnS4-	5.6503		11.4884	34 90	e Sn(2b): 1/2, 1/2, 0	
I-42 <i>m</i>	(5.7860 e)		(10.8290 e)	90	^e Ag(4d): 0, 1/2, 1/4	
					e S(8i):0.7560, 0.7560, 0.8700	
	8.010 5.6438 (7.8365 a) (5.6269 a)		7.6480 (7.4938 ^a)	98.15 (99.07 ^a)	^a Ag(4e): 0.9287, 0.1093, 0.1909	
					^a Cu(4e): 0.5834, 0.1205, 0.8533	
AgCuPO ₄ - P21/c; 14					^a P(4e): 0.2754, 0.1150, 0.4925	
					^a O1(4e): 0.3150, -0.0490, 0.6480,	
					^a O2(4e): 0.2760, -0.0130, 0.3120	
					^a O3(4e): 0.0990, 0.2370, 0.4920	
				^a O4(4e): 0.4280, 0.3050, 0.5200		

^a Reference [33]; ^b Reference [31]; ^c Reference [16]; ^d Reference [34]; ^e Reference [35].

3.2. Electronic Properties

The bandgap of photoactive semiconductors is a crucial quantity, as it determines the upper bound of the current on the short circuit and the associated voltage. A large bandgap cell, exhibiting a larger voltage and a lower current with respect to a small bandgap cell, absorbs fewer solar photons. However, the efficiency of an ideal single bandgap solar cell exhibiting an optimal bandgap of 1.4 eV is 32% [5]. This thermalization loss is known to occur due to the broad energy spectrum of the source poorly matching the bandgap, and therefore resulting in efficiencies lower than the detailed balance limit [5]. This is also applies to multi-bandgap solar cells.

In virtue of the strong correlation between the efficiency of a solar cell and the bandgap of the material employed, the use of theoretical/computational methods to determine the electronic band structure would allow for the engineering of a semiconductor for application as photovoltaic material. The band structures of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ are presented in Figure 2, and the total and site projected density of states (PDOS) of Au₂Cs₂I₆ are presented in Figure 3. The calculated values of the main bandgap and the sub-bandgap for the four compounds are reported in Table 2. As we explained in [1], all four compounds have indirect bandgaps materials between VB and CB. However, Ag₂ZnSnS₄ and AgCuPO₄ have direct bandgaps between VB and IB.

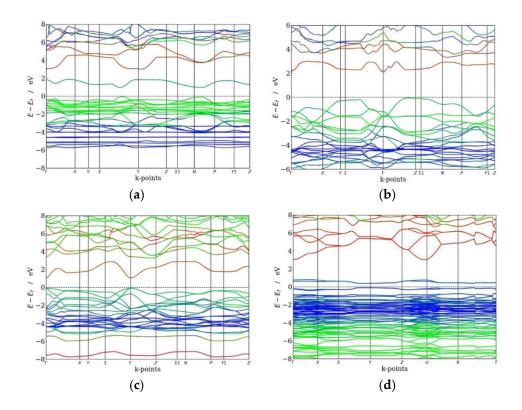
The HSE06 band structure of $Au_2Cs_2I_6$ exhibits a bandgap of 2.92 eV, which is significantly larger than the bandgap calculated with the less accurate GGA + U method (2.35 eV) [1]. The direct bandgap between the top of the VB and the bottom of the IB (E_{vi}) is 0.89 eV, and the energy gap between the top of the IB and the CB (E_{ci}) is 1.13eV. The width of the IB (ΔE_i) is 0.90 eV. The intermediate band

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minimum corresponds to the Cs-5*p* and the Au-6*s* states, the valence band maximum corresponds to the Au-5*p* and the Cs-6*s* state, and the conduction band minimum corresponds to the Au-6*s* and Cs-6*s* states, as shown in Figures 2a and 3. The dispersion of IB of Au₂Cs₂I₆ is high (as shown in Figure 2a), and it is of great significance for high electron mobility. For Au₂Cs₂I₆, the IB located at 0.89 eV is mainly derived from the Cs-5*p* state, with little contribution from the Au-6*s* state, as presented in Figure 3.

The band structure of Ag_2GeBaS_4 is presented in Figure 2b, and the total indirect bandgap at the Γ-point is 3.33 eV (2.41 eV using GGA + U [1]), whereas bandgap E_{vi} is 2.08 eV and E_{ci} is 0.34 eV. From Figure 2b and the supporting information from Figure S1 (see supplementary material), the minimum of the intermediate band derives from the Ge-4s and Ag_5s states, with little contribution from the Ba-6s states. The S-3p states contribute to the VB maximum, and the CB is derived from the Ag_5s and the Ge_5s states. The dispersion of Ag_2GeBaS_4 IB is very high at the Γ -point (as shown in Figure 2b), resulting in high electron mobility.

The band structure of Ag₂ZnSnS₄ shows a direct bandgap at the Γ-point of 3.34 eV (2.70 eV using GGA + U [1]), whereas bandgap Evi is 1.15 eV, Ed is 0.34 eV, and the IB width 1.85 eV, as shown in Figure 2c. From Figure 2c and the supporting information in Figure S2, we observe that the IB minimum corresponds to the Sn-5s states, the VB maximum corresponds to the S-3p state, and the CB minimum corresponds to the Sn-4d state. The dispersion of Ag₂ZnSnS₄ IB is very high at the Γ-point, as shown in Figure 2c, resulting in Ag₂ZnSnS₄ in high electron mobility. Our total energy calculation predicted that the kesterite-type structure is energetically favourable for the Ag2ZnSnS4 phase. We found the IB at 1.15 eV for the kesterite-type structure. According to Gong et al., the energy gap between VB and CB is 2.01 eV for the Ag₂ZnSnS₄ kesterite-type [35]. From Figure 2d, the HSE06 calculated values for AgCuPO4 are as follows: the total direct bandgap is 2.96 eV, which is more significant than the previous GGA + U study (2.35 eV) [1], whereas bandgap Evi is 0.33 eV, Ed is 2.19 eV, and the width of the IB is 0.44 eV. From Figure 2d and the supporting information in Figure S3, we directly observe that the IB minimum corresponds to the Ag-4d and the Cu-3d states. The VB maximum was extracted from the O-2p, Ag-4d, and Cu-3d states, and the maximum CB was derived from the Ag-5s and P-3s states. The dispersion of AgCuPO4 is low when compared to other compounds, resulting in low electron mobility.



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Figure 2. Calculated electronic band structure of (a) $Au_2Cs_2I_6$, (b) Ag_2GeBaS_4 , (c) Ag_2ZnSnS_4 , and (d) $AgCuPO_4$. Colour code: red line -s states, green line -p states, blue -d states). The Fermi level is set to zero.

Table 2. Calculated HSE06 total bandgap (E_g ; in eV), bandgap between the top of the valence band (VB) and the bottom of the intermediate band (IB) (E_{vi} ; in eV), energy gap between the top of the IB and the bottom of the conduction band (CB) (E_{vi} ; in eV) and the width of the IB (ΔE_i ; in eV) are listed here for Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄.

Compounds	Bandgap (Evi)	Bandgap (Eci)	Width of IB (ΔE _i)	Total Bandgap (Eg)
Au ₂ Cs ₂ I ₆	0.89	1.13	0.90	2.92
Ag ₂ GeBaS ₄	2.08	0.34	0.91	3.33
Ag ₂ ZnSnS ₄	1.15	0.34	1.85	3.34
AgCuPO ₄	0.33	2.19	0.44	2.96

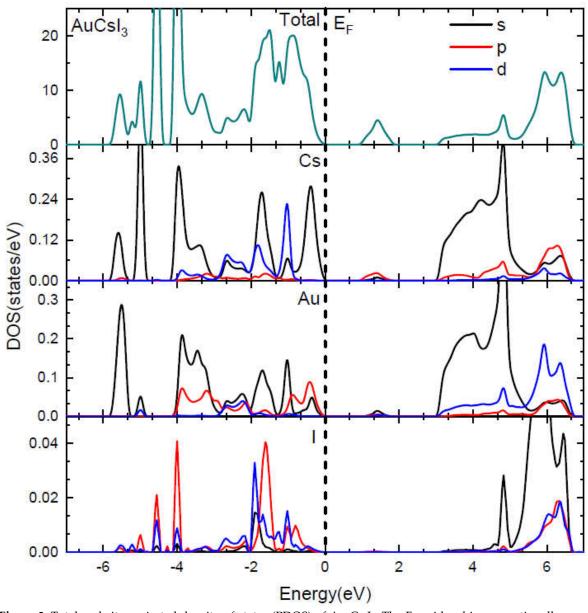


Figure 3. Total and site projected density of states (PDOS) of Au₂Cs₂I₆. The Fermi level is conventionally set to zero and indicated with a dashed line.

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3.3. Lattice Dynamical Stability

To identify the dynamical stability of the studied systems, the density of vibrational states is calculated for the optimised Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ structures. The results are presented in Figure 4. For all of the examined compounds, imaginary frequencies were not observed (with the only exception of AgCuPO₄), indicating that all of the compounds were dynamically stable. In the case of AgCuPO4, the soft phonon modes do not disappear, even at the high-pressure regime. In some of the selected cases, for example in Li₂FeSiO₄, the stable high-pressure phase has soft modes in ambient conditions, but they are disappearing at pressures above the phase transition point [36]. This finding indicates that this phase may not be dynamically stable, even at high pressure, or the considered supercell size (2 × 1 × 2; 32 formula unit with a total number of atoms 224) might not be sufficient. The other possible reason is that this compound has an antiferromagnetic ordering in both low-temperature and high-temperature modification [32,37]. However, due to the large number of atoms involved in this theoretical simulation, the magnetic ordering is not taken into account. The projected phonon density of states for Au₂Cs₂I₆, Ag₂GeBaS₄, and Ag₂ZnSnS₄ are displayed in Figure 5. In the case of Au₂Cs₂I₆, the vibrational modes were spread over the 0-THz to 60-THz range. The Au-I stretching modes are dominating in the 53-THz to 61-THz range and the vibrational modes for the Au, Cs, and I are present in between 15-25 THz, 2-10 THz, and 2-20 THz, respectively.

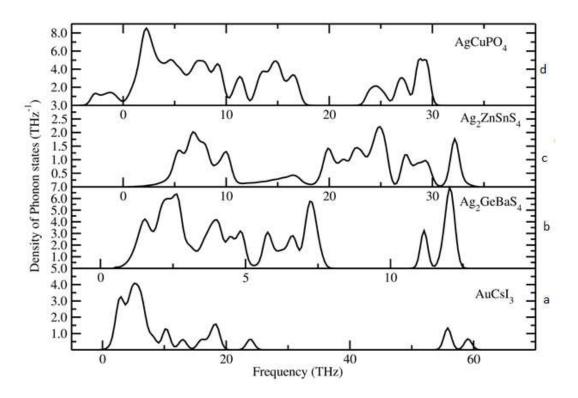


Figure 4. Calculated total phonon density of states for (a) Au₂Cs₂I₆, (b) Ag₂ZnSnS₄, (c) Ag₂GeBaS₄, and (d) AgCuPO₄ phases.

For Ag₂GeBaS₄, the vibrational modes were spread over 1 THz to 13 THz. The Ge–S stretching and bending modes are present at 11–13 THz and 6–8 THz, respectively. The lattice vibrational modes for Ag, Ge, Ba, and S presented in between 1–5 THz. For Ag₂ZnSnS₄, the calculated vibrational modes were spread over 2 THz to 35 THz and the Ag–S and Sn–S stretching modes were present between 27–35 THz. In the phonon spectra, the combined bending and stretching modes of Zn–S, Sn–S, and Ag–S presented in between the 18–25 THz region, and below the 12-THz region, the lattice translational modes of Ag, S, Sn, and Zn presented. The zero-point energy (ZPE) that was calculated for the studied phases varied from 0.24 eV/f.u. to 0.84 eV/f.u. (see respectively along x, y and z

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directions.), and followed the sequence $Ag_2GeBaS_4 < Ag_2ZnSnS_4 < Au_2Cs_2I_6 < AgCuPO_4$. However, the calculated ZPE values for the all the dynamically stable compounds were very close to each other.

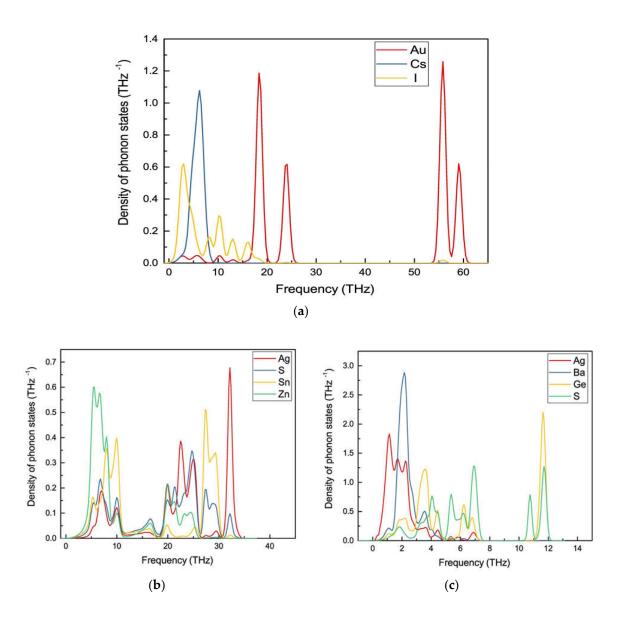


Figure 5. Calculated site projected phonon density of states for (a) Au₂Cs₂I₆; (b) Ag₂ZnSnS₄, and (c) Ag₂GeBaS₄ phases.

3.4. Mechanical Stability

Single Crystal Elastic Constants and Mechanical Stability

As a next step, we verified the mechanical stability of the compounds under examination by calculating the single-crystal elastic constants using the finite strain technique. The elastic constants describe the response of a material to an applied stress or conversely, the amount of stress required in order to maintain a given deformation. According to the Voigt notation, the strain and the stress tensors can be written as a vector of six components, in which the first three are related to tensile deformation, and the last three are related to shear deformation [36]. The linear elastic constants are then written as a 6 × 6 symmetric matrix, having 27 independent components, so that $s_i = C_{ij} \ \epsilon_j \ (s_i \ is$ the stress tensor, C_{ij} is the elastic constant, $\epsilon_j \ (j=1, ..., 6)$ is the strain tensor, and the i index denotes 1 to 6) for small stresses σ and strains ϵ [38]. The symmetry of the crystal structure makes some components of the C_{ij} matrix equal, while others may be vanishing by construction. A cubic crystal

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has only three independent elastic constants (C_{11} , C_{12} , and C_{44}), each of which is representative of three deformations ($C_{11} = C_{22} = C_{33}$; $C_{12} = C_{23} = C_{31}$; $C_{44} = C_{55} = C_{66}$). In the present study, monoclinic AgCuPO₄ has 13 independent elastic constants, and the other three compounds—Ag2GeBaS₄, Au₂Cs₂I₆, and Ag₂ZnSnS₄—have a tetragonal structure with type (I), which has six independent elastic constants. All of the computed elastic constants are presented in Table 3. The mechanical stability criteria for the monoclinic phase are given by [36,39]:

$$C_{11} > 0$$
, $C_{22} > 0$, $C_{33} > 0$, $C_{44} > 0$, $C_{55} > 0$, $C_{66} > 0$ (1)

$$[C_{11} + C_{22} + C_{33} + 2(C_{12} + C_{13} + C_{23})] > 0$$
 (2)

$$(C_{35} \cdot C_{55} - C_{35}) > 0, (C_{44} \cdot C_{66} - C_{46}) > 0, (C_{22} + C_{33} - 2C_{23}) > 0$$
 (3)

$$[C_{22}(C_{33}\cdot C_{55} - C_{35}) + 2C_{23}\cdot (C_{25}\cdot C_{35} - C_{23}\cdot C_{55} - C_{25}\cdot C_{33})] > 0$$
(4)

$$\left\{2\left[C_{15}\cdot C_{25}(C_{33}\cdot C_{12}-C_{13}\cdot C_{23}\right)+C_{15}\cdot C_{35}(C_{22}\cdot C_{13}-C_{12}\cdot C_{23})+C_{25}\cdot C_{35}(C_{11}\cdot C_{23}-C_{12}\cdot C_{13})\right]-C_{15}\cdot C_{15}\cdot C_$$

$$\left[C^{2}_{15}(C_{22}\cdot C_{33}-C^{2}_{23})+C^{2}_{25}(C_{11}\cdot C_{33}-C^{2}_{13})+C^{2}_{35}(C_{11}\cdot C_{22}-C^{2}_{12})+C_{55}(C_{11}\cdot C_{22}\cdot C_{33}-C_{11}\cdot C^{2}_{23}-C_{11}\cdot C^{2}_{23}-C_{11}\cdot C_{11}\cdot C_{1$$

$$C_{22} \cdot C_{13} - C_{33} \cdot C_{12} + 2C_{12} \cdot C_{13} \cdot C_{23}$$

The 13 computed independent single crystalline elastic stiffness constants for monoclinic AgCuPO₄ at the equilibrium volume are shown in Table 3. In the P21/n monoclinic polymorph, the most significant component is C_{22} , corresponding to the in-plane strain. The second largest component, C_{33} , is just a few tens of GPa smaller than C_{22} [36]. It is also evident that there is a significant degree of elastic anisotropy among the three principal directions due to C_{11} C_{22} C_{33} . All the five conditions given in Equations (1)–(5) are simultaneously satisfied, which indicates that AgCuPO₄ is a mechanically stable phase.

The mechanical stability criteria for the tetragonal (type I) phase [39] are given by:

$$C_{11} > |C_{12}|$$
 (6)

$$2C^{2}_{13} < C_{33}(C_{11} + C_{12}) \tag{7}$$

$$C_{44} > 0, C_{66} > 0$$
 (8)

The calculated independent elastic constants for tetragonal Ag₂GeBaS₄, Au₂Cs₂I₆, Ag₂ZnSnS₄-I, and Ag₂ZnSnS₄-II are given in Table 3. The three conditions for mechanical stability, which are given in equations (6)–(8), are simultaneously satisfied for all these structures, and this finding clearly indicates that these tetragonal phases are mechanically stable. This result is consistent with the phonon calculations that are presented in Section 3.3.

Similarly to the elastic constant tensor, the bulk (B_v , B_R) and the shear moduli (G_v , G_R) provide information regarding the material hardness under deformation. These properties can be directly computed from the elastic constants tensors [36]. The so-obtained values for the bulk modulus, the shear modulus, the Young's modulus, and the Poisson ratio are reported in Table 3. These polymorphs exhibit scattered values of the Young's (varying from nine GPa to 63 GPa) and shear modulus (vary from 3.4 GPa to 23 GPa). The calculated compressibility parameter indicates that these compounds are very soft materials. The G/B ratio can be introduced, in which B indicates the bulk modulus, and G indicates the shear modulus. The bulk (B_v , B_R) and shear moduli (G_v , G_R) are calculated from the Voigt-Reuss-Hill approximations [40,41]. Calculated values for the Lame constant, longitudinal (v_L ; in m/s), transverse (v_T ; in m/s), and average sound velocity (\overline{v} , in m/s) for all four compounds are listed in Table 3 for the sake of completeness. The high/low value of the G/B ratio is associated with ductility/brittleness. The critical value separating the ductile and brittle materials is conventionally set to 0.5 [42]. The G/B values calculated for the compounds under examination are lower than 0.5, implying ductile behaviour.

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Table 3. The calculated single-crystal elastic constants C_{ij} (in GPa), bulk modulus B (in GPa), shear modulus G (in GPa), Poisson's ratio (σ), Young's modulus E (in GPa), compressibility (GPa⁻¹), ductility, Lame constant, longitudinal (ν L; in m/s), transverse (ν T; in m/s), average sound velocity ($\bar{\nu}$) in m/s), and Debye temperature (θ D) for AgCuPO4, Ag2GeBaS4, Au2Cs2I6, and Ag2ZnSnS4 phases. Subscript V indicates the Voigt bound, R indicates the Reuss bound, and VRH indicates the Hill average.

	Phase				
Properties	AgCuPO ₄	Ag ₂ GeBaS ₄	Au ₂ (C S 2 I 6	Ag ₂ ZnSnS ₄
	P21/n	I-42m	I4/mmm	I-4m	I-42m
Cij	$C_{11} = 86$	$C_{11} = 74$	$C_{11} = 18$	$C_{11} = 67$	$C_{11} = 63$
	$C_{12} = 66$	$C_{12} = 48$	$C_{12} = 11$	$C_{12} = 44$	$C_{12} = 45$
	$C_{13} = 52$	$C_{13} = 33$	$C_{13} = 3$	$C_{13} = 43$	$C_{13} = 44$
	$C_{16} = 18$	$C_{33} = 47$	$C_{33} = 21$	$C_{33} = 63$	$C_{33} = 74$
	$C_{22} = 162$	$C_{44} = 22$	$C_{44} = 7$	$C_{44} = 28$	$C_{44} = 29$
	$C_{23} = 72$	$C_{55} = 18$	$C_{55} = 1$	$C_{55} = 25$	$C_{55} = 27$
	$C_{26} = 9$				
	$C_{33} = 110$				
	$C_{36} = 23$				
	$C_{44} = 21$				
	$C_{45} = 5$				
	$C_{55} = 18$				
	$C_{66} = 19$				
Bv	82	47	10	51	52
B_R	52	43	10	51	52
Bvrh	67	44.5	10	51	52
Gv	27	17	4.5	20	21
G_R	20	16	2.3	17	17
G_{VRH}	23	16.7	3.4	18.3	18.5
E	63	45	9	49	50
Compressibility	0.02	0.02	0.1	0.02	0.02
Ductility	0.35	0.38	0.34	0.36	0.36
Lame constant	51.4	33	8	39	39
σ	0.34	0.33	0.35	0.34	0.34
$ u_{ m L}$	4366	3777	1632	4073	4086
$ u_{ m T}$	2129	1888	792	2007	2011
$\overline{\nu}$	2391	2118	891	2253	2258
$ heta_{ m D}$	771	592	150	634	637

3.5. Optical Properties

The optical properties have a considerable impact on the response of solar cell materials. To investigate the optical behaviour of $Au_2Cs_2I_6$, Ag_2GeBaS_4 , Ag_2ZnSnS_4 , and $AgCuPO_4$, we have calculated the optical dielectric function $\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega)$ and defined as the linear response of the system to electromagnetic radiation, controlling the propagation of radiation in a medium. Here, $\epsilon(\omega)$ is connected with the interaction of photons and electrons. The imaginary part $\epsilon_2(\omega)$ of the dielectric function $\epsilon(\omega)$ can be derived from the interband optical transitions by summing over the unoccupied states using the equation [24]:

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$$\varepsilon_{2}^{(\alpha\beta)}(\omega) = \frac{4\pi^{2}e^{2}}{\Omega} \lim_{q \to 0} \sum_{k,v,c} 2 w_{k} \delta(\epsilon_{ck} - \epsilon_{vk} - \omega) \times \langle u_{ck+e_{\alpha}q} | u_{vk} \rangle \langle u_{ck+e_{\beta}q} | u_{vk} \rangle^{*}$$

$$(9)$$

where the indices α , β indicate the Cartesian components, Ω is the volume of the primitive cell, q denotes the wave vector of an incident wave, c and v are the conduction and valance band states respectively, k is the Bloch wave vector, w_k denotes the k-point weight, δ is the Dirac delta function, u_{ck} is the cell periodic part of the orbital at k-point k, ε_{ck} refers to energy of the conduction band, and ε_{vk} refers to the energy of the valence band.

The real part of the dielectric function is obtained from the $\varepsilon_2(\omega)$ by Kramer–Kronig relationship [24,43]:

$$\varepsilon_1^{(\alpha\beta)}(\omega) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{\varepsilon_{\alpha\beta}^2(\omega') \, \omega'}{\omega'^2 - \omega^2 + i\eta} \, d\omega' \tag{10}$$

where P indicates the principal value, and η is the complex shift. The frequency dependent linear optical properties, including the absorption coefficients $\varepsilon(\omega)$ and reflectivity R(ω), can be calculated from $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ [24,43]:

$$\alpha(\omega) = \frac{\sqrt{2\omega}}{c} \left[\left(\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega) \right)^{\frac{1}{2}} - \varepsilon_1(\omega) \right]$$
 (11)

$$R(\omega) = \left| \frac{\sqrt{\varepsilon_1(\omega) + i\varepsilon_2(\omega)} - 1}{\sqrt{\varepsilon_1(\omega) + i\varepsilon_2(\omega)} + 1} \right|$$
(12)

The imaginary part of the dielectric function and the absorption coefficients of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ are presented in Figures 6 and 7. In general, the hybrid functional produces better results than the semi-local functional for the semiconductor or small gap insulator materials, due to the separation of the exchange energy into a short-range non-local and orbital-dependent exchange term [25]. Numerical results of $\varepsilon(\omega)$ for the four compounds are calculated using the HSE06 method.

In Figure 6, the real and the imaginary parts of the dielectric function of the tetragonal phases Au₂Cs₂I₆ and Ag₂GeBaS₄ are plotted against the photon energy. From the directional dependency of $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$, we clearly see the anisotropic characteristics of these two materials. In particular, the sharp peaks that are present in the x and y directions are equal. However, these sharp peaks are less pronounced in the z direction. We observe clearly that large anisotropy is present in the lower-energy region due to tetragonal distortion. The peaks at 0.84 eV and 1.12 eV for $\varepsilon_2(\omega)$ along the x and y directions confirm the earlier results presented for the energy gaps between VB-IB and IB-CB in Figure 2a. For photovoltaic purposes, it is of interest to study the transitions that contribute to each peak in the spectra and the influence of the intermediate band on absorption. The electronic transitions from Cs-6s to Cs-5p and Cs-5p to Cs-6s states in Figure 3 clearly demonstrate this. From Figure 6b, we observe that optical absorption for Au₂Cs₂I₆ begins at 0.3 eV, and it is mainly extending from the infrared region to the ultraviolet region of the solar spectrum. Absorption increases drastically in the infrared region due to the intermediate states formed between VB and CB. The overall optical absorption intensity presents a high peak at 1.32 eV, which is attributed to the transition state from Au-5p to Au-6s, as shown in Figure 3. The peak at 2.5 eV is attributed to the states from the 6s orbitals of the substitutional Cs, as shown in Figure 3. In Figure 6b, where the absorption coefficient is plotted against the energy for Au₂Cs₂I₆, we clearly see the peaks at 2.7 eV and 3.2 eV along the z direction. Until 3.5 eV, the absorption coefficients of Au₂Cs₂I₆ in the x and y directions are more pronounced, and from 3.5 eV, the absorption coefficient in the z direction is higher.

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Optical reflectivity plays a vital role in the selection of efficient photovoltaic materials. We present results from our study in which first principle calculation is carried out, employing the hybrid functional HSE06 to calculate the optical reflectivity of the materials of interest. In the supporting information in Figure S4, we show the reflectivity of $Au_2Cs_2I_6$ as a function of the photon energy in all of the directions. As compared to the other three compounds, anisotropy is more pronounced in $Au_2Cs_2I_6$. We notice that the reflectivity is 0.35–0.5 in the infrared region, and the value drops in the high-energy region along the x and y directions. In the z direction, we notice that the reflectivity is 0.185 in the infrared region and 0.165–0.24 in the visible region, with some peaks. It is also noticed that the reflectivity of $Au_2Cs_2I_6$ is less in the visible region, which indicates that $Au_2Cs_2I_6$ can be a good candidate for photovoltaic applications.

We present the $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ values of the dielectric function and the absorption coefficients of Ag2GeBaS4 in Figure 6c,d. Despite the tetragonal phase, the dielectric function and absorption coefficient of Ag₂GeBaS₄ show that the anisotropy features in this material are less pronounced than other three compounds, as shown in Figure 6c,d. For Ag₂GeBaS₄ along the x direction, absorption peaks at 2.56 eV (resulting from the transition from the VB to the empty IB states) and 3.56 eV are attributed to the S-3p to Ge-4s transition and S-3p to Ag-5s transition states, respectively, which are presented in the supporting information in Figure S1. However, this curve fails to show the IB to CB transition. We observed that the absorption started to increase after 2 eV, and it mostly extended to the visible region presented in Figure 6d. We clearly notice that the peaks are present at 3 eV and 3.6 eV along the z direction. From 0–6 eV, the absorption coefficient of Ag₂GeBaS₄ along the z direction is less pronounced than that in the x and y directions. The appearance of absorption peaks in the visible region makes Ag₂GeBaS₄ a promising candidate for photovoltaic applications. We present the reflectivity of Ag₂GeBaS₄ as a function of the photon energy in the x, y, and z directions in the supporting information in Figure S5. We notice that the reflectivity is in the range of 0.165 to 0.24 in the infrared region and low-energy side of the visible region along the z direction. At 3 eV, the reflectivity drops by small values; then, the value increases in the high-energy region along the z direction. In the *x* and *y* directions, we notice that the reflectivity is around 0.22–0.32 in the infrared region and visible regions. The reflectivity of Ag₂GeBaS₄ is a little high in the visible region along the x, y and z directions.

The real and imaginary parts of the dielectric function of tetragonal phase Ag₂ZnSnS₄ and monoclinic phase AgCuPO4 have more optical anisotropic characteristics than the other two compounds, as shown in Figure 7. The calculated real and imaginary parts of the dielectric function and absorption coefficients of Ag₂ZnSnS₄ are presented in Figure 7a,b. At 0-2 eV photon energy, the $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ and absorption peaks for the z direction are more pronounced than those of the x and y directions (x and y direction are same). From 3–3.8 eV photon energy, $\alpha(\omega)$ and $\varepsilon(\omega)$ along the x direction are more pronounced than those along the z direction. For Ag₂ZnSnS₄ along the x direction, the absorption peaks that were seen at 1.08 eV (resulting in the electronic transition from IB-CB) were attributed to the Sn-5s to Sn-3d transition states; these results are presented in the supporting information in Figure S2. Next, absorption peaks were noticed at 1.45 eV (electronic transition from the occupied VB to the empty IB state), corresponding to the S-3p to Sn-5s transition states. The absorption peak at 1.94 eV (resulting in electronic transition within IB) is due to the contribution from the S-3p to Zn-3d transition states and 3.27 eV (VB to CB transition) for the S-3p to Sn-4d transition, as shown in the supporting information in Figure S2. We observed that the absorption peaks started to increase from the infrared region due to the intermediate state, and were greatly extended to the visible region. The reflectivity of Ag₂ZnSnS₄ was high at 0.6 and 2 eV photon energy, and very low at 3 eV photon energy for the z-direction, as shown in the supporting information in Figure S6. However, the reflectivity was very low at 1.5–2 eV along the x and y directions, which indicated that this material can be used for photovoltaic applications.

We present both the $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ values of the dielectric function and the absorption coefficients for AgCuPO₄ in Figure 7c,d. The calculated values for $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ of the dielectric function and absorption coefficients indicate that the monoclinic AgCuPO₄ phase has more optical anisotropic characteristics than the other compounds. The absorption coefficient of AgCuPO₄ is quite

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similar in the y and z directions after 2 eV of photon energy. However, the $\alpha(\omega)$ along the x direction is more pronounced than in the y and z directions. From Figure 7d, we observe the absorption peaks at 0.57 eV, 1.2 eV, 3 eV, and 4eV along the x direction. In the supporting information in Figure S7, we show the reflectivity of the AgCuPO₄ as a function of photon energy in all directions. We notice that the reflectivity is 0.1 to 0.59 in the infrared region, and the value drops in the visible region along the x, y, and z directions. This validates that AgCuPO₄ can be used in photovoltaic applications. Overall, from the numerical results for the dielectric function, the absorption coefficient, and reflectivity, we conclude that all four compounds exhibited three-level optical transitions. This will lead to enhanced light absorption in the extended visible region.

The static dielectric constant $\epsilon_1(0)$ is given by the low energy limit of $\epsilon_1(\omega)$, and its values for all four compounds are presented in Table 4. Here, we use both GGA and HSE06 to calculate the static dielectric constants, which are presented in Table 4. As expected [25], we observed that the static dielectric constant are overestimated when GGA is employed. This can be explained by the bandgap values being underestimated when GGA is employed. The bandgap between VB and IB is smaller in AgCuPO₄, so the static dielectric constant is very high compared to the other three compounds.

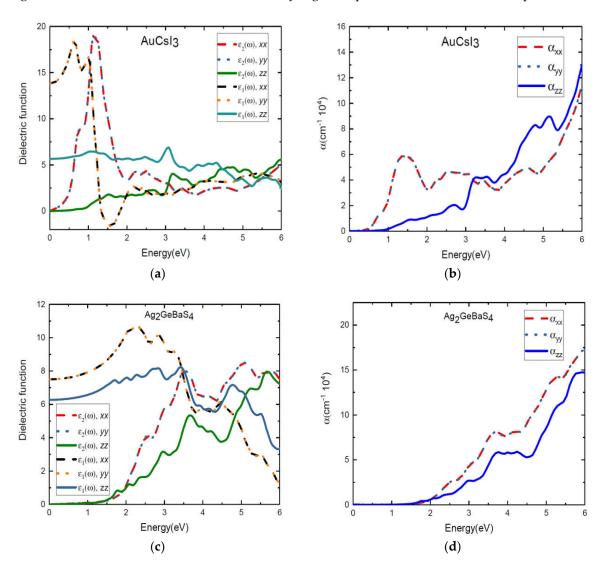


Figure 6. Calculated dielectric function (\mathbf{a} , \mathbf{c}) and optical absorption coefficients (\mathbf{b} , \mathbf{d}) of Au₂Cs₂I₆ and Ag₂GeBaS₄, respectively, along the x, y, and z directions.

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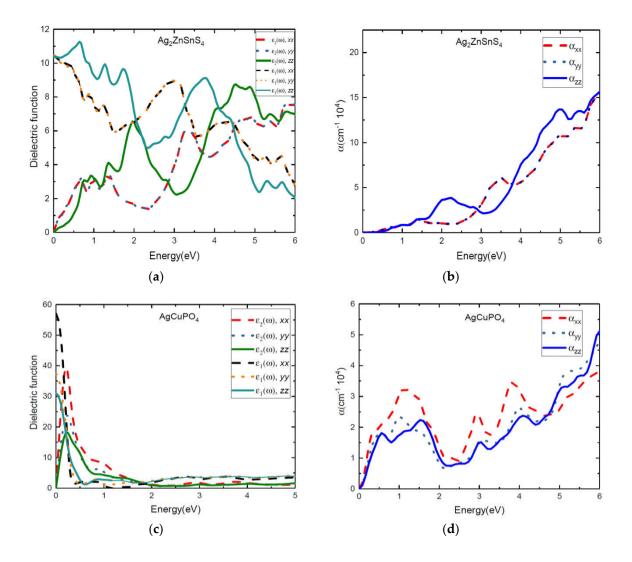


Figure 7. Calculated dielectric function (\mathbf{a} , \mathbf{c}) and absorption coefficients (\mathbf{b} , \mathbf{d}) of AgCuPO₄ and Ag₂ZnSnS₄, respectively along the x, y, and z directions.

Table 4. Calculated static dielectric constants from optical studies for Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄. The supercell size used for the phonon study and the calculated zero-point energy (ZPE) for the studied compounds are also listed below.

Compounds	GGA	HSE	Supercell Size	ZPE
Au ₂ Cs ₂ I ₆	14.04	11.09	$3 \times 3 \times 3$	0.3
Ag ₂ GeBaS ₄	7.48	7.09	$3 \times 3 \times 2$	0.24
Ag_2ZnSnS_4	10.45	10.42	$4 \times 4 \times 2$	0.25
AgCuPO4	64.86	41.84	$2 \times 1 \times 2$	0.84

4. Conclusions

In summary, we have carried out a comprehensive study of the structural, mechanical, and optical properties of Au₂Cs₂I₆, Ag₂GeBaS₄, Ag₂ZnSnS₄, and AgCuPO₄ by employing the range-separated hybrid functional, HSE06. Our results revealed that HSE06 could give a more accurate description of the electronic band structure compared to GGA + U, which was employed earlier on these materials. The phonon calculations revealed that the Au₂Cs₂I₆, Ag₂GeBaS₄, and Ag₂ZnSnS₄ compounds are dynamically stable, as no imaginary frequency was observed. The zero-point energy values for all of the dynamically stable compounds were calculated. The mechanical properties such as the Young's modulus, the bulk modulus, the shear modulus, and the Poisson's ratio were

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calculated from the values of the elastic constants. The elastic constant calculations characterised the four examined compounds as mechanically stable. The G/B ratio was in all cases smaller than 0.5, confirming the ductile nature of the materials.

Numerical results for the static and the dynamic dielectric functions were provided using GGA and HSE06 methods. As expected, GGA overestimated the static dielectric constant. Interestingly, we also observed additional absorption peaks appearing in the optical spectra of these four IBs compounds, which were accompanied by a broadened light absorption energy range and high absorption intensity. Our detailed study of the electronic and optical properties reveal these materials as potential candidates for photovoltaic application, especially for the development of third-generation intermediate band solar cells.

Supplementary Materials: The following are available online at www.mdpi.com/link, Figure S1: Calculated total and site projected density of states of Ag₂GeBaS₄. The Fermi level is set to zero and marked by a vertical dotted line.; Figure S2: Calculated total and site projected density of states of Ag₂ZnSnS₄. The Fermi level is set to zero and marked by a vertical dotted line.; Figure S3: Calculated total and site projected density of states of AgCuPO₄. The Fermi level is set to zero and marked by a vertical dotted line.; Figure S4: Reflectivity of Au₂Cs₂I₆ along x, y and z directions.; Figure S5: Reflectivity of Ag₂GeBaS₄ along x, y and z directions.; Figure S6: Reflectivity of Ag₂ZnSnS₄ along x, y and z directions.; Figure S7: Reflectivity of AgCuPO₄ along x, y and z directions.

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